EXTREMAL GRAPHS FOR A BOUND ON THE ROMAN DOMINATION NUMBER

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Abstract

A Roman dominating function on a graph $G = (V,E)$ is a function $f:V(G) \rightarrow \{0,1,2\}$ such that every vertex $u$ for which $f(u) = 0$ is adjacent to at least one vertex $v$ with $f(v) = 2$. The weight of a Roman dominating function is the value $w(f) = \sum_{u \in V(G)} f(u)$. The minimum weight of a Roman dominating function on a graph $G$ is called the Roman domination number of $G$, denoted by $\gamma_R(G)$. In 2009, Chambers, Kinnersley, Prince and West proved that for any graph $G$ with $n$ vertices and maximum degree $\Delta$, $\gamma_R(G) \leq n + 1 - \Delta$. In this paper, we give a characterization of graphs attaining the previous bound including trees, regular and semiregular graphs. Moreover, we prove that the problem of deciding whether $\gamma_R(G) = n + 1 - \Delta$ is co-$\mathcal{NP}$-complete. Finally, we provide a characterization of extremal graphs of a Nordhaus–Gaddum bound for $\gamma_R(G) + \gamma_R(\overline{G})$, where $\overline{G}$ is the complement graph of $G$.

Keywords: Roman domination, Roman domination number, Nordhaus–Gaddum inequalities.

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1. Introduction

Let $G = (V(G), E(G))$ be a simple graph of order $|V(G)| = |V| = n(G)$. For every vertex $v \in V$, the open neighborhood $N(v)$ is the set $\{u \in V(G) : uv \in E(G)\}$ and the closed neighborhood of $v$ is the set $N[v] = N(v) \cup \{v\}$. The degree of a vertex $v$ of $G$ is $\deg_G(v) = |N(v)|$. By $\Delta(G) = \Delta$ and $\delta(G) = \delta$ we denote the maximum degree and the minimum degree of the graph $G$, respectively. A vertex of degree one is called a leaf, and its neighbor is called a support vertex. A set $S \subset V$ is independent if no two vertices in $S$ are adjacent. For any $S \subseteq V$, we denote the subgraph of $G$ induced by $S$ as $G[S]$.

We write $K_n$ for the complete graph of order $n$, $P_n$ for the path of order $n$, $C_n$ for the cycle of order $n$, and $K_{1,n}$ with $n \geq 1$, for the star of order $n + 1$. A tree is a connected graph with no cycles. A graph $G$ of order at least two is called regular if its vertices have the same degree and semiregular if $\Delta(G) - \delta(G) = 1$. For simplicity, a regular graph each of whose vertices has degree $r$ is called $r$-regular.

A subset $S \subseteq V$ is a dominating set of $G$ if every vertex in $V \setminus S$ has a neighbor in $S$, that is, $|N[v] \cap S| \geq 1$ for all $v \in V$. The domination number $\gamma(G)$ is the minimum cardinality of a dominating set of $G$.

A Roman dominating function (RDF) on a graph $G = (V, E)$ is a function $f : V \rightarrow \{0, 1, 2\}$ such that every vertex $u$ for which $f(u) = 0$ is adjacent to at least one vertex $v$ with $f(v) = 2$. The weight of a Roman dominating function is the value $w(f) = \sum_{u \in V} f(u)$. The minimum weight of a Roman dominating function on a graph $G$ is called the Roman domination number of $G$, denoted by $\gamma_R(G)$. A Roman dominating function of minimum weight is called a $\gamma_R$-function. In the whole paper, the function $f$ will be denoted $f = (V_0, V_1, V_2)$, where $V_i = \{v \in V : f(v) = i\}$ for $i \in \{0, 1, 2\}$. The Roman domination number was introduced by Cockayne et al. [3] in 2004 and was inspired by the works of ReVelle and Rosing [7] and Stewart [8]. Since its introduction, more than a hundred papers have been published on various aspects of Roman domination in graphs (for examples, see list of references).

The following upper bound on the Roman domination number provided by Chambers et al. [2] will be the focus of our work.

**Proposition 1** (Chambers et al. [2]). If $G$ is a graph of order $n$ with maximum degree $\Delta(G)$, then $\gamma_R(G) + \Delta(G) \leq n + 1$.

In this paper, we examine classes of extremal graphs for the inequality $\gamma_R(G) + \Delta(G) \leq n + 1$. We give a characterization of trees, regular and semiregular graphs that achieve equality in the inequality. Moreover, we prove that the problem of deciding whether $\gamma_R(G) = n + 1 - \Delta(G)$ is co-\textsc{NP}-complete. Finally, we provide a characterization of extremal graphs of a Nordhaus–Gaddum bound for $\gamma_R(G) + \gamma_R(\overline{G})$, where $\overline{G}$ is the complement graph of $G$. Such a bound will subsequently be substantially improved for graphs $G$ of order $n \geq 160$. 
2. Preliminary Results

We begin by recalling some important results that will be useful in our investigations.

**Proposition 2** (Cockayne et al. [3]). For every graph $G$, $\gamma_R(G) \leq 2\gamma(G)$.

**Proposition 3** (Cockayne et al. [3]). If $G$ is a path $P_n$ or a cycle $C_n$, then $\gamma_R(G) = \lceil \frac{2n}{3} \rceil$.

**Theorem 4** (Chambers et al. [2]). If $G$ is a connected graph of order $n \geq 3$, then $\gamma_R(G) \leq \frac{4n}{5}$, with equality if and only if $G$ is $C_5$ or is obtained from $\frac{n}{3}P_5$ by adding a connected subgraph on the set of centers of the components of $\frac{n}{3}P_5$.

