

## GENERALISED IRREDUNDANCE IN GRAPHS: NORDHAUS-GADDUM BOUNDS

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### Abstract

For each vertex  $s$  of the vertex subset  $S$  of a simple graph  $G$ , we define Boolean variables  $p = p(s, S)$ ,  $q = q(s, S)$  and  $r = r(s, S)$  which measure existence of three kinds of  $S$ -private neighbours ( $S$ - $pns$ ) of  $s$ . A 3-variable Boolean function  $f = f(p, q, r)$  may be considered as a compound existence property of  $S$ - $pns$ . The subset  $S$  is called an  $f$ -set of  $G$  if  $f = 1$  for all  $s \in S$  and the class of  $f$ -sets of  $G$  is denoted by  $\Omega_f(G)$ . Only 64 Boolean functions  $f$  can produce different classes  $\Omega_f(G)$ , special cases of which include the independent sets, irredundant sets, open irredundant sets and CO-irredundant sets of  $G$ .

Let  $Q_f(G)$  be the maximum cardinality of an  $f$ -set of  $G$ . For each of the 64 functions  $f$ , we establish sharp upper bounds for the sum  $Q_f(G) + Q_f(\overline{G})$  and the product  $Q_f(G)Q_f(\overline{G})$  in terms of  $n$ , the order of  $G$ .

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### 1. INTRODUCTION

Generalised irredundant sets were defined in [2]. We repeat the definition here for completeness but omit motivation which may be found in [2]. The open (closed) neighbourhood of the vertex subset  $S$  of a simple graph  $G = (V, E)$  is denoted by  $N(S)$  ( $N[S]$ ) and as usual, for  $s \in V$ ,  $N(\{s\})$  and  $N[\{s\}]$  are abbreviated to  $N(s)$  and  $N[s]$ .

The basic ingredients of the definition of generalised irredundant sets are three properties which make a vertex  $s$  (informally) important in a vertex subset  $S$  of a graph  $G$ . It will also help the intuition to replace the word “important” by “essential” or “non-redundant.” Each property depends on the existence of one of the three types of  $S$ -private neighbour ( $S$ -pn)  $t$  for  $s$ , which we now formally define.

For  $s \in S$ , vertex  $t$  is an:

- (i)  $S$ -self private neighbour ( $S$ -spn) of  $s$  if  $t = s$  and  $s$  is an isolated vertex of  $G[S]$ ,
- (ii)  $S$ -internal private neighbour ( $S$ -ipn) of  $s$  if  $t \in S - \{s\}$  and  $N(t) \cap S = \{s\}$ ,
- (iii)  $S$ -external private neighbour ( $S$ -epn) of  $s$  if  $t \in V - S$  and  $N(t) \cap S = \{s\}$ .

Observe that each such  $t$  is an element of  $N[s] - N(S - \{s\})$  and that no  $s \in S$  may have  $S$ -pns of both type (i) and type (ii).

For  $s \in S$  let  $p(s, S)$ ,  $q(s, S)$ ,  $r(s, S)$  be Boolean Variables which take the value 1 if and only if  $s$  has an  $S$ -pn of type (i), (ii), (iii) respectively. Whenever possible we use the abbreviations  $p$ ,  $q$ ,  $r$  for these variables. Further let  $S(s) = (p(s, S), q(s, S), r(s, S))$ . Observe that for all  $s$  and  $S$ ,  $p(s, S) \cap q(s, S) = 0$ , i.e., the three Boolean variables are not independent and  $S(s)$  is never  $(1, 1, 0)$  or  $(1, 1, 1)$ .

**Example 1.** Consider the vertex subset  $S = \{a, b, c, d\}$  of the graph  $G$  depicted in Figure 1. The  $S$ -pns of vertices of  $S$  are tabulated in Table 1 and we observe

$$S(a) = (0, 1, 1), \quad S(b) = (0, 0, 0), \quad S(c) = (0, 0, 1), \quad S(d) = (1, 0, 1).$$

We are now ready to define generalised irredundant sets. Let  $f$  be a Boolean function of the three variables  $p(s, S)$ ,  $q(s, S)$ ,  $r(s, S)$ .

