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ON POLYNOMIAL REPRESENTATIONS OF DUAL DP COLOR FUNCTIONS

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Abstract

DP coloring (also called correspondence coloring) is a generalization of list coloring that was introduced by Dvořák and Postle in 2015. The chromatic polynomial of a graph is an important notion in algebraic combinatorics that was introduced by Birkhoff in 1912; denoted P(G, m), it equals the number of proper m-colorings of graph G. Counting function analogues of chromatic polynomials have been introduced for list colorings: P_{ℓ} , list color functions (1990); DP colorings: P_{DP} , DP color functions (2019), and P_{DP}^* , dual DP color functions (2021). For any graph G and $m \in \mathbb{N}, P_{DP}(G, m) \leq P_{\ell}(G, m) \leq P(G, m) \leq P_{DP}^*(G, m)$. In 2022 (improving on older results) Dong and Zhang showed that for any graph $G, P_{\ell}(G, m) = P(G, m)$ whenever $m \geq |E(G)| - 1$. Consequently, the list color function of a graph is a polynomial for sufficiently large m. One of the most important and longstanding open questions on DP color functions asks: for every graph G is there an $N \in \mathbb{N}$ and a polynomial p(m) such that $P_{DP}(G,m)=p(m)$ whenever $m\geq N$? We show that the answer to the analogue of this question for dual DP color functions is no. Our proof reveals a connection between a dual DP color function and the balanced chromatic polynomial of a signed graph introduced by Zaslavsky in 1982.

Keywords: correspondence coloring, DP coloring, DP color function, dual DP color function.

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1. Introduction

In this paper all graphs are nonempty, finite, simple graphs unless otherwise noted. Generally speaking we follow West [31] for terminology and notation. The set of natural numbers is $\mathbb{N} = \{1, 2, 3, \ldots\}$. For $m \in \mathbb{N}$, we write [m] for the set $\{1, \ldots, m\}$. If G is a graph and $S, U \subseteq V(G)$, we use G[S] for the subgraph of G induced by S, and we use $E_G(S, U)$ for the set consisting of all the edges in E(G) that have one endpoint in S and the other in U. If an edge in E(G) connects the vertices u and v, the edge can be represented by uv or vu.

1.1. List coloring and DP coloring

In the classical vertex coloring problem we wish to color the vertices of a graph G with up to m colors from [m] so that adjacent vertices receive different colors, a so-called proper m-coloring. The chromatic number of a graph G, denoted $\chi(G)$, is the smallest m such that G has a proper m-coloring. List coloring was introduced independently by Vizing [29] and Erdős, Rubin, and Taylor [14] in the 1970s. For list coloring, we associate a list assignment L with a graph G which assigns to each $v \in V(G)$ a list of colors L(v). Then, G is L-colorable if there exists a proper coloring f of G such that $f(v) \in L(v)$ for each $v \in V(G)$ (we refer to f as a proper L-coloring of G). A list assignment L is called a k-assignment for G if |L(v)| = k for each $v \in V(G)$. The list chromatic number of a graph G, denoted $\chi_{\ell}(G)$, is the smallest k such that G is L-colorable whenever L is a k-assignment for G. Since G must be L-colorable when L is a $\chi_{\ell}(G)$ -assignment for G that assigns the same list of colors to each element in V(G), it is clear that $\chi(G) \leq \chi_{\ell}(G)$.

In 2015, Dvořák and Postle [13] introduced a generalization of list coloring called DP coloring (they called it correspondence coloring) in order to prove that every planar graph without cycles of lengths 4 to 8 has list chromatic number at most 3. DP coloring has been extensively studied over the past 9 years (see e.g., [1–5,16,17,19,22,25]). Intuitively, DP coloring is a variation on list coloring where each vertex in the graph still gets a list of colors, but identification of which colors are the same can vary from edge to edge. Formally, for a graph G, a DP cover (or simply a cover) of G is an ordered pair $\mathcal{H} = (L, H)$, where H is a graph and $L: V(G) \to 2^{V(H)}$ is a function satisfying the following conditions.