Restricted to graphs with minimum degree at least two, Chambers et al. [2] improved the upper bound of Theorem 4. Consider a cycle $C_8$ whose vertices are labeled in order $x_1, x_2, \ldots, x_8, x_1$. Let $F_1$ be the graph obtained from the cycle $C_8$ by adding the edge $x_1x_5$, and $F_2$ the graph obtained from the cycle $C_8$ by adding the edges $x_1x_5$ and $x_2x_6$. Let $B = \{C_4, C_5, C_8, F_1, F_2\}$.

**Theorem 5** (Chambers et al. [2]). If $G$ is a connected graph of order $n$ with $\delta(G) \geq 2$ and $G \notin B$, then $\gamma_R(G) \leq \frac{8n}{11}$.

Consider a cycle $C_7$ whose vertices are labeled in order $x_1, x_2, \ldots, x_7, x_1$. Let $M_1, M_2, M_3$ and $M_4$ be four graphs obtained from the cycle $C_7$ as follows: $M_1$ is obtained by adding the edge $x_1x_4$; $M_2$ is obtained by adding the edges $x_1x_4$ and $x_2x_5$; $M_3$ is obtained by adding the edges $x_1x_4, x_2x_5$ and $x_1x_5$; $M_4$ is obtained by adding edges $x_3x_6, x_3x_7$. Let $M_5$ be the graph of order 7 obtained from two disjoint cycles $C_4$ sharing the same vertex. Let $A = \{C_4, C_7, M_1, M_2, M_3, M_4, M_5\}$.

**Theorem 6** (McCuaig and Shepherd [5]). If $G$ is a connected graph of order $n$ with $\delta(G) \geq 2$ and $G \notin A$, then $\gamma(G) \leq \frac{2n}{5}$.

**Observation 7.** Let $G$ be a graph of minimum degree $\delta(G) \geq 2$. If $\gamma_R(G) + \Delta(G) = n + 1$, then $G$ is connected.

**Proof.** Let $G_1, G_2, \ldots, G_k$ be the components of $G$. Without loss of generality, let $\Delta(G) = \Delta(G_k)$. Clearly $n - \Delta(G) + 1 = \gamma_R(G) = \sum_{i=1}^{k} \gamma_R(G_i)$, and each $G_i$ satisfies $\gamma_R(G_i) \leq n(G_i) - \Delta(G_i) + 1$ (by Proposition 1). Using the fact that $\Delta(G_i) \geq \delta(G) \geq 2$ for each $i$, it follows that

\[
  n - \Delta(G) + 1 = \gamma_R(G) = \sum_{i=1}^{k} \gamma_R(G_i) \leq \sum_{i=1}^{k} (n(G_i) - \Delta(G_i) + 1) \\
  \leq n - \Delta(G) - 2(k - 1) + k = n - \Delta(G) - k + 2,
\]

and thus $k = 1$, that is $G$ is connected. \qed
In the next, we give a necessary condition for connected graphs $G$ with $\gamma_R(G) + \Delta(G) = n + 1$. For any vertex vertex $v \in V(G)$, we write $N(v) = V(G) - N[v]$. We also denote by $m(N(v), N(v))$ the number of edges having an endvertex in $N(v)$ and the other endvertex in $\overline{N}(v)$.

**Proposition 8.** Let $G$ be a graph of order $n$ with maximum degree $\Delta(G)$. If $\gamma_R(G) + \Delta(G) = n + 1$, then for every vertex $v$ of maximum degree we have

1. Every vertex of $N(v)$ is adjacent to at most two vertices in $\overline{N}(v)$.
2. Each component of $G \left[ \overline{N}(v) \right]$ is either $K_1$ or $K_2$.

**Proof.** Let $G$ be a graph with $\gamma_R(G) + \Delta(G) = n + 1$ and let $v$ a vertex of maximum degree. Consider an RDF $f$ that assigns the value 2 to $v$, 0 to every neighbor of $v$ and 1 to the remaining vertices. Clearly $w(f) = n + 1 - \Delta(G)$ and thus $f$ is a $\gamma_R(G)$-function.

Now suppose to the contrary that $v$ has a neighbor $w$ having at least three neighbors in $\overline{N}(v)$. Then reassigning $w$ a 2 instead of 0 and each vertex of $N(w) \cap \overline{N}(v)$ a 0 instead of 1 produces an RDF with smaller weight than $\gamma_R(G)$, a contradiction. Hence (1) follows. Moreover, if a vertex $x \in \overline{N}(v)$ has two neighbors in $\overline{N}(v)$, say $y$ and $z$, then reassigning $x$ a 2 instead of 1, and reassigning $y$ and $z$ a 0 instead of 1 produces an RDF with smaller weight than $\gamma_R(G)$, a contradiction. Hence (2) follows. $lacksquare$

We note that the converse of Proposition 8 is not true as can be seen by the tree $T$ obtained from a star $K_{1,3}$ by subdividing each edge of the star twice. Then $\gamma_R(T) = 7 < n + 1 - \Delta(T) = 8$.

In the next we show that if a graph $G$ has a vertex with maximum degree satisfying items (1) and (2) of Proposition 8, then $G$ has a Roman domination number bounded below by $n - 1 - \Delta(G)$.

**Proposition 9.** Let $G$ be a connected graph of order $n$ and let $v$ be a vertex of degree $\Delta(G)$ such that every vertex in $N(v)$ is adjacent to at most two vertices in $\overline{N}(v)$ and each component of $G \left[ \overline{N}(v) \right]$ is either $K_1$ or $K_2$. Then $\gamma_R(G) + \Delta(G) \geq n - 1$.

