

## NEW FORMULAE FOR THE DECYCLING NUMBER OF GRAPHS

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### Abstract

A set  $S$  of vertices of a graph  $G$  is called a decycling set if  $G - S$  is acyclic. The minimum order of a decycling set is called the decycling number of  $G$ , and denoted by  $\nabla(G)$ . Our results include: (a) For any graph  $G$ ,

$$\nabla(G) = n - \max_T \{\alpha(G - E(T))\},$$

where  $T$  is taken over all the spanning trees of  $G$  and  $\alpha(G - E(T))$  is the independence number of the co-tree  $G - E(T)$ . This formula implies that computing the decycling number of a graph  $G$  is equivalent to finding a spanning tree in  $G$  such that its co-tree has the largest independence number. Applying the formula, the lower bounds for the decycling number of some (dense) graphs may be obtained. (b) For any decycling set  $S$  of a  $k$ -regular graph  $G$ ,

$$|S| = \frac{1}{k-1}(\beta(G) + m(S)),$$

where  $\beta(G) = |E(G)| - |V(G)| + 1$  and  $m(S) = c + |E(S)| - 1$ ,  $c$  and  $|E(S)|$  are, respectively, the number of components of  $G - S$  and the number of

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edges in  $G[S]$ . Hence  $S$  is a  $\nabla$ -set if and only if  $m(S)$  is minimum, where  $\nabla$ -set denotes a decycling set containing exactly  $\nabla(G)$  vertices of  $G$ . This provides a new way to locate  $\nabla(G)$  for  $k$ -regular graphs  $G$ . (c) 4-regular graphs  $G$  with the decycling number  $\nabla(G) = \lceil \frac{\beta(G)}{3} \rceil$  are determined.

**Keywords:** decycling number, independence number, cycle rank, margin number.

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## 1. INTRODUCTION

Graphs throughout this paper are loopless and multiple edges are permitted. For general theoretic notations, we follow Diestel [4]. The minimum number of edges whose removal eliminates all cycles in a given graph has been known as the *cycle rank* of the graph, and this parameter has a simple expression  $\beta(G) = |E(G)| - |V(G)| + w$  (see [9]), where  $w$  is the number of components of  $G$ . The corresponding problem of eliminating all cycles from a graph by means of deletion of vertices goes back at least to the work of Kirchhoff on spanning trees [10].

Let  $G = (V, E)$  be a graph. We define a vertex set  $S$  of  $G$  to be a *decycling set* if  $G - S$  is *cycle-free*. The cardinality of a minimum decycling set of  $G$  is called the *decycling number*, and denoted by  $\nabla(G)$  (or  $\nabla$  for short). A decycling set containing exactly  $\nabla(G)$  vertices of  $G$  is called a  $\nabla$ -set. Vertices of a decycling set are labeled by “•” and the bold edges induce a spanning tree  $T$  of a graph  $G$  in the following figures. Let  $m(S) = c + |E(S)| - 1$  be the *margin number* of a decycling set  $S$ , where  $c$  and  $|E(S)|$  are, respectively, the number of components of  $G - S$  and the number of edges in  $G[S]$ . Determining the decycling number is equivalent to finding the size of the largest induced forest of  $G$  proposed first by Erdős [5]. If  $S \subseteq V(G)$  is a  $\nabla$ -set, then  $G - S$  is a largest induced forest of  $G$ . The problem of determining the decycling number of graphs have been proved to be NP-complete by Karp [11], even for general graphs such as bipartite graphs, planar graphs and perfect graphs, the decycling problem is very hard to solve. It is easy to see that  $\nabla(G) = 0$  if and only if  $G$  is a forest, and  $\nabla(G) = 1$  if and only if  $G$  has at least one cycle and a vertex on all of its cycles. One may see [2] as a brief survey.

In this paper, we consider the decycling problem from two new perspectives: the effects of (a) spanning trees and (b) the margin number, respectively, on the decycling number of graphs. Given a connected graph  $G$  and a surface  $P$ , we say that  $G$  can be *embedded* into  $P$  if there exists a polyhedron  $\Sigma$  on  $P$  such that the 1-skeleton of  $\Sigma$  has a subgraph homeomorphic to  $G$ . The components of  $\Sigma - G$  are called the *faces* of the embedding. When each face is homeomorphic to an

open disc, the embedding is called a *cellular*. The maximum genus, denoted by  $\gamma_M(G)$ , of a connected graph  $G$  is the largest genus of an orientable surface on which  $G$  admits a cellular embedding. Let  $T$  be a spanning tree of a connected graph  $G$ . The subgraph  $G - E(T)$  of  $G$  is called a *co-tree* of  $G$ . Note that the number of edges in any co-tree of  $G$  is just the cycle rank  $\beta(G)$ . The *Betti deficiency* of  $G$ , denoted by  $\xi(G)$ , is defined the minimum number of odd components (i.e., the components containing odd number of edges) among co-trees of  $G$ . We call  $T$  a *Xuong-tree* if the number of odd components of  $G - E(T)$  is  $\xi(G)$ . The following result of Xuong defines an edge-partition of a co-tree.

**Lemma 1** [20]. *Let  $G$  be a connected graph and  $T_X$  be a Xuong-tree of  $G$ . Then there exists an edge-partition of  $E(G) - E(T_X)$  as follows:*

$$E(G) - E(T_X) = \{e_1, e_2\} \cup \{e_3, e_4\} \cup \dots \cup \{e_{2m-1}, e_{2m}\} \cup \{f_1, f_2, \dots, f_s\},$$

where (1)  $m = \gamma_M(G)$ ,  $s = \xi(G)$ ; (2) for any  $i = 1, 2, \dots, m$ ,  $e_{2i-1} \cap e_{2i} \neq \emptyset$ , and  $\{f_1, f_2, \dots, f_s\}$  is a matching of  $G$ .

Let  $T_X$  be a Xuong-tree and the edge-partition of  $E(G) - E(T_X)$  be as defined in Lemma 1. Consider a set

$$S_X = \{u_i \mid u_i \in e_{2i-1} \cap e_{2i}, 1 \leq i \leq m\} \cup \{v_j \mid v_j \text{ is an end of } f_j, 1 \leq j \leq s\}.$$

Then  $G - S_X$  contains no cycle (since removing  $S_X$  from  $G$  will eliminate all the possible fundamental cycles of  $G$ ) and hence  $S_X$  is a decycling set of  $G$ , that is,  $\nabla(G) \leq |S_X|$ .