**Definition.** The vertex subset  $S$  of  $G$  is an  $f$ -set of  $G$  if for each  $s \in S$

$$f(S(s)) = f(p(s, S), q(s, S), r(s, S)) = 1.$$

The function  $f$  may be viewed as a compound existence/non-existence property of the three types of  $S$ -pn. The class of all  $f$ -sets of  $G$  will be denoted by  $\Omega_f(G)$  (abbreviated to  $\Omega_f$  whenever possible).

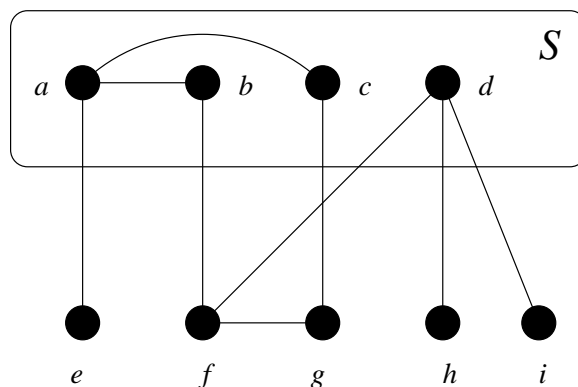


Figure 1. Graph  $G$  for Example 1

	type(i)	type(ii)	type(iii)
$a$		$b, c$	$e$
$b$			
$c$			$g$
$d$	$d$		$h, i$

Table 1.  $S$ -pns of vertices of  $S$  for graph  $G$  for Example 1.

The rows of the truth table of  $f$  will be labelled  $0, \dots, 7$ , so that the entry in row  $i$  is  $f(p, q, r)$ , where  $pqr$  is the binary representation of the integer  $i$  (e.g.,  $f(1, 0, 1)$  is the fifth entry in the table). Recall that for each  $s \in S$ ,  $S(s)$  is never equal to  $(1, 1, 0)$  or  $(1, 1, 1)$ . We deduce:

- (a) If the only 1's in the truth table for  $f$  occur in rows 6 or 7, then  $\Omega_f = \emptyset$ .
- (b) If  $f'$  is formed from  $f$  by replacing the values in rows 6 and 7 by 0's, then  $\Omega_{f'} = \Omega_f$ .

Thus we will only be concerned with the set  $F$  of 64 functions with 0's in rows 6 and 7. Two of these are in fact rather uninteresting since  $f = 0$  gives  $\Omega_f = \emptyset$  and the function  $g$  with 1's in all rows  $0, 1, \dots, 5$  has  $\Omega_g$  equal to the class of all subsets of  $V$ .

The functions of  $F$  will be numbered (as in [4]) as follows. Let  $a_0a_1a_2a_3a_4a_5$  be the binary representation of  $i$ . Then  $f_i$  is defined to be the

function with entries  $a_0a_1a_2a_3a_4a_5$  in rows 0 through 5, respectively. Note that  $F = \{f_0, \dots, f_{63}\}$ .

We now list four special classes of  $f$ -sets. Additional examples may be found in [2, 4].

**Example 2.**

(i) The function  $p$ .

The truth table column is 0, 0, 0, 0, 1, 1, 0, 0. Since 3 (decimal) = 00011 (binary),  $p = f_3$ . The subset  $S$  of  $V(G)$  is an  $f$ -set of  $G$  if and only if each  $s \in S$  is isolated in  $G[S]$ , i.e.,  $S$  is independent in  $G$ . Thus  $\Omega_p = \Omega_{f_3}$  is precisely the class of independent sets of  $G$ .

(ii) The function  $p \vee r$ .