**Proof.** Let $f = (V_0, V_1, V_2)$ be a $\gamma_R(G)$-function. Let $A = N(v) \cap V_2$ and let $B$ be the set of vertices of $\overline{N}(v) \cap V_0$ that have no neighbor in $\overline{N}(v) \cap V_2$. Let $C = \overline{N}(v) - B$. Clearly, each vertex of $B$ has a neighbor in $A$, and $f(C) = |C|$. Since each vertex of $A$ has at most two neighbors in $\overline{N}(v)$, $|B| \leq 2|A|$. Therefore, $w(f) \geq |C| + 2|A| \geq |C| + |B| = |\overline{N}(v)| = n - 1 - \Delta(G)$. $lacksquare$
3. Graphs G of Order n Satisfying $\gamma_R(G) + \Delta = n + 1$

In this section we provide a characterization of some classes of graphs $G$ with $\gamma_R(G) + \Delta(G) = n + 1$, including regular graphs, trees and semiregular graphs. Using Proposition 3, one can easily check that the only paths and cycles attaining equality in the upper bound of Proposition 1 are $P_2, P_3, P_4, P_5, C_3, C_4$ and $C_5$. Trivially, graphs $G$ with $\Delta(G) = 1$ satisfy $\gamma_R(G) + \Delta(G) = n + 1$. For graphs $G$ with $\Delta(G) = 2$ we have the following straightforward observation.

Observation 10. If $G$ is a graph of order $n$ with maximum degree $\Delta(G) = 2$, then $\gamma_R(G) + \Delta(G) = n + 1$ if and only if $G = pK_1 \cup qK_2 \cup H$, where $H \in \{P_3, P_4, P_5, C_3, C_4, C_5\}$ and $p + 2q + |V(H)| = n$.

Moreover, the following observation shows that equality is attained in the upper bound of Proposition 1 for every graph $G$ of order $n$ with $\Delta(G) \geq n - 3$. We omit the details of the proof.

Observation 11. Let $G$ be a graph of order $n$ and maximum degree $\Delta(G)$. If $\Delta(G) \geq n - 3$, then $\gamma_R(G) + \Delta(G) = n + 1$.

We now consider regular graphs.

Theorem 12. Let $G$ be a $\Delta$-regular graph of order $n$ and degree $\Delta(G) \geq 1$. Then $\gamma_R(G) + \Delta(G) = n + 1$ if and only if $\Delta(G) \in \{1, n - 3, n - 2, n - 1\}$.

Proof. Let $G$ be a regular graph with $\gamma_R(G) + \Delta(G) = n + 1$. If $\Delta(G) = 1$, then we are done. Hence assume that $\Delta(G) \geq 2$. Note that $G$ is connected (by Observation 7). Now, let $v$ be a vertex of $G$. According to Proposition 8 and the fact that $G$ is regular, we have $2|N(v)| \geq m(N(v, \overline{N}(v)) \geq (\Delta(G) - 1)|\overline{N}(v)|$, which provides $n \leq \Delta(G) + 3 + \frac{2}{\Delta(G) - 1}$.

If $\Delta(G) = 2$, then $n \leq 7$ and by Observation 10, we obtain $G \in \{C_3, C_4, C_5\}$, that is $\Delta(G) \in \{n - 1, n - 2, n - 3\}$. If $\Delta(G) = 3$, then $n \leq 7$, and since cubic graphs have an even order we deduce that $n \in \{4, 6\}$, that is $G$ is either $K_4$, $K_{3,3}$ or the complement of $C_6$. Clearly, all these cubic graphs have $\Delta(G) \in \{n - 1, n - 2, n - 3\}$. Finally, if $\Delta(G) \geq 4$, then $n \leq \Delta(G) + 3 + \frac{2}{\Delta(G) - 1}$ leads to $\Delta(G) \geq n - 3$.

The converse follows from Observations 10 and 11. 

In the aim to characterize all trees $T$ of order $n$ for which $\gamma_R(T) + \Delta(T) = n + 1$, we give some additional definitions and notations.

Let $T$ be a tree with a unique vertex of maximum degree $\Delta \geq 3$, say $x$, where each leaf of $T$ is at distance at most three from $x$ and such that all components in $T - x$ are paths of order at most 5 (for example, see Figure 1). Let $H_i$ be a component of $T - x$ of order $i$, where $i \in \{1, 2, \ldots, 5\}$. Clearly, since $T$ is a tree,
$H_i$ contains exactly one vertex of $N(x)$. Let $n_1$ be the number of components $H_1$, $n_2$ the number of components $H_2$, $n_3$ the number of components $H_3$ having a leaf belonging to $N(x)$, $n_4$ the number of components $H_4$ whose center vertices belong to $N(x)$, $n_5$ the number of components $H_4$ having a support vertex belonging to $N(x)$ and $n_6$ be the number of components $H_5$ whose center vertices belong to $N(x)$. Note that $\sum_{i=1}^6 n_i = \Delta(T)$.

Let $T$ be the family of trees $T$ with only two adjacent vertices of maximum degree three such that every leaf of $T$ is at distance at most two from a vertex of maximum degree. Note that any tree $T \in T$ has order $n \in \{6, 7, 8, 9, 10\}$.

Now, we are ready to characterize the class of trees $T$ for which $\gamma_R(T) = n - \Delta + 1$.

**Theorem 13.** Let $T$ be a nontrivial tree of order $n$ and maximum degree $\Delta(T)$. Then $\gamma_R(T) + \Delta(T) = n + 1$ if and only if $T \in \{P_2, P_3, P_4, P_5\}$ or $T$ is one of the trees defined above such that either $n_4 + n_5 + n_6 = 0$ and $(n_1 \geq 1$ or $n_2 \geq 2)$ or $n_4 + n_5 + n_6 \neq 0$ and $n_1 + n_2 \geq 2$.