**Corollary 2.**  $\nabla(G) \leq |S_X| \leq \gamma_M(G) + \xi(G)$  holds for every graph  $G$ .

It is easy to see that the bound  $|S_X|$  heavily depends on the choice of Xuong-tree  $T_X$  (since different  $T_X$  may lead to quiet different value of  $|S_X|$ ). For instance, the wheel graph  $W_{1,n} = K_1 \vee C_n$  with  $n$  spokes has  $\nabla(W_{1,n}) = 2$ . If one chooses a Xuong-tree  $K_{1,n}$  as a spanning tree of  $W_{1,n}$ , then the corresponding  $|S_X| = \lceil \frac{n}{2} \rceil$ ; meanwhile, a Hamilton path in  $W_{1,n}$  will determine another  $S_X$  whose number of elements reaches the best value  $\nabla(W_{1,n}) = 2$ . Therefore, how to find a set  $S_X \subseteq V(G)$  with the smallest size is a key to determine  $\nabla(G)$ .

This paper is organized as follows.

In Section 2, we show that  $\nabla(G) = n - \max_T \{\alpha(G - E(T))\}$  holds for any graph  $G$ . This implies that determining the decycling number is equivalent to finding the largest independence number of a co-tree. So, finding the decycling number  $\nabla(G)$ , determining the largest independence number of a co-tree  $G - E(T)$  and finding the size of a largest induced forest in a graph  $G$  are mutually equivalent. In this sense, finding the decycling number of a graph is very hard. Applying this formula, we may obtain lower bounds for the decycling number of

some (dense) graphs. As an example, we prove that  $\nabla(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}))) \geq n - 2k$ , where  $T_1, T_2, \dots, T_{k-1}$  are  $k - 1$  edge-disjoint spanning trees of a complete graph  $K_n$ . Many examples and applications are presented to show how to apply trees into identifying the decycling number of a graph.

In Section 3, we obtain another formula to compute the decycling number of regular graphs. For any decycling set  $S$  of a  $k$ -regular graph  $G$ , we get that  $|S| = \frac{1}{k-1}(\beta(G) + m(S))$ . Obviously,  $S$  is a  $\nabla$ -set if and only if  $m(S)$  is minimum. Therefore, lower bounds for the decycling number of some (dense) graphs can be obtained. For a  $k$ -regular graph  $G$ , if  $m(S) \geq 0$  for any  $\nabla$ -set  $S$  of  $G$ , then  $\nabla(G) \geq \frac{\beta(G)}{k-1}$ . In many cases, these lower bounds may be tight (i.e., best possible) (see [3, 8, 12, 14–16, 18, 19]). Observe that for some (4-regular) graphs  $G$  of order  $n$ , there exists a decycling set  $S$  such that the margin number  $m(S)$  is a linear function of  $n$ . For instance, a toroidal 4-regular graph  $G$  contains  $n$  disjoint  $K_5 - e$ 's (see Figure 4) whose decycling number of  $G$  is  $2n + 1$ . It is easy to see that the margin number  $m(S) = n + 2$  for any  $\nabla$ -set  $S$  of  $G$ . Moreover, we discuss some relationships between the decycling number and the large genus embeddings of graphs, and show the effects of spanning trees on such topics. In particular, we give a new and direct proof of a result due to Speckenmeyer [17] and thus solve an open problem of Speckenmeyer searching for an efficient algorithm to compute  $Z(G)$ , the cardinality of the maximum nonseparating independent set of  $G$ .

In Section 4, we investigate the extremal 4-regular graphs  $G$  with the decycling number  $\nabla(G) = \left\lceil \frac{\beta(G)}{3} \right\rceil$ . Our conclusion is that for any  $\nabla$ -set  $S$  of graph  $G$ , there exists a spanning tree  $T$  in  $G$  such that elements of  $S$  are taken from the leaves of  $T$  with at most two exceptions (from the 2 or 3-degree vertices of  $T$ ). Finally, we extend this result to general case.

## 2. A FORMULA BETWEEN THE DECYCLING NUMBER AND THE INDEPENDENCE NUMBER

In this section,  $\alpha(G)$  and  $a(G)$  denote, respectively, the independence number and the number of vertices in a largest induced forest of a graph  $G$ .

**Theorem 3.** *Let  $G$  be a connected graph of order  $n$ . Then*

$$\nabla(G) = n - \max_T \{\alpha(G - E(T))\},$$

where  $T$  is taken over all spanning trees of  $G$ .

The above result reveals a relation among the decycling number, the independence number and the spanning trees in a graph and gives a new way to investigate these numbers.

**Lemma 4.** *Let  $G$  be a connected graph of order  $n$ . Then*

$$a(G) = \max_T \{\alpha(G - E(T))\},$$

where  $T$  is taken over all spanning trees of  $G$ .

**Proof.** Let  $F$  be a largest induced forest of  $G$  with  $|F| = a(G)$ . Then  $V(G) - V(F)$  is a decycling set of  $G$ . Since  $G$  is connected, there exists a spanning tree  $T$  of  $G$  such that  $F \subseteq T$ , and  $\alpha(G - E(T)) \geq |F|$ . Hence,

$$a(G) \leq \max_T \{\alpha(G - E(T))\}.$$

Conversely, let  $T_1$  be a spanning tree of  $G$  such that

$$\max_T \{\alpha(G - E(T))\} = \alpha(G - E(T_1)),$$

and suppose that  $A$  is the largest independent set of  $G - E(T_1)$ , that is,  $|A| = \alpha(G - E(T_1))$ . When we recover the edges of  $T_1$  into  $A$ , it induces a forest, and  $G - A$  is a decycling set. Hence  $|A| \leq a(G)$ . That is,

$$\max_T \{\alpha(G - E(T))\} \leq a(G). \quad \blacksquare$$

**Proof of Theorem 3.** By Lemma 4 and  $a(G) + \nabla(G) = n$ , the theorem follows.  $\blacksquare$

From the proof of Theorem 3, one may see that if  $T$  is a spanning tree of  $G$  and  $A$  is the maximum independent set of the co-tree  $G - E(T)$  (i.e.,  $|A| = \alpha(G - E(T))$ ), then  $S = V(G) - A$  is a decycling set, and so  $|V(G) - A| + |A| = n$ , which means that  $|A|$  is the largest among all spanning trees of  $G$  if and only if the corresponding decycling set  $S = V(G) - A$  is minimum. Therefore, how to find a spanning tree  $T$  of  $G$  such that  $\alpha(G - E(T))$  is the maximum is very crucial to computing the decycling number  $\nabla(G)$  of  $G$ . In the following, we shall present several applications and examples to show the effects of the spanning trees on searching for the value  $\nabla(G)$  of a graph  $G$ .