- $\{L(v): v \in V(G)\}\$ is a partition of V(H) into |V(G)| parts,
- for every pair of adjacent vertices $u, v \in V(G)$, the edges in $E_H(L(u), L(v))$ form a matching (possibly empty), and
- $E(H) = \bigcup_{uv \in E(G)} E_H(L(u), L(v)).$

Suppose $\mathcal{H} = (L, H)$ is a cover of a graph G. A transversal of \mathcal{H} is a set of vertices $T \subseteq V(H)$ containing exactly one vertex from L(v) for each $v \in V(G)$. A

transversal T is said to be independent if T is an independent set in H. If \mathcal{H} has an independent transversal T, then T is said to be a proper \mathcal{H} -coloring of G, and G is said to be \mathcal{H} -colorable. An m-fold cover of G is a cover $\mathcal{H} = (L, H)$ such that |L(v)| = m for all $v \in V(G)$. An m-fold cover $\mathcal{H} = (L, H)$ of a graph G is called full if for each $uv \in E(G)$, $|E_H(L(u), L(v))| = m$. The DP chromatic number of a graph G, denoted $\chi_{DP}(G)$, is the smallest m such that G is \mathcal{H} -colorable whenever \mathcal{H} is an m-fold cover of G.

Given a cover $\mathcal{H} = (L, H)$ of G and subgraph G' of G, the subcover of \mathcal{H} corresponding to G' is $\mathcal{H}' = (L', H')$ where L' is the restriction of L to V(G') and H' is the subgraph of H with vertex set $V(H') = \bigcup_{u \in V(G')} L(u)$ that retains those and only those edges of H that belong to the matchings corresponding to the edges of G'.

Suppose $\mathcal{H} = (L, H)$ is an m-fold cover of G. We say that \mathcal{H} has a canonical labeling if it is possible to name the vertices of H so that $L(u) = \{(u, j) : j \in [m]\}$ and $(u, j)(v, j) \in E(H)$ for each $j \in [m]$ whenever $uv \in E(G)$. Clearly, when \mathcal{H} has a canonical labeling, G has an \mathcal{H} -coloring if and only if G has a proper m-coloring. Also, given an m-assignment, L, for a graph G, it is easy to construct an m-fold cover \mathcal{H}' of G such that G has an \mathcal{H}' -coloring if and only if G has a proper L-coloring (see [4]). It follows that $\chi(G) \leq \chi_{\ell}(G) \leq \chi_{DP}(G)$.

1.2. Counting proper colorings, list colorings, and DP colorings

In 1912 Birkhoff introduced the notion of the chromatic polynomial of a graph in hopes of using it to make progress on the four color problem. For $m \in \mathbb{N}$, the *chromatic polynomial* of a graph G, P(G, m), is the number of proper m-colorings of G. It can be shown that P(G, m) is a polynomial in m of degree |V(G)| (see [6]). For example, for any $n \in \mathbb{N}$, $P(K_n, m) = \prod_{i=0}^{n-1} (m-i)$.

The notion of chromatic polynomial was extended to list coloring in the 1990s [20]. In particular, if L is a list assignment for G, we use P(G, L) to denote the number of proper L-colorings of G. The list color function of G, denoted $P_{\ell}(G, m)$, is the minimum value of P(G, L) where the minimum is taken over all possible m-assignments L for G. It is clear that $P_{\ell}(G, m) \leq P(G, m)$ for each $m \in \mathbb{N}$ since we must consider an m-assignment that assigns the same m colors to all the vertices in G when considering all possible m-assignments for G. In general, the list color function can differ significantly from the chromatic polynomial for small values of m. However, for large values of m, Dong and Zang [11] (improving upon results in [12], [27], and [30]) showed the following.

Theorem 1 [11]. For any graph G, $P_{\ell}(G,m) = P(G,m)$ whenever $m \geq |E(G)|$ -1.

In 2019, Kaul and the first author introduced a DP coloring analogue of the chromatic polynomial of a graph in hopes of using it as a tool for making progress on some open questions related to the list color function [17]. Since its introduction in 2019, DP color functions have received some attention in the literature (see e.g., [1, 8, 10, 15, 18, 21, 24, 26]). Suppose $\mathcal{H} = (L, H)$ is a cover of graph G. Let $P_{DP}(G, \mathcal{H})$ be the number of \mathcal{H} -colorings of G. Then, the DP color function of G $P_{DP}(G, m)$ is the minimum value of $P_{DP}(G, \mathcal{H})$ where the minimum is taken over all possible m-fold covers \mathcal{H} of G.¹ It is easy to show that for any graph G and $m \in \mathbb{N}$, $P_{DP}(G, m) \leq P_{\ell}(G, m) \leq P(G, m)$.