**Proof.** Let $T$ be a nontrivial tree with $\gamma_R(T) = n - \Delta(T) + 1$. If $\Delta(T) \in \{1, 2\}$, then by Proposition 3, we have $T \in \{P_2, P_3, P_4, P_5\}$. Hence let $\Delta(T) \geq 3$. We note that if $v$ is a vertex of degree $\Delta(T) \geq 4$, then, since $T$ is a tree, and every vertex of $N(v)$ has at most two neighbors in $N(v)$ (by Proposition 8), vertex $v$ is the unique vertex of maximum degree. Suppose now that $\Delta(T) = 3$. It is easy to see that if $T$ has two non-adjacent vertices of degree $3$, then $\gamma_R(T) + \Delta(T) < n + 1$. Hence $T$ has either one vertex of degree $3$ or two adjacent vertices of degree $3$. Assume that $T$ has two adjacent vertices of degree $3$, say $x$ and $y$. Since each of $x$ and $y$ satisfies conditions (1) and (2) of Proposition 8, we deduce that each leaf of $T$ is at distance at most $2$ from either $x$ or $y$. Hence $T \in T$.

From now on, we may assume that $T$ has a unique vertex of degree $\Delta(T) \geq 3$, say $v$. By Proposition 8, each component in $T - v$ is a path of order at most $5$ containing exactly one vertex of $N(v)$. Also, if $x \in N(v)$ and $H_i$ is a nontrivial
component of $T - v$ of order $i$, then $x$ is either a leaf of $H_i$ if $i \in \{2, 3\}$ or a center vertex of $H_i$ if $i \in \{3, 5\}$ or a support vertex of $H_i$ if $i = 4$. We also note that $n(T) = 5n_6 + 4n_5 + 3n_4 + 3n_3 + 2n_2 + n_1 + 1$, $\Delta(T) = \sum_{i=1}^{6} n_i$ and $\gamma_R(T) = 4n_6 + 3n_5 + 2n_4 + 2n_3 + n_2 + 2$. Consider the following two cases.

**Case 1.** $n_4 + n_5 + n_6 = 0$. Suppose that $n_1 = 0$ and $n_2 = 0$. Then all components in $T - v$ are $H_3$ having a leaf belonging to $N(v)$. Define the function $f$ on $T$ by assigning a 2 to every support vertex of $H_3$, a 1 to $v$ and a 0 to the remaining vertices of $T$. It follows that $f(V(T)) = 2n_3 + 1 < \gamma_R(T)$, a contradiction. Now suppose that $n_1 = 0$ and $n_2 = 1$. Then all components in $T - v$ but one are $H_3$ having a leaf belonging to $N(v)$. Define the function $f$ on $T$ by assigning a 2 to every support vertex of $H_3$, a 2 to $N(v) \cap V(H_2)$ and a 0 to the remaining vertices of $T$. It follows that $f(V(T)) = 2n_3 + 2 < \gamma_R(T)$, a contradiction too. Hence either $n_1 \geq 1$ or $n_2 \geq 2$.

**Case 2.** $n_4 + n_5 + n_6 \neq 0$. Suppose that $n_1 + n_2 \leq 1$. Define a function $f$ on $T$ by assigning a 0 to $v$ and to any component $H_i$ so that $f(V(H_i)) = \gamma_R(H_i)$ and the vertex of a $H_i$ belonging to $N(v)$ received a 2. Note that such an assignment is possible for any component $H_i$ with $i \in \{3, 4, 5\}$. It follows that $f(V(T)) = 4n_6 + 3n_5 + 2n_4 + 2n_3 + 2n_2 + n_1$ and since $n_1 + n_2 \leq 1$, we have $f(V(T)) < \gamma_R(T)$, a contradiction. Hence $n_1 + n_2 \geq 2$.

Conversely, if $T \in \{P_2, P_3, P_4, P_5\}$, then clearly $\gamma_R(T) + \Delta(T) = n + 1$. Suppose that $T \in T$. Then $T$ has order $n = 6 + t$, where $t$ is the number of leaves at distance two from a vertex of degree three. One can easily check that $\gamma_R(T) = 4 + t = n + 1 - \Delta(T)$. Finally, let $T$ be a tree with a unique vertex of degree $\Delta(T) \geq 3$, say $v$, such that either $(n_4 + n_5 + n_6 = 0$ and $n_1 \geq 1$ or $n_2 \geq 2)$ or $(n_4 + n_5 + n_6 \neq 0$ and $n_1 + n_2 \geq 2)$. Observe that for every $\gamma_R(T)$-function $f$, $f(V(H_i)) = \gamma_R(H_i)$ for every $i \in \{3, 4, 5\}$. Also vertex $v$ can be assigned a 2 under $f$ in each of the two situations that $T$ can have. Therefore a simple calculation shows that $\gamma_R(T) = 2 + n_2 + 2n_3 + 2n_4 + 3n_5 + 4n_6 = n + 1 - \Delta(T)$.

**Theorem 14.** Let $G$ be a semiregular graph of order $n$ and maximum degree $\Delta(G) = \Delta$. Then $\gamma_R(G) + \Delta = n + 1$ if and only if $G$ fulfills one of the following:

(a) $\Delta \geq n - 3$,
(b) $G = pK_1 \cup qK_2$ with $p \geq 1$, $q \geq 1$ and $p + 2q = n$,
(c) $G = qK_2 \cup H$ with $2q + |V(H)| = n$, where $H \in \{P_3, P_4, P_5, C_3, C_4, C_5\}$ if $q \neq 0$ and $H \in \{P_3, P_4, P_5\}$ if $q = 0$,
(d) $G$ is isomorphic to one of the nine graphs in Figure 2.
Proof. Let $G$ be a semiregular graph of order $n$ such that $\gamma_R(G) + \Delta = n + 1$. Note that every vertex of $G$ has degree $\Delta$ or $\Delta - 1$. If $\Delta = 1$, then clearly $G = pK_1 \cup qK_2$ with $p \geq 1$ and $q \geq 1$ (since $G$ is semiregular). If $\Delta = 2$, then by Observation 10 and since $\delta(G) = 1$, $G = qK_2 \cup H$, where $H \in \{P_3, P_4, P_5\}$ if $q = 0$, and $H \in \{P_3, P_4, P_5, C_3, C_4, C_5\}$ if $q \neq 0$. For the next we can assume that $\Delta \geq 3$. Since $\delta(G) \geq 2$, by Observation 7, $G$ is connected. Let $v$ be a vertex of degree maximum. According to Proposition 8, $m(N(v), \overline{N}(v))$ satisfies $\left(\Delta - 2\right)\left|\overline{N}(v)\right| \leq m(N(v), \overline{N}(v)) \leq 2\left|N(v)\right|$. Therefore $(\Delta - 2)(n - \Delta - 1) \leq 2\Delta$, and thus