The truth table column is 0, 1, 0, 1, 1, 1, 0, 0. Since 010111 (binary) = 23 (decimal),  $p \vee r = f_{23}$ . Then  $S \subseteq V(G)$  is an  $f_{23}$ -set of  $G$  if and only if each  $s \in S$  is isolated in  $G[S]$  or has an  $S$ -epn, i.e.,  $S$  is an irredundant set of  $G$  (originally defined in [7]). Hence  $\Omega_{f_{23}}$  is precisely the class of irredundant sets of  $G$ . See [18] for a bibliography of over 100 papers concerning irredundance.

(iii) The function  $p \vee q \vee r$ ,

The truth table column is 0, 1, 1, 1, 1, 1, 0, 0. So that  $p \vee q \vee r = f_{31}$ . Each vertex of an  $f_{31}$ -set  $S$  has at least one  $S$ -pn, i.e.,  $\Omega_{31}$  is the class of CO-irredundant sets which are defined in [14] and studied in [8, 9, 12, 21].

(iv) The function  $r$ .

The truth table column is 0, 1, 0, 1, 0, 1, 0, 0. Since (010101) binary = 21 (decimal),  $r = f_{21}$ . The subset  $S$  is an  $f_{21}$ -set if each  $s \in S$  has an  $S$ -epn. Such sets (called *open irredundant*) were introduced in [14] and applied to broadcast networks. They are also known as *OC-irredundant sets* and have been studied in [1, 2, 3, 5, 13, 15, 16, 17, 19].

In view of Example 2, we regard each  $\Omega_f$  as a class of generalised irredundant sets.

In [2, 4] the hereditary classes among the  $\Omega_f$ 's were determined and Ramsey properties of the classes were investigated.

Let  $Q_i(G)$  be the maximum cardinality of an  $f_i$ -set of  $G$ . Wherever possible we abbreviate  $Q_i(G)$ ,  $Q_i(\overline{G})$  to  $Q_i$ ,  $\overline{Q}_i$  respectively. In this paper we determine Nordhaus-Gaddum type bounds (see [20]) for these parameters.

More specifically for each  $i = 1, \dots, 63$  we find upper bounds for

$$\max_G (Q_i + \bar{Q}_i) \quad \text{and} \quad \max_G (Q_i \bar{Q}_i)$$

where the maximum is taken over all  $n$  vertex graphs  $G$ . The bounds are attained for an infinite number of values of  $n$ .

## 2. THE BOUNDS

The Nordhaus-Gaddum bounds for the 63 non-zero values of  $i$ , will be given in Theorems 3, 5 and 11. We first state an obvious Lemma.

**Lemma 1.** *If  $f_i \implies f_j$ , then for any graph  $G$ ,  $Q_i \leq Q_j$ .*

**Theorem 1.** *If  $i \geq 32$  and  $n \geq 5$ , then*

$$\max_G (Q_i + \bar{Q}_i) = 2n \quad \text{and} \quad \max_G (Q_i \bar{Q}_i) = n^2.$$

**Proof.** If  $i \geq 32$ , then  $f_{32} \implies f_i$ , so that for all  $G$  (using Lemma 1)  $Q_{32} \leq Q_i \leq n$  and  $\bar{Q}_{32} \leq \bar{Q}_i \leq n$ . Hence

$$Q_{32} + \bar{Q}_{32} \leq Q_i + \bar{Q}_i \leq 2n$$

and

$$Q_{32} \bar{Q}_{32} \leq Q_i \bar{Q}_i \leq n^2.$$

However for  $n \geq 5$ ,  $Q_{32}(C_n) = Q_{32}(\bar{C}_n) = n$  and the result follows. ■

We next use the Nordhaus-Gaddum bounds for standard irredundant (i.e.,  $f_{23}$ -) sets obtained by Cockayne and Mynhardt [10] to deduce the same bounds for other values of  $i$ .