Interestingly, unlike the list color function, it is known that $P_{DP}(G, m)$ does not necessarily equal P(G, m) for sufficiently large m. Indeed, in [17] it is shown that if G is a graph with girth that is even, then there is an $N \in \mathbb{N}$ such that $P_{DP}(G, m) < P(G, m)$ whenever $m \geq N$ (this result was further generalized by Dong and Yang in [10]). This leads to a longstanding open question about DP color functions that served as one of the motivations for this paper.

Question 2 [17]. For any graph G does there always exist an $N \in \mathbb{N}$ and a polynomial p(m) such that $P_{DP}(G,m) = p(m)$ whenever $m \geq N$?

Recently, the first author [23] introduced the dual DP color function of a graph G, denoted $P_{DP}^*(G,m)$, which equals the maximum value of $P_{DP}(G,\mathcal{H})$ where the maximum is taken over all full m-fold covers \mathcal{H} of G. Every graph G has a full m-fold cover with a canonical labeling. So, $P_{DP}(G,m) \leq P_{\ell}(G,m) \leq P(G,m) \leq P_{\ell}(G,m)$. In addition to providing an upper bound on the chromatic polynomial, the dual DP color function of a graph also appears in a lower bound for the DP color function of the graph based on a deletion-contraction relation (see [23]).

In this paper, we show that the answer to the analogue of Question 2 for the dual DP color function is no. We hope that our approach can provide new insights into answering Question 2.

1.3. Summary of results

The results in this paper came from studying dual DP color functions of complete graphs. It is known that $P_{DP}^*(K_2, m) = m(m-1)$ for each $m \in \mathbb{N}$ and $P_{DP}^*(K_3, m) = (m-1)^3 + 1$ for each $m \geq 2$ (see [23]). In Section 2, we prove the following.

Theorem 3. Suppose $m \geq 2$. Then,

$$P_{DP}^{*}(K_4, m) = \begin{cases} m^4 - 6m^3 + 15m^2 - 13m & \text{if } m \text{ is even,} \\ m^4 - 6m^3 + 15m^2 - 13m - 3 & \text{if } m \text{ is odd.} \end{cases}$$

Consequently, there is no $N \in \mathbb{N}$ and polynomial p(m) such that $P_{DP}^*(K_4, m) = p(m)$ whenever $m \geq N$.

 $^{^{1}}$ We take \mathbb{N} to be the domain of the DP color function of any graph.

Clearly, Theorem 3 shows that the answer to the analogue of Question 2 for the dual DP color function is no. Interestingly, Theorem 3 also reveals a connection between the dual DP color function of K_4 and the balanced chromatic polynomial of a signed version of K_4 (balanced chromatic polynomials were introduced by Zaslavsky in 1982 [32]).

Using the notation in [7], we now make this connection explicit. Suppose G is a graph. A signed graph on G is a pair $SG = (G, \sigma)$ where the sign on each edge of SG is given by the function $\sigma: E(G) \to \{1, -1\}$. For $\lambda \geq 2$, a λ -coloring of SG is a map $f: V(G) \to K$ where $K = \{-t, \ldots, 1, 0, 1, \ldots, t\}$ when $\lambda = 2t + 1$ for some $t \in \mathbb{N}$ and $K = \{-t, \ldots, 1, 1, \ldots, t\}$ when $\lambda = 2t$ for some $t \in \mathbb{N}$. Additionally, f is a proper λ -coloring of SG if for each $uv \in E(G)$, $f(u) \neq \sigma(uv)f(v)$. For each $\lambda \geq 2$, let $P_{SG}(\lambda)$ denote the number of proper λ -colorings of SG. The balanced chromatic polynomial of SG is then $P_{SG}(2t)$ for each $t \in \mathbb{N}$ (it can be proven $P_{SG}(2t)$ is a polynomial in t). Finally, suppose $G = K_4$, $\sigma: E(G) \to \{1, -1\}$ is identically -1, and $SG = (G, \sigma)$. Then, it can be verified that Theorem 3 implies $P_{DP}^*(K_4, 2l) = P_{SG}(2l)$ for each $l \in \mathbb{N}$.

The following two questions are now natural.

Question 4. Suppose $n \in \mathbb{N}$ and $G = K_n$. Let $\sigma : E(G) \to \{1, -1\}$ be identically -1, and let $SG = (G, \sigma)$. Is it the case that $P_{DP}^*(K_n, 2l) = P_{SG}(2l)$ for each $l \in \mathbb{N}$?