$$\Delta + 1 \leq n \leq \Delta + 3 + \frac{4}{\Delta - 2}.$$  

Clearly, for $\Delta \geq 7$ we have $\Delta \geq n - 3$ since $\frac{4}{\Delta - 2} < 1$. Assume now that $\Delta = 6$. Thus by (1) we have $n \in \{7, 8, 9, 10\}$. If $n \in \{7, 8, 9\}$, then $\Delta \geq n - 3$ and we are done. If $n = 10$, then $G \left[\overline{N}(v)\right]$ has order 3 and contains an isolated vertex whose neighbors are all in $N(v)$. Hence $m(N(v), \overline{N}(v)) \geq 2(\Delta - 2) + (\Delta - 1) = 13 > 2|N(v)|$, implying that such a graph does not exist. Therefore it remains to examine the cases $\Delta \in \{3, 4, 5\}$. Consider each case separately.

Case 1. $\Delta = 3$. By (1) we have $n \in \{4, 5, \ldots, 10\}$. If $n \in \{4, 5, 6\}$, then $\Delta \geq n - 3$. Assume that $n = 7$. Then $\gamma_R(G) = 5$, and by Proposition 2, $\gamma(G) \geq 3$. 

Figure 2. Connected semiregular extremal graphs of order $n \in \{7, 8, 9\}$. 

![Graphs](image-url)
So by Theorem 6, $\gamma(G) > \frac{2n}{5}$ and since $M_5$, $M_4$, $M_5$ are not semiregular, we deduce that $G \in \{M_1, M_2\}$. Assume now that $n = 8$. Hence $\gamma_R(G) = 6 > \frac{8n}{11}$.
By Theorem 5, $G \in B$, and clearly $G \in \{F_1, F_2\}$. The remaining cases ($n = 9$ and $n = 10$) are excluded by using Theorem 5.

**Case 2.** $\Delta = 4$. By (1) we have $n \in \{5, 6, 7, 8, 9\}$. If $n \in \{5, 6, 7\}$, then $\Delta \geq n - 3$. The remaining two situations are considered separately. Let $N(v) = \{a, b, c, d\}$.

**Subcase 2.1.** $n = 8$. Then $\gamma_R(G) = 5$. Since $G \backslash \overline{N(v)}$ has order 3 and contains an isolated vertex, $2\Delta = 8 \geq m(N(v), \overline{N(v)}) \geq 2(\Delta - 2) + (\Delta - 1) = 7$.
Let $\overline{N(v)} = \{x, y, z\}$. Clearly, since $m(N(v), \overline{N(v)}) \leq 8$, $\overline{N(v)}$ is not independent. Without loss of generality, assume that $xy \in E$, and thus $xz, yz \notin E$ (by Proposition 8). Since $|N(z) \cap N(v)| \geq 3$, we may assume that $\{a, b, c\} \subseteq N(z)$.
By Proposition 8, $|N(t) \cap \{x, y\}| \leq 1$ for $t \in \{a, b, c\}$. Moreover, since $\deg_G(x) \geq 3$ and $\deg_G(y) \geq 3$, we have, without loss of generality, $ax, bx, cy$ and $dy \in E$.

If $m(N(v), \overline{N(v)}) = 7$, then $N(d) \cap \{a, b, c\} \neq \emptyset$ (since $G$ is semiregular). If $cd \in E$, then $\{\{v, a, b, d, y, z\}, \emptyset, \{c, x\}\}$ is an RDF of $G$ with weight 4, a contradiction. Thus $cd \notin E$. Up to isomorphism, assume that $da \in E$. Since $\deg_G(a) = 4, ab$ and $ac \notin E$. Now clearly, if $bc \in E$, then $\{\{v, b, d, x, y, z\}, \emptyset, \{a, c\}\}$ is an RDF of $G$ with weight 4, a contradiction. Hence $bc \notin E$, and we conclude that $G = R_1$.

If $m(N(v), \overline{N(v)}) = 8$, then we must have $zd \in E$. Let us examine the existence or not of the edges between vertices of $N(v)$. As $G$ is semiregular of maximum degree 4, $G[N(v)]$ contains at most two independent edges. If $N(v)$ is independent, then $G = R_2$. Now assume that $G[N(v)]$ contains exactly one edge. If $ab \in E$, then $\{\{v, b, c, d, x, z\}, \emptyset, \{a, y\}\}$ is an RDF of $G$ with weight 4. If $cd \in E$, then $\{\{v, a, b, d, y, z\}, \emptyset, \{c, x\}\}$ is an RDF of $G$ with weight 4. Clearly, whatever the edge among $bc, ac, ad$ or $bd$ we obtain $G = R_3$. Suppose now that $G[N(v)]$ contains two independent edges. Seeing the previous situations, $ab \notin E$ and $bc \notin E$. Thus the only possibilities are either $(bc$ and $ad \in E)$ or $(ac$ and $bd \in E)$. For both situations, one can easily construct an RDF of $G$ with weight 4.

**Subcase 2.2.** $n = 9$. Then $\gamma_R(G) = 6$. Since $|\overline{N(v)}| = 4, m(N(v), \overline{N(v)}) = 8$.
It follows that $G \backslash \overline{N(v)} = 2P_2$, where each vertex of $\overline{N(v)}$ has exactly two neighbors in $N(v)$ and every vertex of $N(v)$ has two neighbors in $\overline{N(v)}$. Let $\overline{N(v)} = \{x, y, w, z\}$. Without loss of generality, we assume that $xy, wz \in E$.
Consider the subgraph $H$, where $V(H) = V(G) \backslash \{v\}$ and $E(H) = m(N(v), \overline{N(v)})$.
Since $H$ is a 2-regular bipartite graph, $H = 2C_4$ or $C_8$.