**Theorem 2** ([10]). *If  $n \geq 3$ , then for any graph  $G$*

$$Q_{23} + \bar{Q}_{23} \leq n + 1 \quad \text{and} \quad Q_{23} \bar{Q}_{23} \leq \left\lceil \frac{n^2 + 2n}{4} \right\rceil.$$

**Theorem 3.** *If  $n \geq 5$  and  $i \in \{2, 3, 6, 7, 18, 19, 22, 23\}$ , then*

$$\max_G (Q_i + \bar{Q}_i) = n + 1 \quad \text{and} \quad \max_G (Q_i \bar{Q}_i) = \left\lceil \frac{n^2 + 2n}{4} \right\rceil.$$

**Proof.** If  $i \in \{2, 3, 6, 7, 18, 19, 22, 23\}$ , then  $f_2 \implies f_i \implies f_{23}$  hence by Lemma 1 and Theorem 3

$$Q_2 + \bar{Q}_2 \leq Q_i + \bar{Q}_i \leq Q_{23} + \bar{Q}_{23} \leq n + 1$$

and

$$Q_2 \bar{Q}_2 \leq Q_i \bar{Q}_i \leq Q_{23} \bar{Q}_{23} \leq \left\lceil \frac{n^2 + 2n}{4} \right\rceil.$$

Consider the graph  $H$  which consists of a set  $X$  of  $\lfloor \frac{n+1}{2} \rfloor$  vertices, a set  $Y$  of  $\lceil \frac{n+1}{2} \rceil$  vertices (where  $X \cap Y = \{x\}$ ), the edges to make  $H[Y]$  complete and a matching joining the vertices of  $X - \{x\}$  to  $Y - \{x\}$ . In the case where  $n$  is even, an edge is added between the vertex of  $Y$  which was not previously matched and any vertex of  $X - \{x\}$ .

Since each vertex of an  $f_2$ -set  $S$  is a  $S$ - $spn$  and has no  $S$ - $epn$ , it is easily seen that  $X, Y$  are  $f_2$ -sets of  $H, \bar{H}$  respectively and so  $Q_2(H) \geq |X|$  and  $Q_2(\bar{H}) \geq |Y|$ . Hence for  $H$  all of the above inequalities are equalities and the result follows. ■

We now proceed in a similar manner using the bounds for CO-irredundant (i.e.,  $f_{31}$ -) sets established by Cockayne, McCrea and Mynhardt [9].

**Theorem 4** ([9]). *For any graph  $G$ ,*

$$Q_{31} + \bar{Q}_{31} \leq n + 2 \quad \text{and} \quad Q_{31} \bar{Q}_{31} \leq \left\lceil \frac{(n+2)^2}{4} \right\rceil.$$

**Theorem 5.** *If  $8 \leq i \leq 15$  or  $24 \leq i \leq 31$ , then*

$$\max_G (Q_i + \bar{Q}_i) \leq n + 2, \quad \max_G (Q_i \bar{Q}_i) \leq \left\lceil \frac{(n+2)^2}{4} \right\rceil$$

and these bounds are attained for  $n \equiv 2 \pmod{4}$ ,  $n \geq 6$ .

**Proof.** For any  $i$  satisfying  $8 \leq i \leq 15$  or  $24 \leq i \leq 31$ ,  $f_8 \implies f_i \implies f_{31}$ . Thus, by Lemma 1, for any  $G$ ,

$$Q_8 \bar{Q}_8 \leq Q_i \bar{Q}_i \leq Q_{31} \bar{Q}_{31} \leq \left\lceil \frac{(n+2)^2}{4} \right\rceil$$

and

$$Q_8 + \bar{Q}_8 \leq Q_i + \bar{Q}_i \leq Q_{31} + \bar{Q}_{31} \leq n + 2.$$

Thus the bounds of the theorem are established. Now let  $n \equiv 2 \pmod{4}$  and  $n \geq 6$ . Let the graph  $H$  consist of vertex sets  $X$  and  $Y$  where  $|X| = |Y| = (n + 2)/2$  and  $|X \cap Y| = 2$ . Add edges so that  $H[X]$  and  $\overline{H}[Y]$  are both isomorphic to  $(\frac{n+2}{4})K_2$  and add a matching from  $X - Y$  to  $Y - X$ .