Question 5. For each $n \geq 4$, is it the case that there is no $N \in \mathbb{N}$ and polynomial p(m) such that $P_{DP}^*(K_n, m) = p(m)$ whenever $m \geq N$?

It is easy to verify the answer to Question 4 is yes when $n \in [3]$, and Theorem 3 implies the answer is yes when n = 4. In proving Theorem 3 we will discover that the interaction between the subcovers corresponding to the three and four cycles contained in K_4 is quite important. So, our final result, which we prove in Section 3, can be viewed as progress toward both of the Questions above.

Theorem 6. Suppose $G = K_n$ with $n \ge 4$, and let $t = \binom{n}{2}$. If $m > 2^{t+1} + t + n - 6$ and $\mathcal{H} = (L, H)$ is a full m-fold cover of G satisfying $P_{DP}(G, \mathcal{H}) = P_{DP}^*(G, m)$, then H is triangle-free. Moreover, when $m > 2^{t+1} + t + n - 6$,

$$f(m) - 2^t m^{n-4} \le P_{DP}^*(G, m) \le f(m) + 2^t m^{n-4}$$

where

$$f(m) = m^{n} - tm^{n-1} + \binom{t}{2}m^{n-2} - \left(\binom{t}{3} - \binom{n}{3} - 3\binom{n}{4}\right)m^{n-3}.$$

Note that if $l, n \in \mathbb{N}$, $n \geq 4$, $G = K_n$, $\sigma : E(G) \to \{1, -1\}$ is identically -1, and $SG = (G, \sigma)$, then Theorem 6 implies that $P_{DP}^*(G, 2l) - P_{SG}(2l) = O(l^{n-4})$ as $l \to \infty$.

2. Proof of Theorem 3

This section is organized as follows. We begin by showing that the formula in Theorem 3 is an upper bound on the dual DP color function of K_4 . Then, we construct covers to show that the upper bound is attainable. The key to establishing the upper bound is generalizing the proof technique of the following classical result to the context of DP coloring.

Theorem 7 [6]. Suppose G is a graph. Then,

$$P(G,m) = \sum_{A \subseteq E(G)} (-1)^{|A|} m^{k_A}$$

where k_A is the number of components of the spanning subgraph of G with edge set A.

We will now introduce some notation that will be used for the remainder of this section. Suppose that $G = K_4$, $V(G) = \{v_1, v_2, v_3, v_4\}$, and $E(G) = \{e_1, e_2, e_3, e_4, e_5, e_6\}$. Also, for some $m \geq 4$ we always have that $\mathcal{H} = (L, H)$ is a full m-fold cover of G such that $L(v) = \{(v, i) : i \in [m]\}$ for each $v \in V(G)$ (we will handle m < 4 computationally in Appendix 4). Additionally, for $i, j \in [4]$ with i < j, we let $\sigma_{i,j}$ be the permutation of [m] that maps each $q \in [m]$ to the second coordinate of the vertex in $L(v_j)$ that is adjacent to (v_i, q) in H.

Let $\mathcal{U} = \{I \subseteq V(H) : |L(v) \cap I| = 1 \text{ for each } v \in V(G)\}$. Clearly, $|\mathcal{U}| = m^4$. Now, for $i \in [6]$, if $e_i = v_r v_s$, let S_i be the set consisting of each $I \in \mathcal{U}$ with the property that H[I] contains an edge in $E_H(L(v_r), L(v_s))$. Also, for each $i \in [6]$ let $C_i = \mathcal{U} - S_i$. Clearly,

$$P_{DP}(G,\mathcal{H}) = \left| \bigcap_{i=1}^{6} C_i \right|.$$

So, by the Inclusion-Exclusion Principle, we see that

$$P_{DP}(G, \mathcal{H}) = |\mathcal{U}| - \left| \bigcup_{i=1}^{6} S_i \right| = m^4 - \sum_{k=1}^{6} (-1)^{k-1} \left(\sum_{1 \le i_1 < \dots < i_k \le 6} \left| \bigcap_{j=1}^{k} S_{i_j} \right| \right).$$

We now establish some bounds on the terms in this formula.

Lemma 8 [24]. Assuming the set-up established above, the following two statements hold.