**Example 1.** Let  $T$  be a Hamilton path of a complete graph  $K_n$ . Then  $T$  is a spanning tree of  $K_n$  (see Figure 1(a)), and so  $\alpha(K_n - E(T)) \geq 2$ . By Theorem 3,  $\nabla(K_n) \leq n - 2$ . It deduces that  $\nabla(K_n) = n - 2$  because of  $\nabla(K_n) \geq n - 2$  (if we remove at most  $n - 3$  vertices of  $K_n$ , then the resultant graph will contain a cycle).

**Example 2.** For a complete bipartite graph  $K_{m,n}$ , let  $V(K_{m,n}) = V = X \cup Y$ , where  $X = \{x_1, x_2, \dots, x_m\}$ ,  $Y = \{y_1, y_2, \dots, y_n\}$ . Assume that  $m \leq n$ . We construct a spanning tree  $T$  of  $K_{m,n}$  as follows:  $E(T) = \{x_m y_j, x_i y_i \mid i = 1, 2, \dots, m - 1, j = 1, 2, \dots, n\}$  (see Figure 1(b)). Then  $\alpha(K_{m,n} - E(T)) \geq n + 1$ .

By Theorem 3,  $\nabla(K_{m,n}) \leq m - 1$ . Since  $\nabla(K_{m,n}) \geq m - 1$  (otherwise, there is a cycle by removing at most  $m - 2$  vertices of  $K_{m,n}$  in the resultant graph), we have  $\nabla(K_{m,n}) = m - 1$ .

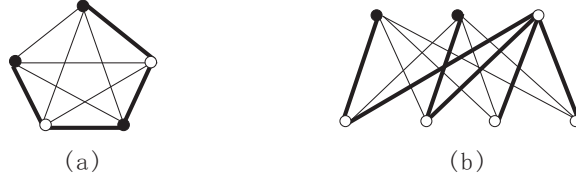


Figure 1. (a)  $\nabla(K_5) = 5 - 2 = 3$ ; (b)  $\nabla(K_{3,4}) = 3 - 1 = 2$ .

**Example 3.** For a complete  $k$ -partite graph  $K_{m_1, m_2, \dots, m_k}$ , let  $V(K_{m_1, m_2, \dots, m_k}) = V = X_1 \cup X_2 \cup \dots \cup X_k$ . Then  $|X_i| = m_i, i = 1, 2, \dots, k$ . Without loss of generality, suppose that  $m_1 \leq m_2 \leq \dots \leq m_k$ . We construct a spanning tree  $T$  of  $K_{m_1, m_2, \dots, m_k}$  as follows:  $E(T) = \{x_{1j}y, x_{11}z\}$ , where  $y \in V \setminus X_1, z$  takes over the elements of  $V \setminus X_1, x_{11}, x_{1j} \in X_1, j = 2, \dots, m_1$ . By Theorem 3,  $\nabla(K_{m_1, m_2, \dots, m_k} - E(T)) \leq \sum_{i=1}^k m_i - m_k - 1$ . Since  $\nabla(K_{m_1, m_2, \dots, m_k} - E(T)) \geq \sum_{i=1}^k m_i - m_k - 1$  (otherwise, removing at most  $\sum_{i=1}^k m_i - m_k - 2$  vertices of  $K_{m_1, m_2, \dots, m_k}$  will leave a cycle in the resultant graph),  $\nabla(K_{m_1, m_2, \dots, m_k}) = \sum_{i=1}^k m_i - m_k - 1$ .

**Example 4.** Ren [16] proved that  $\nabla(G) = \gamma_M(G) + \xi(G)$  for  $G$  being a cubic graph, where  $\gamma_M(G)$  and  $\xi(G)$  are the maximum genus and Betti deficiency of  $G$ , respectively. We consider a Xuong-tree  $T_X$  of a cubic graph  $G$  and an edge-partition of its co-tree  $G - E(T_X)$  as defined in Lemma 1. Then the set  $S_X$  (as defined in Corollary 2) is a  $\nabla$ -set since  $G - S_X$  contains no cycle and  $|S_X| = \gamma_M(G) + \xi(G)$ . Now  $V(G) - S_X$  is an independent set whose cardinality is  $n - \max_T\{\alpha(G - E(T))\}$ . Conversely, let  $T_1$  be a spanning tree of  $G$  such that  $\alpha(G - E(T_1)) = \max_T\{\alpha(G - E(T))\}$ . Then there exists an independent set  $S$  of  $G - E(T_1)$  with  $|S| = \alpha(G - E(T_1))$  such that  $G - E(T_1)$  contains an independent set  $A$  with  $|A| = \alpha(G - E(T_1)) = \max_T\{\alpha(G - E(T))\}$ . It is clear that  $G[A]$  is a largest induced forest of  $G$  and  $S = V(G) - A$  is a  $\nabla$ -set. As shown in [16],  $T_1$  is a Xuong-tree of  $G$  (since the number of odd components of  $G - E(T_1)$  is  $\xi(G)$ ).

Based on Theorem 3, many results and problems on the largest induced forests and the decycling set can be translated into one another. For instance, Albertson and Berman [1] posed the following conjecture.

**Conjecture 5** [1]. *Every planar graph has an induced forest with at least half the vertices.*

The above conjecture can also be expressed into the following three forms.

**Theorem 6.** *Let  $G$  be a planar graph of order  $n$ . Then the following statements are mutually equivalent:*

- (a)  $\nabla(G) \leq \frac{n}{2}$ ;
- (b)  $|F| \geq \frac{n}{2}$  holds for a largest induced forest  $F$  of  $G$ ;
- (c) There exists a spanning tree  $T$  in  $G$  such that  $\alpha(G - E(T)) \geq \frac{n}{2}$ .