Assume first that $H = 2C_4$. If $x$ and $y$ belong to the same cycle $C_4$, then $\{\{y, a, b, c, d, z\}, \{v, \{x, w\}\}\}$ is an RDF of $G$ with weight 5, a contradiction. Hence we may assume, without loss of generality, that the vertices of one of the two cycles are in order $a, x, c, w$, and $b, y, d, z$ are on the other cycle. But then $\{\{y, a, b, c, d, w\}, \{v, \{x, z\}\}\}$ is an RDF of $G$ with weight 5, a contradiction.
Assume now that $H = C_8$. Up to isomorphism, there are two possible situations, $H_1 : a-x-d-z-c-w-b-y-a$ or $H_2 : a-x-b-w-d-y-c-z-a$. Note that by taking into account the edges $xy$ and $wz$, $H_1$ has two disjoint triangles and $H_2$ has no triangle. If $H_1$ occurs, then $\{(a, b, c, d, y, w), \{v\}, \{x, z\}\}$ is an RDF of $G$ with weight 5, a contradiction. Thus $H_2$ occurs. Now if $N(v)$ is independent, then $G = R_4$. Hence assume that $N(v)$ is not independent. If $ab \in E$, then $\{(v, b, c, d, z, x), \{w\}, \{a, y\}\}$ is an RDF of $G$ with weight 5, a contradiction. Hence $ab \notin E$, and likewise $ac, cd$ and $bd \notin E$. Now if $ad$ and $bc \in E$, then $\{(v, c, d, w, z, x), \{y\}, \{a, b\}\}$ is an RDF of $G$ with weight 5, a contradiction. Hence either $ad \in E$ or $bc \in E$. Whatever the case, $G = R_5$.

**Case 3.** $\Delta = 5$. By (1) we have $n \in \{6, 7, 8, 9\}$. If $n \in \{6, 7, 8\}$, then $\Delta \geq n - 3$. Hence we assume that $n = 9$, and so $\gamma_R(G) = 5$. Since $G \left[ \overline{N(v)} \right]$ has order 3 and contains an isolated vertex, we have $2\Delta = 10 \geq m(N(v), \overline{N(v)}) \geq 2(\Delta - 2) + (\Delta - 1) = 10$, and so $m(N(v), \overline{N(v)}) = 10$. Let $N(v) = \{a, b, c, d, e\}$ and $\overline{N(v)} = \{x, y, z\}$. Without loss of generality, we assume that $xy \in E$ and $z$ is isolated in $G \left[ \overline{N(v)} \right]$. Since $m(N(v), \overline{N(v)}) = 10$, $|N(x) \cap N(v)| = |N(y) \cap N(v)| = 3$, and $|N(z) \cap N(v)| = 4$. Let $N(z) = \{a, b, c, d\}$. Clearly, $x$ and $y$ have at least one common neighbor in $N(v)$. Since each vertex of $N(v)$ has exactly two neighbors in $\overline{N(v)}$ and $N(z) = \{a, b, c, d\}$, we deduce that $e \in N(x) \cap N(y)$. But then $\{(x, y, a, b, c, d, v), \emptyset, \{z, e\}\}$ is an RDF of $G$ with weight 4, a contradiction.

The converse is easy to show by examining each graph separately. $\blacksquare$

### 4. Complexity Result

In this section we consider the complexity of the problem of deciding whether a graph $G$ has $\gamma_R(G) = n - \Delta(G) + 1$, to which we shall refer as MRDF$(n - \Delta + 1)$.

**MRDF**$(n - \Delta + 1)$

**INSTANCE:** A graph $G = (V, E)$.

**QUESTION:** Does $G$ have a minimum RDF $f$ with $f(V) = n - \Delta(G) + 1$?

We show that this problem is co-NP-complete by reducing the 3-satisfiability problem (3-SAT) to the problem of deciding whether $\gamma_R(G) \leq n - \Delta(G)$ is NP-complete. Recall that the 3-SAT problem is a well known NP-complete problem [4].

**3-SAT**

**INSTANCE:** A collection $\mathcal{C} = \{C_1, C_2, \ldots, C_q\}$ of clauses over a finite set $X$ of variables such that $|C_j| = 3$ for $j = 1, 2, \ldots, q$.

**QUESTION:** Is there a truth assignment for $X$ that satisfies all the clauses in $\mathcal{C}$?
RDF($n - \Delta$)

INSTANCE: A graph $G = (V, E)$.

QUESTION: Does $G$ have an RDF $f$ with $f(V) \leq n - \Delta (G)$?

**Theorem 15.** Problem RDF($n - \Delta$) is $\mathcal{NP}$-complete.

**Proof.** RDF($n - \Delta$) is a member of $\mathcal{NP}$, since we can check in polynomial time that a function $f : V \rightarrow \{0, 1, 2\}$ has weight at most $n - \Delta (G)$ and is a Roman dominating function. Now let us show how to transform any instance of 3-SAT into an instance $G$ of RDF($n - \Delta$) so that one of them has a solution if and only if the other one has a solution.

Let $I$ be an arbitrary instance of 3-SAT for the set of clauses $C = \{C_1, C_2, \ldots, C_q\}$ on the set of variables $X = \{x_1, x_2, \ldots, x_p\}$. We construct a graph $G(I)$ such that $I$ has a satisfying truth assignment if and only if $G(I)$ has an RDF $f = (V_0, V_1, V_2)$ with $f(V) \leq n - \Delta (G)$.