(i) For any $k \in [2]$ and $i_1, \ldots, i_k \in [6]$ satisfying $i_1 < \cdots < i_k$, $\left| \bigcap_{j=1}^k S_{i_j} \right| = m^{4-k}$.

(ii) If e_{i_1}, \ldots, e_{i_3} are distinct edges that are not the edges of a 3-cycle in G, $\left|\bigcap_{j=1}^3 S_{i_j}\right| = m$.

Lemma 9. Assuming the set-up established above, suppose $k \in \{3, 4, 5, 6\}$, and $i_1, \ldots, i_k \in [6]$ satisfy $i_1 < \cdots < i_k$. Let G' be the subgraph of G with edge set $\{e_{i_1}, \ldots, e_{i_k}\}$ and vertex set consisting of only the vertices that are an endpoint of at least one element in E(G'). Suppose $\mathcal{H}' = (L', H')$ is the subcover of \mathcal{H} corresponding to G'. Let C(G') be the number of copies of G' contained in G'. If G' and G' are the edges of a 3-cycle in G, then

$$\left|\bigcap_{j=1}^{3} S_{i_{j}}\right| = mC(G'); \text{ otherwise, } \left|\bigcap_{j=1}^{k} S_{i_{j}}\right| = C(G').$$

Proof. Let C(G') be the set consisting of the vertex set of each copy of G' in H'. Note that any element of C(G') is a transversal of H'. Also, $\bigcap_{j=1}^k S_{i_j}$ has an element if and only if C(G') has an element. So, both results are clear when C(G') = 0, and we suppose that C(G') > 0.

First, suppose k=3 and e_{i_1},\ldots,e_{i_3} are the edges of a 3-cycle in G. Suppose $\{v_q\}=V(G)-V(G')$. Let $M:\mathcal{C}(G')\times[m]\to\bigcap_{j=1}^3S_{i_j}$ be the function given by $M(V,x)=V\cup\{(v_q,x)\}$. It is easy to verify that M is a bijection which means that $\left|\bigcap_{j=1}^3S_{i_j}\right|=m\mathcal{C}(G')$.

In the case $k \neq 3$, or k = 3 and e_{i_1}, \ldots, e_{i_3} are not the edges of a 3-cycle in G, $C(G') = \bigcap_{j=1}^k S_{i_j}$ which completes the proof.

Now, suppose that T_1, T_2, T_3 , and T_4 are the 3-cycles contained in G. For each $i \in [4]$, let t_i be the number of 3-cycles contained in the second coordinate of the subcover of \mathcal{H} corresponding to T_i . Note that the vertex set of each such 3-cycle is a transversal of the subcover of \mathcal{H} corresponding to T_i .

Lemma 10. Suppose G' is the spanning subgraph of G such that E(G') contains all the edges of T_i for some $i \in [4]$ and one additional edge e_r . Suppose $\mathcal{H}' = (L', H')$ is the subcover of \mathcal{H} corresponding to G'. Then, $C(G') = t_i$ where C(G') is the number of copies of G' contained in H'

Proof. Let $\mathcal{C}(G')$ be the set consisting of the vertex set of each copy of G' in H', and let \mathcal{T} be the set consisting of the vertex set of each copy of T_i contained in the second coordinate of the subcover of \mathcal{H} corresponding to T_i . Since any element of $\mathcal{C}(G')$ is a transversal of \mathcal{H}' , it is clear that if $\mathcal{C}(G') = \emptyset$, then $\mathcal{T} = \emptyset$. So, we may assume C(G') > 0.

Suppose $\{v_q\} = V(G) - V(T_i)$ (note v_q must be an endpoint of e_r). Let $M : \mathcal{C}(G') \to \mathcal{T}$ be the function that maps each $I \in \mathcal{C}(G')$ to the set obtained from I by deleting the element in I with first coordinate v_q . It is easy to verify that M is a bijection, and the result follows.

Now, suppose that M_1, \ldots, M_6 are the copies of K_4 minus an edge contained in G. For each $i \in [6]$, let m_i be the number of copies of M_i contained in the second coordinate of the subcover of \mathcal{H} corresponding to M_i . Note that the vertex set of each such copy is a transversal of the subcover of \mathcal{H} corresponding to M_i .

Lemma 11. Suppose $G' = M_i$ for some $i \in [6]$, and assume E(G') contains all the edges of T_i and T_r for some $j, r \in [4]$ with $j \neq r$. Then, $m_i \leq \min\{t_j, t_r\}$.