For a plane triangulation  $G$ , a plane with all faces are triangles. By Theorem 6(c), we may first find a spanning tree  $T$  of  $G$  to determine the independence number of its co-tree  $G - E(T)$ , and further to solve the decycling number of  $G$ . Since the number of edges of  $G - E(T)$  is  $2n - 5$ , a natural idea is that the problem of the computation of the decycling number of a plane triangulation  $G$  can be put into the following problem.

**Problem 7.** *Determine the independence number for a planar graph  $G$  of order  $n$  with at most  $2n - 5$  edges.*

In the literature, there are many results on the decycling number for sparse graphs such as 3 (or 4)-regular graphs, see [3, 8, 12, 14–16, 18, 19], but little is known for those with many edges (i.e., dense graphs). Theorem 3 offers a way to estimate the lower bounds for the decycling number of dense graphs. The following result is an application.

**Theorem 8.** *Let  $T_1, T_2, \dots, T_{k-1}$  be  $k - 1$  edge-disjoint spanning trees of a complete graph  $K_n$ . Then*

$$\nabla(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}))) \geq n - 2k.$$

*And the equality holds if and only if  $K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}))$  contains a spanning tree  $T_k$  such that the graph  $T_1 \cup T_2 \cup \dots \cup T_{k-1} \cup T_k$  contains  $K_{2k}$ .*

**Proof.** Let  $T_1, T_2, \dots, T_{k-1}$  be  $k - 1$  edge-disjoint spanning trees of a complete graph  $K_n$ . Assume (reductio ad absurdum) that  $T_k$  is a spanning tree of  $K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}))$  such that  $\alpha(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}) \cup E(T_k))) \geq 2k + 1$ . Then  $T_1 \cup T_2 \cup \dots \cup T_{k-1} \cup T_k$  contains  $K_{2k+1}$ , and hence

$$|\{E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}) \cup E(T_k)\} \cap E(K_{2k+1})| \geq k(2k + 1).$$

Color the edges of  $K_{2k+1}$  with  $k$  different colors, then the number of edges with the same color is not more than  $2k$ . Otherwise, there will exist a subgraph (induced by these edges) containing a cycle, which contraries to the number of edges of  $K_{2k+1}$ . By Theorem 3,

$$\begin{aligned} & \nabla(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}))) \\ &= n - \max_{T_k} \{\alpha(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}) \cup E(T_k)))\}, \end{aligned}$$

that is,

$$\max_{T_k} \{ \alpha(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}) \cup E(T_k))) \} \leq 2k.$$

Let  $\nabla(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}))) = n - 2k$ . By Theorem 3, there exists a spanning tree  $T_k$  of  $K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}))$  such that  $\alpha(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}) \cup E(T_k))) = 2k$ . The edges of each  $T_i$  ( $1 \leq i \leq k$ ) in  $K_{2k}$  form a spanning tree of  $K_{2k}$ . Conversely, for  $k - 1$  edge-disjoint spanning trees  $T_1, T_2, \dots, T_{k-1}$  of  $K_n$ , if there is a spanning tree  $T_k$  of  $K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}))$  such that the subgraphs of  $K_n$  determined by these trees  $T_1, T_2, \dots, T_{k-1}, T_k$  containing a complete graph  $K_{2k}$ , then  $\alpha(K_n - (E(T_1) \cup E(T_2) \cup \dots \cup E(T_{k-1}) \cup E(T_k))) \geq 2k$ . ■

### 3. A FORMULA BETWEEN THE DECYCLING NUMBER AND THE MARGIN NUMBER

Let  $E(S, G - S)$  be the set of edges such that each edge has one vertex in  $S$  and another one in  $G - S$ .  $d_G(x)$  and  $\Delta(G)$  (or  $\Delta$  for short) represent the degree of vertex  $x$  and the maximum degree of  $G$ , respectively. In this section, we present another formula for the decycling number  $\nabla(G)$  of a  $k$ -regular graph  $G$ .

**Theorem 9.** *Let  $S$  be a decycling set of  $G$ . Then*

$$\sum_{x \in S} (d_G(x) - 1) = \beta(G) + m(S).$$

**Proof.** Let  $S = \{x_1, x_2, \dots, x_{|S|}\}$  be a decycling set of  $G$ . Then

$$\begin{aligned} q - \sum_{i=1}^{|S|} d_G(x_i) &= q - |E(S, G - S)| - 2|E(S)| \\ &= p - |S| - c - |E(S)|, \end{aligned}$$

where  $p = |V(G)|$ ,  $q = |E(G)|$ ,  $c$  and  $|E(S)|$  are, respectively, the number of components of  $G - S$  and the number of edges of  $G[S]$ .

As  $\beta(G) = q - p + 1$ ,

$$\sum_{i=1}^{|S|} (d_G(x_i) - 1) = \beta(G) + c + |E(S)| - 1.$$

And for  $m(S) = c + |E(S)| - 1$ , we have

$$\sum_{i=1}^{|S|} (d_G(x_i) - 1) = \beta(G) + m(S).$$

This completes the proof. ■



**Remark 1.** Observe that if all the vertices of  $S$  have degree  $k$ , then  $|S| = \frac{1}{k-1}(\beta(G) + m(S))$ . In particular, if  $G$  is a  $k$ -regular graph, then  $S$  is a  $\nabla$ -set if and only if  $m(S)$  is minimum among all the decycling set  $S$  of  $G$ .

Although there exists some uncertain parameter like  $m(S)$ , this result provides a way to locate the value of  $\nabla(G)$ : once we find a decycling set  $S$  such that  $m(S)$  reaches the minimum, then  $S$  is a  $\nabla$ -set of  $G$ . We will show its applications in the discussion to come.