Corresponding to each clause $C_i$, we create a vertex labeled $c_i$. Corresponding to each variable $x_i \in X$ we build a copy of the graph $K_4 - e$ whose vertices are labeled $x_i, \overline{x}_i, u_i, v_i$, where $x_i$ and $\overline{x}_i$ are the vertices of degree 3 of $K_4 - e$. Next add a path $P_2 : y - w$ and join $y$ to every vertex of $\{x_i, \overline{x}_i : 1 \leq i \leq p\} \cup \{c_j : 1 \leq j \leq q\}$. Finally, add three edges from each clause vertex $c_i$ to the three vertices corresponding to the three literals in clause $C_i$ (for example, see Figure 3). Clearly, $G(I)$ has order $4p + q + 2$ and $y$ is a vertex of maximum degree $\Delta (G(I)) = 2p + q + 1$. We shall show that the given instance of 3-SAT has a satisfying truth assignment if and only if the graph $G(I)$ has an RDF $f$ with $f(V) \leq n - \Delta (G)$.

Let the given instance of 3-SAT have a satisfying truth assignment $A$. For each variable $x_i$, if $A(x_i) = \text{TRUE}$, then let $f(x_i) = 2$. Otherwise, let $f(\overline{x}_i) = 2$. Also, assign a 1 to $w$ and a 0 to all the remaining vertices. It is easy to see that the function $f$ is an RDF of the graph $G(I)$ of weight $f(V) = 2p + 1 = n - \Delta (G)$, since exactly one of $x_i$ and $\overline{x}_i$ is in $V_2$, and dominates all vertices assigned a 0. In particular, every vertex $c_i$ has at least one neighbor in $V_2$ because $A$ assigns at least one literal to TRUE in every clause $C_i$.

Conversely, assume that $G(I)$ has an RDF $f = (V_0, V_1, V_2)$ of weight $f(V) \leq n - \Delta (G)$. If $f(y) = 2$, then for every $i$, we need two legions to defend $u_i$ and $v_i$, implying that $f(V) = 2p + 2 > n - \Delta (G)$. Hence $f(y) \in \{0, 1\}$. If $f(y) = 1$, then $f(w) = 1$ and as previously we need $2p$ legions to defend all $u_i$ and $v_i$ which leads to a contradiction too. Therefore $f(y) = 0$ and thus $f(w) > 0$. Since $f(V) \leq n - \Delta (G)$, it is easy to see that for each subgraph induced by $\{x_i, \overline{x}_i, u_i, v_i\}$, we must have either $f(x_i) = 2$ or $f(\overline{x}_i) = 2$. Now since $\sum_{i=1}^{p} f(r_i) + f(w) \geq 2p + 1 = n - \Delta (G)$ with $r_i \in \{x_i, \overline{x}_i\}$, we deduce that $f(w) = 1$ and $f(c_i) = 0$ for every $i$. It follows that the set $V_2$ dominates the set of clause vertices. Therefore, the given instance of 3-SAT has a satisfying truth assignment $A$, where $A(x_i) = \text{TRUE}$ if and only if $f(r_i) = 2$. ■
Figure 3. A construction of $G(I)$ for $(x_1 \lor x_2 \lor x_3) \land (\overline{x_1} \lor x_2 \lor x_3) \land (\overline{x_2} \lor \overline{x_3} \lor x_4) \land (\overline{x_2} \lor \overline{x_3} \lor \overline{x_4})$.

**Corollary 16.** Problem MRDF($n - \Delta + 1$) is co-$\mathcal{NP}$-complete.

**Proof.** Given any instance $G$, problem MRDF($n - \Delta + 1$) has an answer YES if and only if Problem RDF($n - \Delta$) has an answer NO. Since Problem RDF($n - \Delta$) is $\mathcal{NP}$-complete, by Theorem 15, we conclude that Problem MRDF($n - \Delta + 1$) is co-$\mathcal{NP}$-complete.  

5. **Nordhaus-Gaddum Inequalities**

In [2], Chambers et al. gave the following Nordhaus-Gaddum bound for $\gamma_R(G) + \gamma_R(\overline{G})$ in terms of the order of the graph $G$.

**Theorem 17** (Chambers et al. [2]). If $G$ is graph of order $n \geq 3$, then 

$$\gamma_R(G) + \gamma_R(\overline{G}) \leq n + 3.$$ 

Furthermore, equality holds only when $G$ or $\overline{G}$ is $C_5$ or $\frac{9}{2}K_2$.

According to Theorem 17, if $G$ is a graph different from $C_5$, $\frac{9}{2}K_2$ or $\frac{9}{2}K_2$, then $\gamma_R(G) + \gamma_R(\overline{G}) \leq n + 2$. In the sequel, we provide a characterization of graphs $G$ of order $n$ for which $\gamma_R(G) + \gamma_R(\overline{G}) = n + 2$. For this purpose, we define the following family of graphs.
• $H_0 = \{C_6, C_7, C_8, C_i \cup C_j, \text{where } i, j \in \{3, 4, 5\}\}$.

• $H_1 = \{pK_2 : p \geq 1, q \geq 1 \text{ and } p + 2q = n\}$.

• $H_2 = \{qK_2 \cup H \text{ with } 2q + |V(H)| = n, \text{ where } H \in \{P_3, P_4, P_5, C_3, C_4, C_5\}\}$ if $q \neq 0$ and $H \in \{P_3, P_4, P_5\}$ if $q = 0$.

• $H_3 = \{F_1, F_2, M_1, M_2\}$.

**Theorem 18.** Let $G$ be a graph of order $n \geq 3$ such that $G \notin \{C_5, \frac{n}{2}K_2, \frac{n}{2}K_2\}$. Then $\gamma_R(G) + \gamma_R(G) \leq n + 2$, with equality if and only if $G$ or $\overline{G} \in \{K_n\} \cup H_0 \cup H_1 \cup H_2 \cup H_3$.