Since a subset  $S$  is an  $f_8$ -set if each vertex has an  $S$ -ipn and no  $S$ -epn, it is easily seen that  $X, Y$  are  $f_8$ -sets of  $H, \overline{H}$  respectively. Therefore  $H$  attains the bounds. ■

In order to find the bounds for the remaining values of  $i$ , it will be necessary to improve the following result of Cockayne [3] concerning open irredundant (i.e.,  $f_{21}$ -) sets. A set  $S$  is an  $f_{21}$ -set if each  $s \in S$  has an  $S$ -epn.

**Theorem 6** ([3]). *For any graph  $G$  with  $n \geq 16$ ,*

$$Q_{21} + \overline{Q}_{21} \leq \left\lfloor \frac{3n}{4} \right\rfloor.$$

*Further if  $n \geq 17$ , then*

$$Q_{21}\overline{Q}_{21} < \frac{9n^2}{64}.$$

We show that for larger  $n$ , the second bound of Theorem 6 can be improved to  $n^2/8$ . This will be accomplished by more detailed analysis of the various cases used in the proof of Theorem 6 given in [3]. Some of the details of our proof may be found in [3] but must be repeated here for completeness.

Let  $X(Y)$  be open irredundant sets of  $G(\overline{G})$ ,  $|X| = x$  and  $|Y| = y$ . Each  $u \in X(v \in Y)$  has an at least one  $X$ -epn in  $G$  ( $Y$ -epn in  $\overline{G}$ ). Let  $u_r(v_b)$  be any  $X$ -epn of  $u$  in  $G$  ( $Y$ -epn of  $v$  in  $\overline{G}$ ). The edges of  $G$  (resp.  $\overline{G}$ ) will be coloured red (blue). Occasionally  $u_r(v_b)$  will be called a *red epn* of  $u$  (*blue epn* of  $v$ ). Let  $X' = \{u_r | u \in X\}$ . Then each edge of  $\{uu_r | u \in X\}$  is red while all other edges joining  $X$  to  $X'$  are blue. Hence the set  $\{uu_r | u \in X\}$  induces a matching in  $G$ . Similarly, it can be seen that, the set  $\{vv_b | v \in Y\}$  induces a matching in  $\overline{G}$ . Note that the set  $X'$  is also an open irredundant set of  $G$  and  $u$  is an  $X'$ -epn of  $u_r$  in  $G$ . Let  $Z = V - (X \cup X')$ .

The principal result will follow immediately from three propositions which are broken down into cases depending on the distribution of vertices of  $Y$  and blue epns among the three sets  $X, X', Z$ .

The open irredundance property implies that both  $x$  and  $y$  are at most  $n/2$ . From this we deduce that  $xy \leq \frac{n^2}{8}$  if  $x$  (or  $y$ )  $\leq \frac{n}{4}$ . Hence it is sufficient to establish the propositions under the assumption  $x, y > \frac{n}{4}$  and we use this

hypothesis in the proofs without further emphasis. We also repeatedly use the following obvious fact.

**Lemma 2.** *Let  $A$  be an open irredundant set in a graph  $F$  and  $B \subseteq V(F)$ . If each  $u \in A \cap B$  has  $A$ -epn in  $B$ , then  $|A \cap B| \leq |B|/2$ .*

**Proposition 7.** *If  $n \geq 32$  and  $|Y \cap X| \geq 3$ , then  $xy \leq n^2/8$ .*

*Proof.* Since  $|Y \cap X| \geq 3$ , for each  $u \in Y \cap X$ ,  $u_b \notin X'$ . Hence  $u_b \in X \cup Z$ . Define

$$X_1 = \{u \in Y \cap X | u_b \in X\},$$

$$X_2 = \{u \in Y \cap X | u_b \in Z\},$$

$$X_3 = X - (X_1 \cup X_2)$$

and for  $i = 1, 2, 3$ , let  $|X_i| = x_i$ .

For  $w \in Y \cap Z$ ,  $w_b \notin X_1 \cup X_2 \cup X'$ , hence  $w_b \in X_3 \cup Z$ .