A simple corollary of Theorem 9 is:

**Corollary 10.** *Let  $G$  be a graph with maximum degree  $\Delta$  which has the  $\nabla$ -set such that each vertex of this set has degree  $\Delta$ . Then*

$$(1) \quad \nabla(G) \geq \frac{\beta(G)}{\Delta - 1}.$$

**Remark 2.** (i)  $\nabla(G) = \frac{\beta(G)}{\Delta-1}$  if and only if  $m(S) = 0$  which means that for a  $\nabla$ -set  $S$  of  $G$ ,  $G - S$  is a tree  $T_0$  and  $G[S]$  is an empty subgraph. In this case,  $\nabla(G)$  has a strong combinatorial characterization: for any vertex  $x \in S$  incident to a vertex  $y \in V(T_0)$ , insert the edge  $xy$  into  $T_0$ . This procedure determines a spanning tree  $T$  (it is in fact a Xuong-tree) of  $G$  (such that  $\xi(G) = 0$ ) if we add  $|S|$  edges into  $T_0$ . Therefore, deleting a vertex  $x$  of  $S$  will destroy  $d_G(x) - 1$  fundamental cycles of  $G$  and deleting  $\nabla(G)$  vertices of  $S$  will destroy all fundamental cycles of  $G$ ;

(ii) the inequality (1) may be tight, see [3, 8, 12, 14–16, 18, 19].

The following examples show the formula of Theorem 9 applying on some types of regular graphs.

**Example 5.** Let  $S$  be a decycling set of a hypercube  $Q_n$  (a graph contains  $2^n$   $n$ -tuples of 0's and 1's as vertices with two vertices adjacent if they differ in exactly one position). Then

$$(2) \quad 2^{n-1} - \frac{2^{n-1} - 1}{n - 1} \leq \nabla(Q_n) \leq 2^{n-1} - \frac{2^{n-1} - m(S) - 1}{n - 1}.$$

The inequalities in (2) are equalities for  $n = 3, 4$  [3] (see Figure 2).

**Proof.** By the definition of  $Q_n$ ,  $\beta(Q_n) = (n - 2)2^{n-1} + 1$ . By Corollary 10,  $\nabla(Q_n) \geq 2^{n-1} - \frac{2^{n-1}-1}{n-1}$ . Let  $S$  be a decycling set of  $Q_n$ . Then  $|S| = 2^{n-1} - \frac{2^{n-1}-m(S)-1}{n-1}$ , thus  $\nabla(Q_n) \leq |S| = 2^{n-1} - \frac{2^{n-1}-m(S)-1}{n-1}$ . ■

**Remark 3.** (i) Two spanning trees  $T_1$  and  $T_2$  which induced by the bold edges in Figure 2(a) and (b) of  $Q_n$  satisfying that  $Q_n - E(T_i)$  ( $i = 1, 2$ ) has the largest independence number, respectively.

(ii) Focardi [6] proved that  $2^{n-1} - \frac{2^{n-1}-1}{n-1} \leq \nabla(Q_n) \leq 2^{n-1} - \frac{2^{n-1}}{2(n-1)}$ . The lower bound was also proved by Beineke and Vandell [3]. In fact, if the upper bound of Focardi's result is best possible, then  $m(S) = 2^{n-2} - 1$  for any  $\nabla$ -set  $S$ . Therefore, determining the decycling number of  $Q_n$  for larger  $n$  is very difficult.

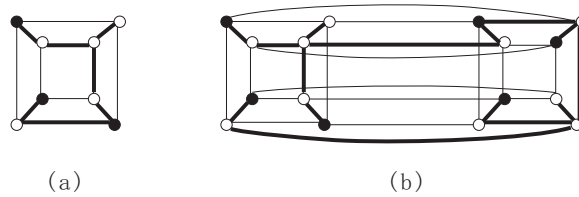


Figure 2. (a)  $Q_3$  with  $m(S) = 1$ ; (b)  $Q_4$  with  $m(S) = 1$ .

**Example 6.** For any two cycles  $C_m$  and  $C_n$ , their *Cartesian product* is the graph  $C_m \times C_n$  with vertex set  $V(C_m \times C_n) = \{w_{ij} \mid i = 1, 2, \dots, m, j = 1, 2, \dots, n\}$  and edge set  $E(C_m \times C_n) = \{w_{ij}w_{rs} \mid i = r, v_jv_s \in E(C_n) \text{ or } j = s, u_iu_r \in E(C_m)\}$ . Clearly,  $C_m \times C_n$  is a 4-regular graph, see Figure 3. For any decycling set  $S$  of  $C_m \times C_n$ , we have

$$(3) \quad \frac{mn + 1}{3} \leq \nabla(C_m \times C_n) \leq \frac{mn + m(S) + 1}{3}, m, n \geq 3.$$

In particular, the bounds of  $\nabla(C_m \times C_n)$  in (3) are sharp for  $m = 3$  (or  $n = 3$ ) (see Figure 3).

**Proof.** It is easy to see that  $\beta(C_m \times C_n) = mn + 1$ . By Corollary 10,  $\nabla(C_m \times C_n) \geq \frac{1}{3}(mn + 1)$ . Let  $S$  be a decycling set of  $C_m \times C_n$ . Then  $\nabla(C_m \times C_n) \leq |S| = \frac{1}{3}(mn + m(S) + 1)$ . When  $m = 3$ ,  $\frac{3n+1}{3} \leq \nabla(C_3 \times C_n) \leq \frac{3n+m(S)+1}{3}$ , that is,  $n + 1 \leq \nabla(C_3 \times C_n) \leq n + \frac{m(S)+1}{3}$ , we can find a decycling set  $S$  such that  $m(S) = 2$  (see Figure 3), then  $\nabla(C_3 \times C_n) = n + 1$ . ■

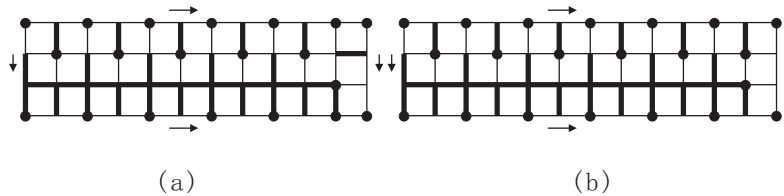


Figure 3. A drawing of  $C_m \times C_n$  on the torus.

**Remark 4.** (i) The spanning trees  $T$  of  $C_3 \times C_n$  in Figure 3(a) and (b) satisfy that  $C_3 \times C_n - E(T)$  has the largest independence number, respectively.