Proof. Since the result is clear when $m_i = 0$, we suppose $m_i > 0$. Suppose $\mathcal{H}' = (L', H')$ is the subcover of \mathcal{H} corresponding to G'. Let $\mathcal{C}(G')$ be the set consisting of the vertex set of each copy of G' in H', and let \mathcal{T}_j (resp. \mathcal{T}_r) be the set consisting of the vertex set of each copy of T_j (resp. T_r) contained in the second coordinate of the subcover of \mathcal{H} corresponding to T_j (resp. T_r). Now, let

$$\mathcal{T} = \{V_1 \cup V_2 : V_1 \in \mathcal{T}_j, V_2 \in \mathcal{T}_r, |V_1 \cap V_2| = 2\}.$$

It is easy to see that $C(G') = \mathcal{T}$. Then since for each $V \in \mathcal{T}_j$ there is at most one $V' \in \mathcal{T}_r$ such that $|V \cap V'| = 2$ (this also holds when j and r are interchanged), $\min\{t_j, t_r\} \geq |\mathcal{T}|$.

Next, suppose Q_1, \ldots, Q_3 are the 4-cycles contained in G. For each $i \in [3]$, let q_i be the number of 4-cycles contained in the second coordinate of the subcover of \mathcal{H} corresponding to Q_i . Note that the vertex set of each such 4-cycle is a transversal of the subcover of \mathcal{H} corresponding to Q_i . Finally let z be the number of copies of K_4 contained in H. Using this notation along with Lemmas 8, 9, and 10 we see

$$P_{DP}(G, \mathcal{H}) = m^4 + \sum_{k=1}^{6} (-1)^k \left(\sum_{1 \le i_1 < \dots < i_k \le 6} \left| \bigcap_{j=1}^k S_{i_j} \right| \right)$$

$$= m^4 - 6m^3 + 15m^2 - 16m - m(t_1 + t_2 + t_3 + t_4)$$

$$+ \sum_{k=4}^{6} (-1)^k \left(\sum_{1 \le i_1 < \dots < i_k \le 6} \left| \bigcap_{j=1}^k S_{i_j} \right| \right)$$

$$= m^4 - 6m^3 + 15m^2 - 16m + (3 - m) \sum_{j=1}^4 t_i + \sum_{i=1}^3 q_i - \sum_{j=1}^6 m_i + z.$$

By Lemma 11, and noting that $q_i \leq m$ for each $i \in [3]$ and $\min\{t_1, t_2, t_3, t_4\} \geq z$, we obtain the following.

Lemma 12. For each $m \geq 4$,

$$P_{DP}^*(K_4, m) \le m^4 - 6m^3 + 15m^2 - 13m.$$

Moreover, using the notation above, if \mathcal{H} is a full m-fold cover of $G = K_4$ such that $t_i = 0$ for each $i \in [4]$ and $q_j = m$ for each $j \in [3]$, then

$$P_{DP}(G, \mathcal{H}) = m^4 - 6m^3 + 15m^2 - 13m.$$

We are now ready to prove two important results that apply when m is odd. These results will allow us to improve on the upper bound in Lemma 12 in the case that m is odd.

Lemma 13. Suppose $m \geq 5$ and m is odd. If \mathcal{H} is a full m-fold cover of $G = K_4$ such that $q_j = m$ for each $j \in [3]$, then $\sum_{i=1}^4 t_i > 0$.

Proof. For the sake of contradiction suppose $\mathcal{H} = (L, H)$ is a full m-fold cover of $G = K_4$ such that $q_j = m$ for each $j \in [3]$ and $\sum_{i=1}^4 t_i = 0$ (equivalently $t_i = 0$ for each $i \in [4]$). Using the notation above, suppose: the vertices of Q_1 in cyclic order are v_1, v_2, v_3, v_4 , the vertices of Q_2 in cyclic order are v_1, v_2, v_4, v_3 , and the vertices of Q_3 in cyclic order are v_1, v_2, v_4, v_3 , and the