*Case 1.*  $Y \cap X' = \emptyset$ .

Let  $t = |\{w \in Y \cap Z | w_b \in X_3\}|$ . Then by Lemma 2

$$(1) \quad |\{w \in Y \cap Z | w_b \in Z\}| \leq (n - 2x - x_2 - t)/2.$$

We will now give more detailed justification for (1). Similar explanations will be omitted in future cases of the propositions. Define

$$B = Z - (\{w \in Y \cap Z | w_b \in X_3\} \cup \{w_b \in Z | w \in X_2\})$$

(disjoint Union).

Note that  $|B| = (n - 2x - x_2 - t)$  and

$$\{w \in Y \cap Z | w_b \in Z\} = \{w \in Y \cap B | w_b \in B\}.$$

Then (1) follows by applying Lemma 2 with  $A = Y$ .



Now

$$\begin{aligned}
 x + y &= x + |Y \cap X| + |Y \cap Z| \\
 (2) \quad &\leq x + (x_1 + x_2) + t + \left( \frac{n - 2x - x_2 - t}{2} \right) \\
 &= x_1 + \frac{x_2}{2} + \frac{t}{2} + \frac{n}{2}.
 \end{aligned}$$

The blue epns in  $X_3$  are distinct and so  $x_3 \geq t + x_1$ , i.e.,

$$(3) \quad \frac{t}{2} \leq \frac{x_3}{2} - \frac{x_1}{2}.$$

From (2) and (3) we obtain

$$x + y \leq \left( \frac{x_1 + x_2 + x_3}{2} \right) + \frac{n}{2} = \frac{x}{2} + \frac{n}{2}.$$

Therefore  $y \leq \frac{n}{2} - \frac{x}{2}$  and  $xy \leq \frac{nx}{2} - \frac{x^2}{2}$ . By elementary calculus,  $xy$  attains its maximum  $\frac{n^2}{8}$  when  $x = \frac{n}{2}$ .

*Case 2.*  $|Y \cap X'| \geq 2$ .

In this case  $x_1 = 0$ , each  $w \in Y \cap Z$  has  $w_b \in Z$  and for each  $w \in Y \cap X'$ ,  $w_b \notin X'$  i.e.,  $w_b \in X_3 \cup Z$ .

*Subcase 2(a).*  $w \in Y \cap X'$  has  $w_b \in X_3$ .

This implies  $|Y \cap X'| = 2$ . Let  $Y \cap X' = \{w, v\}$ . Now

$$\begin{aligned}
 x + y &= x + |Y \cap X| + |Y \cap X'| + |Y \cap Z| \\
 &\leq x + x_2 + 2 + \frac{(n - 2x - x_2 - \lambda)}{2}
 \end{aligned}$$

where  $\lambda = 1$  (resp. 0) if  $v_b \in Z(X_3)$ . Hence

$$(4) \quad x + y \leq \frac{n}{2} + \frac{x_2}{2} - \frac{\lambda}{2} + 2.$$

By counting blue epns in  $X_3$ , we obtain  $x_3 \geq 2 - \lambda$  and since  $|Z| \geq x_2$ , we deduce  $x_2 \leq n - 2x$ . Use of these gives

$$x_2 \leq n - 2(x_1 + x_2 + x_3) = n - 2(x_2 + x_3).$$

Therefore

$$(5) \quad x_2 \leq \frac{n - 2x_3}{3} \leq \frac{n - 4 - 2\lambda}{3}.$$

From (4) and (5)

$$x + y \leq \frac{2n + 4}{3} - \frac{5\lambda}{6} \leq \frac{2n + 4}{3},$$

so that  $xy \leq x(\frac{2n+4}{3} - x)$ . Calculus shows that  $xy \leq \lfloor (\frac{n+2}{3})^2 \rfloor \leq \frac{n^2}{8}$  ( $n \geq 32$ ).

*Subcase 2(b).* Each  $w \in Y \cap X'$  has  $w_b \in Z$ .