(ii) Our result shows that  $\nabla(C_m \times C_n) = \frac{mn+m(S)+1}{3}$  for  $S$  being a  $\nabla$ -set of  $C_m \times C_n$ , which equals to Pike's result  $\nabla(C_m \times C_n) = \lceil \frac{mn+2}{3} \rceil$  ( $m, n \neq 4$ ) when  $m(S) \leq 1$  [13]. Therefore, this provides a way to locate the exact value of  $\nabla(G)$  (to find a decycling set  $S$  with the minimum  $m(S)$ ).

The formula of Theorem 9 also has some applications in topological graph theory.

A vertex set  $S$  is called a *nonseparating independent set* of  $G$  if  $S$  is an independent set of  $G$  and  $G - S$  is connected. The cardinality of a maximum nonseparating independent set of  $G$  is denoted by  $Z(G)$ . The following result shows a close relation between nonseparating independence number  $Z(G)$  and the maximum genus  $\gamma_M(G)$  of a cubic graph  $G$  and makes an extension of a result due to Speckenmeyer [17], we give a new and direct proof of it via trees.

**Theorem 11.** *Let  $G$  be a cubic graph. Then*

- (a)  $Z(G) = \gamma_M(G)$ ;
- (b) *for every maximum nonseparating independent set  $S$  of  $G$ ,  $G - S$  contains no two cycles sharing a vertex in common. Moreover, there exists a Xuong-tree  $T_X$  such that the elements in  $S$  are leaves of  $T_X$ .*

**Proof.** Let  $T_X$  be a Xuong-tree of  $G$  with an edge-partition of  $G - E(T)$  as defined in Lemma 1. Then for  $1 \leq i \leq \gamma_M(G)$ ,  $e_{2i-1} \cap e_{2i} = \{u_i\}$  forms a set of independent vertices of  $G$  (which are leaves of  $T_X$ ). Hence  $\{u_1, u_2, \dots, u_{\gamma_M(G)}\}$  is a nonseparating independent set of  $G$ . Therefore,  $Z(G) \geq \gamma_M(G)$ . To see the converse inequality, we consider a nonseparating independent set  $S$ . Then  $G - S$  is connected. We may suppose further that  $G - S$  is a tree  $T_0$  and  $T$  is a spanning tree built in Remark 2(i). Then elements of  $S$  are leaves of  $T$ . After repeating the argument in Remark 2(i), we may see that  $|S| \leq \gamma_M(G)$  which means that  $Z(G) \leq \gamma_M(G)$ . This proves (a).

Suppose that  $G - S$  contains two cycles with one vertex in common. Then by Lemma 1,  $\gamma_M(G - S) \geq 1$ , which together with the construction of a largest genus embedding stated in the proof of (a),  $\gamma_M(G) \geq Z(G) + 1 (= \gamma_M(G) + 1)$ , a contradiction. Therefore, cycles of  $G - S$  are independent. In fact, the number of cycles in  $G - S$  is  $\xi(G)$ . In addition, any spanning tree  $T_0$  of  $G - S$  is a subgraph of a Xuong-tree  $T_X$  of  $G$  (as stated in the proof of (a)). This proves (b). ■

By Theorem 11, we obtain a result which has been proved by Speckenmeyer in [17] as follows.

**Corollary 12.** *Let  $G$  be a cubic graph of order  $n$ . Then  $\nabla(G) + Z(G) = \frac{n+2}{2}$ .*

Furthermore, the formula (a) in Theorem 11, together with a result of Furst [7], provide an efficient way to compute the value  $Z(G)$  for cubic graphs and solves an open problem raised in [17] searching for a polynomial time algorithm to decide  $Z(G)$  and  $\nabla(G)$ .

**Theorem 13.** *Let  $S$  be a  $\nabla$ -set of a  $k$ -regular graph  $G$ .*

- (a) *If  $m(S) = 0$ , then for every  $\nabla$ -set  $S$ , there exists a spanning tree  $T$  in  $G$  such that all vertices of  $S$  are leaves of  $T$ .*
- (b) *If  $m(S) = 0$ , and  $k \equiv 1 \pmod{2}$ , then  $\nabla(G) = \frac{2}{k-1}\gamma_M(G)$ , and each spanning tree  $T$  in (a) is a Xuong-tree of  $G$ .*

**Proof.** Let  $S = \{x_1, x_2, \dots, x_\nabla\}$  be a  $\nabla$ -set of a  $k$ -regular graph  $G$  with  $m(S) = 0$ . Then  $G[S]$  has no cycle and  $G - S$  is a tree  $T_0$ . Suppose that  $y_i$  is a neighbor of  $x_i$  in  $T_0$  ( $i = 1, 2, \dots, \nabla$ ). Then  $T = T_0 + \{e_i = x_i y_i \mid 1 \leq i \leq \nabla\}$  is a spanning tree of  $G$  and  $S$  is a subset of leaves of  $T$ . If  $k \equiv 1 \pmod{2}$ , then we arrange the left  $k - 1$  edges (other than  $x_i y_i$ ) into  $\frac{k-1}{2}$  pairs for each  $i$  ( $1 \leq i \leq \nabla$ ) and thus it gives rise to an edge-partition of  $G - E(T)$  with  $\xi(G) = 0$ . Notice that in Xuong's construction of the maximum genus embedding [20], each pair of adjacent edges in  $G - E(T)$  will contribute a genus, the graph  $G$  may be embedded into an orientable surface with  $\frac{k-1}{2}\nabla = \gamma_M(G)$  handles. This ends the proof of (b). As for (a), it follows from the discussion used in the proof of (a) of Theorem 11. ■

One case may appear if there is a vertex  $x$  of a decycling set  $S$  such that  $d_G(x) < \Delta$ . Then the formula of Theorem 9 will be invalid for this case. For instance, a grid of paths  $P_m$  and  $P_n$  is the graph  $P_m \times P_n$  with vertex set  $V(P_m \times P_n) = \{w_{ij} \mid i = 1, 2, \dots, m, j = 1, 2, \dots, n\}$  and edge set  $E(P_m \times P_n) = \{w_{ij} w_{rs} \mid i = r, v_j v_s \in E(P_n) \text{ or } j = s, u_i u_r \in E(P_m)\}$ . We have to choose at least one vertex of degree 3 to eliminate the boundary cycle of  $P_m \times P_n$ . It is clear that any  $\nabla$ -set  $S$  of the grid  $P_m \times P_n$  does not need to contain a 2-degree vertex (since subdividing an edge of a graph does not change its decycling number). Therefore, we may only consider the  $\nabla$ -set  $S$  whose vertices are of degree 4 or 3. Here, we slightly extend the formula of Theorem 9 as follows.