Since $q_1 = m$ we may assume that the vertices of H are named so that $\sigma_{1,2}$, $\sigma_{2,3}$, $\sigma_{3,4}$, and $\sigma_{1,4}$ are all the identity permutation. Since $\sum_{i=1}^4 t_i = 0$, we know that both $\sigma_{1,3}$ and $\sigma_{2,4}$ have no fixed points. Now, suppose that x is an arbitrary element of [m]. Also, suppose that $\sigma_{1,3}(x) = y$. Since $q_2 = m$, $\sigma_{1,3}(x) = y$ implies $\sigma_{2,4}^{-1}(y) = x$. Also, since $q_3 = m$, $\sigma_{2,4}(y) = x$. So, $\sigma_{2,4}(\sigma_{2,4}(x)) = x$. Since x was arbitrary, we have that $\sigma_{2,4}$ is an involution. Since $\sigma_{2,4}$ is also a permutation of [m], we know it can be decomposed into disjoint cycles of length one and two. Finally, since m is odd, $\sigma_{2,4}$ must have a fixed point which is a contradiction.

Lemma 14. Suppose $m \geq 5$ and m is odd. If \mathcal{H} is a full m-fold cover of $G = K_4$ such that $\sum_{i=1}^4 t_i = 0$, then $\sum_{j=1}^3 q_j \leq 3m - 3$.

Proof. For the sake of contradiction suppose $\mathcal{H}=(L,H)$ is a full m-fold cover of $G=K_4$ such that $\sum_{i=1}^4 t_i=0$ and $\sum_{j=1}^3 q_j\geq 3m-2$. Note that Lemma 13 implies that $\sum_{j=1}^3 q_j\in\{3m-1,3m-2\}$. Using the notation above, suppose: the vertices of Q_1 in cyclic order are v_1,v_2,v_3,v_4 , the vertices of Q_2 in cyclic order are v_1,v_2,v_4,v_3 , and the vertices of Q_3 in cyclic order are v_1,v_2,v_4 .

First, we claim that $q_j \neq m-1$ for each $j \in [3]$. To see why suppose that $q_1 = m-1$. Then, we may assume without loss of generality that $\sigma_{1,2}(i) = i$, $\sigma_{2,3}(i) = i$, $\sigma_{3,4}(i) = i$, and $\sigma_{1,4}^{-1}(i) = i$ for each $i \in [m-1]$. Since \mathcal{H} is full, this implies that $\sigma_{1,2}$, $\sigma_{2,3}$, $\sigma_{3,4}$, and $\sigma_{1,4}$ are all the identity permutation on [m] which implies $q_1 = m$ contradicting $q_1 = m-1$.

Since $q_j \neq m-1$ for each $j \in [3]$, we may suppose that $\sum_{j=1}^3 q_j = 3m-2$, $q_1 = m$, $q_2 = m$, and $q_3 = m-2$. As in the proof of Lemma 13 assume that the vertices of H are named so that $\sigma_{1,2}$, $\sigma_{2,3}$, $\sigma_{3,4}$, and $\sigma_{1,4}$ are all the identity permutation on [m]. Since $\sum_{i=1}^4 t_i = 0$, we know that both $\sigma_{1,3}$ and

 $\sigma_{2,4}$ have no fixed points. As in the proof of Lemma 13, $q_2 = m$ implies that $\sigma_{1,3}(x) = \sigma_{2,4}(x)$ for each $x \in [m]$. Since $q_3 = m - 2$, there are $b_1, b_2 \in [m]$ with $b_1 \neq b_2$ such that $\sigma_{2,4}(\sigma_{1,3}(b_i)) \neq b_i$ for each $i \in [2]$, and $\sigma_{2,4}(\sigma_{1,3}(t)) = t$ for each $t \in ([m] - \{b_1, b_2\})$. So, we have that $\sigma_{2,4}(\sigma_{2,4}(b_i)) \neq b_i$ for each $i \in [2]$, $\sigma_{2,4}(\sigma_{2,4}(t)) = t$ for each $t \in ([m] - \{b_1, b_2\})$, and $\sigma_{2,4}(x) \neq x$ for each $x \in [m]$.

Since $\sigma_{2,4}(\sigma_{2,4}(b_i)) \neq b_i$ for each $i \in [2]$, we cannot have $\sigma_{2,4}(b_1) = b_2$ and $\sigma_{2,4}(b_2) = b_1$. So, we may assume without loss of generality $\sigma_{2,4}(b_1) = s$ for some $s \in ([m] - \{b_1, b_2\})$. We then have that $\sigma_{2,4}(s) \neq s$ and $\sigma_{2,4}(s) \neq b_1$. So suppose $\sigma_{2,4}(s) = q$ for some $q \in ([m] - \{s, b_1\})$. This however implies $\sigma_{2,4}(q) = \sigma_{2,4}(\sigma_{2,4}(s)) = s = \sigma_{2,4}(b_1)$ which contradicts the fact that $\sigma_{2,4}$ is a permutation.