In this situation every  $v \in Y$  has  $v_b \in Z$ . Therefore  $y \leq |Z| = n - 2x$  and  $xy \leq nx - 2x^2$ . The maximum of this for  $x \in [\frac{n}{4}, \frac{n}{2}]$  is  $\frac{n^2}{8}$ .

*Case 3.*  $|Y \cap X'| = \{v\}$ .

Define  $\lambda$  as in subcase 2(a) and let  $\mu (= 0 \text{ or } 1)$  be the number of vertices in  $Y \cap Z$  with blue epns in  $X_3$ .

The set  $Z$  contains  $\lambda + x_2$  blue epns of vertices in  $Y \cap (X \cup X')$  and  $\mu$  vertices of  $Y \cap Z$  have blue epns in  $X_3$ . Hence using Lemma 2 we obtain

$$(6) \quad \begin{aligned} x + y &= x + |Y \cap X| + |Y \cap X'| + |Y \cap Z| \\ &\leq x + (x_1 + x_2) + 1 + \mu + \left( \frac{n - 2x - \mu - x_2 - \lambda}{2} \right) \\ &= \frac{n}{2} + x_1 + \frac{x_2}{2} + \frac{(\mu - \lambda)}{2} + 1. \end{aligned}$$

By counting blue epns in  $X_3$  we obtain  $x_3 \geq (1 - \lambda) + x_1 + \mu$  and since  $|Z| \geq x_2$  we have  $x_2 \leq n - 2x$ . Use of these gives

$$x_2 \leq n - 2(x_1 + x_2 + x_3).$$

Hence

$$(7) \quad \begin{aligned} x_2 &\leq \frac{n - 2(x_1 + x_3)}{3} \\ &\leq \frac{n - 2x_1 - 2[(1 - \lambda) + x_1 + \mu]}{3} \\ &= \frac{n - 4x_1 - 2 - 2(\mu - \lambda)}{3}. \end{aligned}$$

Combining (6) and (7) we obtain

$$(8) \quad x + y \leq \frac{2n + 2}{3} + \frac{x_1}{3} + \frac{\mu - \lambda}{6}.$$

However hypothesis and the private neighbour property imply that  $x_1 + \mu \leq 1$ . Hence from (8) we deduce

$$x + y \leq \frac{2n + 3}{3} - \left( \frac{\lambda + \mu}{6} \right) \leq \frac{2n + 3}{3}.$$

Calculus shows that  $xy \leq \left(\frac{2n+3}{6}\right)^2 \leq \frac{n^2}{8}$  ( $n \geq 32$ ). This completes the proof of Proposition 7. ■

**Proposition 8.** *If  $n \geq 32$  and  $|Y \cap X| \leq 2$ , then  $xy \leq n^2/8$ .*

**Proof.** Define  $Y' = \{v_f | v \in Y\}$ . If  $|Y \cap X'|$  ( $|Y' \cap X|$  or  $|Y' \cap X'|$ )  $> 2$ , then we may apply Proposition 7 to the open irredundant sets  $Y, X'$  ( $Y', X$  or  $Y', X'$ ) of  $\overline{G}, G$  and infer the result. Thus we assume that  $|Y \cap X'|$ ,  $|Y' \cap X|$  and  $|Y' \cap X'|$  are at most two. Then

$$\begin{aligned} n &\geq |X| + |X'| + |Y| + |Y'| - |Y \cap X| - |Y' \cap X| - |Y \cap X'| - |Y' \cap X'| \\ &\geq 2x + 2y - 2 - 2 - 2 - 2. \end{aligned}$$

Hence  $x + y \leq \frac{n+8}{2}$  and therefore by elementary calculus  $xy \leq \left(\frac{n+8}{4}\right)^2 \leq \frac{n^2}{8}$  ( $n \geq 32$ ). ■

The preceding propositions have established a bound for  $Q_{21}\overline{Q}_{21}$ .