**Theorem 14.** *Let  $G$  be a non-regular graph with maximum degree  $\Delta$  and  $S$  a decycling set of  $G$ . Suppose that  $d$  ( $d < \Delta$ ) is a fixed natural number with  $S = S_\alpha \cup S_\beta$ ,  $S_\alpha = \{x \mid d_G(x) = \Delta, x \in S\}$ ,  $S_\beta = \{x \mid d_G(x) = d < \Delta, x \in S\}$ . Then  $|S| = \frac{1}{\Delta-1}(\beta(G) + (\Delta - d)|S_\beta| + m(S))$ .*

**Proof.** Let  $S$  be a decycling set of a graph  $G$ . Similar to the proof of Theorem 9,

$$(\Delta - 1)(|S| - |S_\beta|) + (d - 1)|S_\beta| = \beta(G) + c + |E(S)| - 1,$$

i.e.,

$$(\Delta - 1)|S| - (\Delta - d)|S_\beta| = \beta(G) + c + |E(S)| - 1,$$

and then

$$|S| = \frac{1}{\Delta - 1}(\beta(G) + (\Delta - d)|S_\beta| + m(S))$$

since  $m(S) = c + |E(S)| - 1$ . ■

**Corollary 15.** *Let  $G$  be a non-regular graph with maximum degree  $\Delta$  which has the  $\nabla$ -set such that each vertex of this set has degree  $\Delta$ . Then*

$$\nabla(G) \geq \frac{1}{\Delta - 1}(\beta(G) + \Delta - d),$$

where  $d$  ( $d < \Delta$ ) is the degree of some vertices of a  $\nabla$ -set of  $G$ .

**Example 7** (Cartesian product of two paths). For any decycling set  $S$  of  $P_m \times P_n$ ,

$$(4) \quad \frac{mn - m - n + 2}{3} \leq \nabla(P_m \times P_n) \leq \frac{mn - m - n + |S_\beta| + m(S) + 1}{3}$$

for  $m, n \geq 3$ .

The bounds of  $\nabla(P_m \times P_n)$  in (4) are best possible for  $n = 4, 6, 7$  [3].

**Proof.** By the definition of  $P_m \times P_n$ ,  $\beta(P_m \times P_n) = mn - m - n + 1$ . By Corollary 15, it follows that  $\nabla(P_m \times P_n) \geq \frac{1}{3}(mn - m - n + 2)$ . Let  $S$  be a decycling set of  $P_m \times P_n$ . Then  $\nabla(P_m \times P_n) \leq |S| = \frac{1}{3}(mn - m - n + |S_\beta| + m(S) + 1)$ . ■

**Remark 5.** Some nonregular graphs may also have a  $\nabla$ -set with a large margin number, such as the grid  $P_5 \times P_n$ . Beineke [3] proved that  $\nabla(P_5 \times P_n) = \lfloor \frac{3n}{2} \rfloor - \lfloor \frac{n}{8} \rfloor - 1$ . Together with Theorem 14, we get  $m(S) + |S_\beta| = 3(\lfloor \frac{3n}{2} \rfloor - \lfloor \frac{n}{8} \rfloor) - 4n + 1$ , which tends to infinite as  $n \rightarrow \infty$ .

The above discussions imply that the margin number  $m(S)$  of a decycling set  $S$  may be arbitrarily large for some regular graphs. For some (4-regular) graphs  $G$  of order  $n$ , there exists a decycling set  $S$  such that the margin number  $m(S)$  is a linear function of  $n$ . For instance, a toroidal 4-regular graph  $G$  containing  $n$  disjoint  $K_5 - e$ 's (see Figure 4) whose decycling number of  $G$  is  $2n + 1$ , and by formula (1), its margin number  $m(S) = n + 2$ .

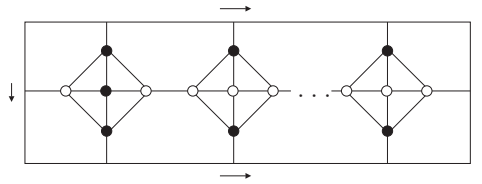


Figure 4. A toroidal 4-regular graph with  $m(S) = n + 2$ .

On the other hand, suppose that  $S$  is a decycling set of a regular graph  $G$ , for any vertex  $x \in S$ , adding an edge to join  $x$  and  $G - S$ , this procedure determines a Xuong-tree  $T_X$  since  $G - E(T_X)$  has no odd components (i.e.,  $\xi(G) = 0$ ), which means that the elements of  $S$  are taken from the leaves of a Xuong-tree. This may be extended to the  $\nabla$ -set  $S$  with the margin number  $m(S)$  are of relative

small, that is, when the margin number is small enough, the elements of  $S$  are taken from the leaves of a spanning tree  $T$  with few exceptions. We shall discuss this situation in Section 4.

#### 4. 4-REGULAR GRAPHS

In this section we concentrate on studying the combinatorial structure of 4-regular graphs  $G$  with the decycling number  $\nabla(G) = \left\lceil \frac{\beta(G)}{3} \right\rceil$ .

**Theorem 16.** *Let  $G$  be a 4-regular graph with  $\nabla(G) = \left\lceil \frac{\beta(G)}{3} \right\rceil$ . Then there exists a spanning tree  $T$  in  $G$  such that elements of any  $\nabla$ -set of  $G$  are simply the leaves of  $T$  with at most two exceptions.*

**Proof.** Let  $S$  be a  $\nabla$ -set of a 4-regular graph  $G$ . Assume that  $\beta(G) = 3m + r$ ,  $0 \leq r \leq 2$ ,  $m$  is a nonnegative integer. Then three claims arise.