**Proof.** Clearly, the upper bound follows from Theorem 17. Assume now that $\gamma_R(G) + \gamma_R(G) = n + 2$. By Proposition 1, we have

\[
n + 2 = \gamma_R(G) + \gamma_R(G) \leq n - \Delta(G) + 1 + n - \Delta(G) + 1 = n - \Delta(G) + \delta(G) + 3.
\]

Hence $\Delta(G) - \delta(G) \leq 1$. Therefore $G$ is either regular or semiregular. Consider the two cases:

**Case 1.** $G$ is a regular graph. Hence $\overline{G}$ is also regular. Clearly, $\gamma_R(G) + \gamma_R(G) = n + 2$, implies that either $\gamma_R(G) = n - \Delta(G) + 1$ and $\gamma_R(G) = \Delta(G) + 1$ or $\gamma_R(G) = n - \Delta(G)$ and $\gamma_R(G) = \Delta(G) + 2$. Without loss of generality, assume that $\gamma_R(G) = n - \Delta(G) + 1$ and $\gamma_R(G) = \Delta(G) + 1$. By Theorem 12, and since $G \notin \{C_5, \frac{n}{2}K_2, \frac{n}{2}K_2\}$, we obtain that $\Delta(G) \in \{n - 3, n - 1\}$. Clearly, if $\Delta(G) = n - 1$, then $G = K_n$. Thus assume that $\Delta(G) = n - 3$. Then each component of $\overline{G}$ is a cycle. Now using the fact that $\gamma_R(G) = \Delta(G) + 1 = n - 2$ and whether $\overline{G}$ is connected or not, we deduce that $\overline{G} = C_6, C_7, C_8$ or $\overline{G} = C_i \cup C_j$, where $i, j \in \{3, 4, 5\}$. Hence $\overline{G} \in H_0$.

**Case 2.** $G$ is a semiregular graph. Note that $\overline{G}$ is also semiregular. In this case $\gamma_R(G) + \gamma_R(G) = n + 2$ implies that $\gamma_R(G) = n - \Delta(G) + 1$ and $\gamma_R(G) = n - \Delta(G) + 1$. By Theorem 14, $G$ and $\overline{G}$ fulfill one of the four items of that theorem. Since $\Delta(G) + \Delta(G) = n$, we may assume, without loss of generality, that $\Delta(G) \leq \frac{n}{2}$. By Theorem 14, $\Delta(G) \leq 4$. Now if $\Delta(G) = 1$, then $G = pK_1 \cup qK_2$ with $p, q \geq 1$ and $p + 2q = n$. Hence $G \in H_1$. If $\Delta(G) = 2$, then $G = qK_2 \cup H$ with $2q + |V(H)| = n$, where $H \in \{P_3, P_4, P_5\}$ if $q = 0$, and $H \in \{P_3, P_4, P_5, C_3, C_4, C_5\}$ if $q \neq 0$. Hence $G \in H_2$. If $\Delta(G) = 3$, then $G \in \{F_1, F_2, M_1, M_2\} = H_3$. Finally, if $\Delta(G) = 4$, then $G \in \{R_1, R_2, \ldots, R_5\}$. But since $\overline{G} \notin \{R_1, R_2, \ldots, R_5\}$ we have $\gamma_R(G) < n - \Delta(G) + 1$, which leads to a contradiction.

The converse is easy to see and we omit the details.
We close this section by improving the bound of Theorem 17 for all graphs \( G \) when \( n(G) \geq 160 \). To do this, we need the use of the following Nordhaus-Gaddum bound for \( \gamma_R(G) \gamma_R(G) \) given in [2].

**Theorem 19** (Chambers et al. [2]). If \( G \) is a graph of order \( n \geq 160 \), then

\[
\gamma_R(G) \gamma_R(G) \leq \frac{16n}{5},
\]

with equality only when \( G \) or \( \overline{G} \) is \( \frac{2}{5}C_5 \).

**Theorem 20.** If \( G \) is a graph of order \( n \geq 160 \) such that every component of \( G \) or \( \overline{G} \) is of order at least 3, then

\[
\gamma_R(G) + \gamma_R(G) \leq \frac{4n}{5} + 4,
\]

with equality only when \( G \) or \( \overline{G} \) is \( \frac{2}{5}C_5 \).

**Proof.** By Theorem 4, \( \gamma_R(G) \leq \frac{4n}{5} \) and \( \gamma_R(G) \leq \frac{4n}{5} \). If \( \gamma_R(G) \leq 4 \) or \( \gamma_R(G) \leq 4 \), then \( \gamma_R(G) + \gamma_R(G) \leq \frac{4n}{5} + 4 \). If \( \gamma_R(G) \geq 8 \) and \( \gamma_R(G) \geq 8 \), then by Theorem 19, we have \( \gamma_R(G) \leq \frac{16n}{5} \gamma_R(G) \leq \frac{2n}{5} \) and \( \gamma_R(G) \leq \frac{16n}{5} \gamma_R(G) \leq \frac{2n}{5} \). Hence \( \gamma_R(G) + \gamma_R(G) \leq \frac{4n}{5} \leq \frac{4n}{5} + 4 \). For the next we can assume that \( 5 \leq \gamma_R(G) \leq 7 \) or \( 5 \leq \gamma_R(G) \leq 7 \). It follows by Theorem 19 that \( \gamma_R(G) \leq \frac{16n}{5} \gamma_R(G) \leq \frac{2n}{5} \), and thus \( \gamma_R(G) + \gamma_R(G) \leq \frac{16n}{25} + 7 < \frac{4n}{5} + 4 \), since \( n \geq 160 \).

Moreover, based on the previous proof, \( \gamma_R(G) + \gamma_R(G) = \frac{4n}{5} + 4 \) is only possible when \( \{\gamma_R(G), \gamma_R(G)\} = \{\frac{4n}{5}, 4\} \). By Theorem 4, \( G = \frac{2}{5}C_5 \) and the other graph is excluded since \( \gamma_R(G) = 3 \).

**References**


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