**Theorem 9.** *If  $n \geq 32$ , then  $Q_{21}\overline{Q}_{21} \leq n^2/8$ .*

**Proof.** Immediate from Propositions 7 and 8. ■

We now use Theorems 6 and 9 to determine exact Nordhaus-Gaddum bounds for the remaining values of  $i$ .

**Theorem 10.** *If  $n \geq 32$  and  $i \in \{1, 4, 5, 16, 17, 20, 21\}$ , then  $\max_G(Q_i + \overline{Q}_i) \leq 3n/4$ ,  $\max_G(Q_i\overline{Q}_i) \leq n^2/8$  and these bounds are attained for infinitely many values of  $n$ .*

**Proof.** For any  $i \in \{1, 4, 5, 16, 17, 20, 21\}$ ,

$$f_1 \implies f_i \implies f_{21},$$

$$f_4 \implies f_i \implies f_{21}$$

or

$$f_{16} \implies f_i \implies f_{21}.$$

Hence by Lemma 1, Theorems 6 and 9, for any  $G$

$$Q_j + \overline{Q}_j \leq Q_i + \overline{Q}_i \leq Q_{21} + \overline{Q}_{21} \leq \frac{3n}{4}$$

and

$$Q_j \overline{Q}_j \leq Q_i \overline{Q}_i \leq Q_{21} \overline{Q}_{21} \leq \frac{n^2}{8},$$

where  $j \in \{1, 4, 16\}$ . Thus the bounds of the theorem are established. To show that they are attained it is sufficient to exhibit for each  $j \in \{1, 4, 16\}$  graphs satisfying

$$Q_j + \overline{Q}_j \geq \frac{3n}{4} \quad \text{and} \quad Q_j \overline{Q}_j \geq \frac{n^2}{8}. \quad \blacksquare$$

In order to describe the three examples we need the following definition. Let  $A, B$  be disjoint  $m$ -vertex subsets of a graph  $L$ . We say there is an *induced matching from  $A$  to  $B$  in  $L$*  if the bipartite subgraph of  $L$  defined by  $A, B$  is isomorphic to  $mK_2$ .

We form the graph  $H$  as follows. Let  $V(H) = X \cup Y \cup Y'$  (disjoint union) where  $|X| = \frac{n}{2}$  where  $n \equiv 0 \pmod{4}$ ,  $n \geq 32$ ,  $|Y| = |Y'| = \frac{n}{4}$  and  $X' = Y \cup Y'$ . Add edges so that there are induced matchings from  $X$  to  $X'$  in  $H$  and from  $Y$  to  $Y'$  in  $\overline{H}$ .

Each of the three examples will be formed by adding edges to  $H$ . For each of the three values of  $j$  it is easily checked that  $X$  and  $Y$  are  $f_j$ -sets of the constructed graph  $H^*$  and  $\overline{H}^*$  respectively, so that  $H^*$  satisfies (9). In each case we remind the reader of the  $f_j$ -set definition.

$j = 1$  : Subset  $S$  is an  $f_1$ -set if each  $s \in S$  is a  $S$ - $spn$  and has an  $S$ - $epn$ . Form  $H^*$  from  $H$  by adding edges so that  $H^*[Y]$  is complete.

$j = 4$  : Subset  $S$  is an  $f_4$ -set if each  $s \in S$  has both an  $S$ - $ipn$  and an  $S$ - $epn$ . In this case we require  $n \equiv 0 \pmod{8}$ . Form  $H^*$  from  $H$  by adding edges so that  $H^*[X]$  and  $\overline{H}^*[Y]$  are isomorphic to  $\frac{n}{4}K_2$  and  $\frac{n}{8}K_2$ , respectively.

$j = 16$ : Subset  $S$  is an  $f_{16}$ -set if each  $s \in S$  has an  $S$ -epn, has no  $S$ -ipn and is not an  $S$ -spn. Form  $H^*$  from  $H$  by adding edges so that  $H^*[X]$  and  $\overline{H^*}[Y]$  are isomorphic to  $C_{\frac{n}{2}}$  and  $C_{\frac{n}{4}}$  respectively.

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