**Claim 1.** *If  $r = 0$ , then  $S$  is a  $\nabla$ -set if and only if  $m(S) = 0$  and vertices of  $S$  are leaves of a spanning tree  $T$  of  $G$ .*

**Proof.** The first part follows from Theorem 9. Now suppose that  $m(S) = 0$ . Then  $c = 1$  and  $|E(S)| = 0$ . We can construct a spanning tree  $T$  as we have reasoned in the proof of Theorem 9. It is clear that the elements of  $S$  are leaves of  $T$ . □

**Claim 2.** *If  $r = 1$ , then  $S$  is a  $\nabla$ -set if and only if  $m(S) = 2$  and vertices of  $S$  are leaves of a spanning tree  $T$  of  $G$  with at most two exceptions.*

**Proof.** The first part follows from Theorem 9. It is clear that  $c + |E(S)| = 3$  since  $m(S) = 2$ . We construct a spanning tree  $T$  of  $G$  satisfying the above condition. There are three cases according to the values of  $c$  and  $|E(S)|$ .

*Case 1.*  $c = 1$  and  $|E(S)| = 2$ . Since  $G$  is connected, there exists two edges, say  $e_1 = ab$  and  $e_2 = cd$  (possibly  $b = c$ ) in  $G[S]$ . For each vertex  $x \in S - \{a, b, c, d\}$ , add edges  $e = xy$ ,  $e_3 = by$  and  $e_4 = cy$  (prescribe  $e_3 = e_4$  when  $b = c$ ), into  $G - S$ , where  $y \in G - S$ . After this, we obtain a spanning tree  $T$  of  $G$  containing  $G - S$  as its subgraph. Which satisfies the condition of Claim 2 (i.e., when  $b \neq c$ ,  $S$  has two vertices  $b, c$  which are not leaves of  $T$ ; if  $b = c$ , then the only exception of  $S$  is  $b = c$ ).

*Case 2.*  $c = 2$  and  $|E(S)| = 1$ . Without loss of generality, let  $Q_1, Q_2$  be the two components of  $G - S$  and  $E(S) = \{xy\}, x, y \in S$ . Since  $G$  is connected, there exists an edge  $e = xy$  (possibly  $x = y$ ) in  $G[S]$ . Two situations will appear to construct a spanning tree  $T$  of  $G$ : (a) If  $x \neq y$ , then (i)  $x$  and  $y$  join  $Q_1$  and  $Q_2$ ,

respectively. Let  $x_1 \in Q_1$  and  $x_2 \in Q_2$  be such that  $xx_1, yx_2 \in E(G)$ . The edges  $xy, xx_1, yx_2, Q_1$  and  $Q_2$  form a tree  $T_1$  containing  $Q_1, Q_2$ ; (ii)  $x$  joins  $Q_1$  and  $Q_2$ . Let  $x_0 \in Q_1$  and  $y_0 \in Q_2$  be such that  $xx_0, xy_0 \in E(G)$ . Then the edges  $xy, xx_0, xy_0, Q_1$  and  $Q_2$  form a tree  $T_1$  containing  $Q_1, Q_2$ . For other vertices  $z \in S - \{x, y\}$ , we add an edge join  $z$  with  $Q_1 \cup Q_2$ . It is clear that such edges and  $T_1$  form a spanning tree  $T$  of  $G$ . (b) If  $x = y$ , then there is an edge  $e_0 = fg$  in  $G[S]$  such that  $x$  joins  $Q_1$  and  $Q_2$ ,  $f$  joins  $Q_1 \cup Q_2$ , the remaining vertices of  $S - \{x, f, g\}$  as did in the case of  $x \neq y$ , so we may construct a spanning tree  $T$  of  $G$ . The above spanning trees also satisfy the condition of Claim 2.

*Case 3.*  $c = 3$  and  $|E(S)| = 0$ . Suppose that  $Q_1, Q_2$  and  $Q_3$  are three components of  $G - S$ . Then a spanning tree  $T$  of  $G$  will be constructed as follows. Since  $G$  is connected, there exist two vertices, say  $x$  and  $y$  (possibly  $x = y$ ), in  $S$  such that  $x$  joins  $Q_1$  and  $Q_2$ ,  $y$  joins  $Q_2$  and  $Q_3$ . This time we may also construct a spanning tree  $T$  of  $G$  which contains  $Q_1, Q_2$  and  $Q_3$  as we did in Case 2. And hence, when  $x \neq y$ ,  $x$  and  $y$  are the only two vertices in  $S$  which are not leaves of  $T$ ; if  $x = y$ , then  $x$  is the only vertex in  $S$  is not the leaf of  $T$ . □

**Claim 3.** *If  $r = 2$ , then  $S$  is a  $\nabla$ -set if and only if  $m(S) = 1$ . Meanwhile, there exists a spanning tree  $T$  of  $G$  such that all (but at most one) vertices of  $S$  are leaves of  $T$ .*

**Proof.** The proof of Claim 3 is analogous to Claims 1 and 2, we omit its proof. □

Now the entire proof of the theorem is complete. ■

We give three examples of 4-regular graphs with  $m(S) = 0, 1, 2$ , respectively. See Figure 5(a), Figure 5(b) and Figure 2(b).

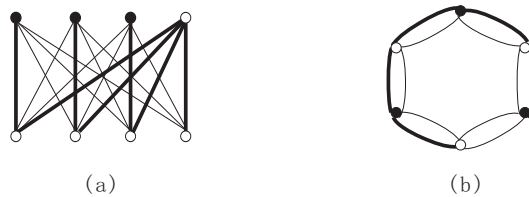


Figure 5. (a) 4-regular graph with  $m(S) = 0$ ; (b) 4-regular graph with  $m(S) = 2$ .

After a similar discussion in 4-regular graphs, we may extend Theorem 16 to general case.

**Theorem 17.** *Let  $G$  be a  $k$ -regular graph with  $\nabla(G) = \left\lceil \frac{\beta(G)}{k-1} \right\rceil$  and  $\beta(G) = m(k-1) + r, 0 \leq r \leq k-2, m$  is a nonnegative integer. Then  $S$  is a  $\nabla$ -set of  $G$*

if and only if

$$m(S) = \begin{cases} 0, & \text{for } r = 0, \\ k - r - 1, & \text{for otherwise.} \end{cases}$$

Moreover, there exists a spanning tree  $T$  in  $G$  such that elements of  $S$  are simply the leaves of  $T$  with at most  $m(S)$  exceptions.

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