Lemma 15. For each odd $m \geq 7$,

$$P_{DP}^*(K_4, m) \le m^4 - 6m^3 + 15m^2 - 13m - 3.$$

Proof. Suppose $\mathcal{H} = (L, H)$ is a full m-fold cover of $G = K_4$ where $P_{DP}(G, \mathcal{H}) = P_{DP}^*(G, m)$. Using the notation established above, we have from identity (1)

$$P_{DP}^{*}(G,m) = m^{4} - 6m^{3} + 15m^{2} - 16m + (3-m)\sum_{i=1}^{4} t_{i} + \sum_{i=1}^{3} q_{i} - \sum_{i=1}^{6} m_{i} + z.$$

Notice that since $m \geq 7$, if $\sum_{i=1}^{4} t_i > 0$, $(3-m)\sum_{i=1}^{4} t_i - \sum_{i=1}^{6} m_i + z \leq (3-m)\sum_{i=1}^{4} t_i + \min\{t_1, t_2, t_3, t_4\} \leq -3t_1 - 4t_2 - 4t_3 - 4t_4 \leq -3$. On the other hand, if $\sum_{i=1}^{4} t_i = 0$, Lemma 11 implies $(3-m)\sum_{i=1}^{4} t_i - \sum_{i=1}^{6} m_i + z = 0$.

With these two observations in mind, we notice that if $\sum_{i=1}^3 t_i = 0$, Lemma 14 implies $\sum_{i=1}^3 q_i \leq 3m-3$ which establishes the desired bound. We also notice that if $\sum_{i=1}^4 t_i > 0$, $P_{DP}^*(G,\mathcal{H}) \leq m^4 - 6m^3 + 15m^2 - 16m - 3 + \sum_{i=1}^3 q_i \leq m^4 - 6m^3 + 15m^2 - 13m - 3$.

We can now prove Theorem 3 by proving that the bounds in Lemmas 12 and 15 are attainable.

Theorem 3. Suppose $m \geq 2$. Then,

$$P_{DP}^{*}(K_4, m) = \begin{cases} m^4 - 6m^3 + 15m^2 - 13m & \text{if } m \text{ is even,} \\ m^4 - 6m^3 + 15m^2 - 13m - 3 & \text{if } m \text{ is odd.} \end{cases}$$

Consequently, there is no $N \in \mathbb{N}$ and polynomial p(m) such that $P_{DP}^*(K_4, m) = p(m)$ whenever $m \geq N$.

Proof. Note that the result can be computationally verified when m = 2, 3, 4, 5 (see Appendix 4). So, suppose that m is even and $m \ge 6$. We will now construct

a full m-fold cover, $\mathcal{H} = (L, H)$, of $G = K_4$. For each $i \in [4]$, let $L(v_i) = \{(v_i, j) : j \in [m]\}$ and let $V(H) = \bigcup_{i=1}^4 L(v_i)$. Finally, create edges in H so that whenever $i, j \in [4]$ and i < j, $(v_i, t)(v_j, t+1) \in E(H)$ when t is odd and $(v_i, t)(v_j, t-1) \in E(H)$ when t is even. Now, using the notation established above, it is easy to check that \mathcal{H} is a full m-fold cover of G such that $t_i = 0$ for each $i \in [4]$ and $q_j = m$ for each $j \in [3]$. Lemma 12 then implies that $P_{DP}^*(G, m) = P_{DP}(G, \mathcal{H}) = m^4 - 6m^3 + 15m^2 - 13m$.

Now suppose that $m \geq 7$ and m is odd. To complete the proof, we must now show that the upper bound in Lemma 15 is attainable. We will construct a full m-fold cover, $\mathcal{H} = (L, H)$, of $G = K_4$. For each $i \in [4]$, let $L(v_i) = \{(v_i, j) : j \in [m]\}$ and let $V(H) = \bigcup_{i=1}^4 L(v_i)$. Finally, using the notation above, create edges as follows. First, create edges so that $\sigma_{1,2}$, $\sigma_{1,3}$, and $\sigma_{1,4}$ are the identity permutation on [m]. Let f be the permutation on [m] given by f(1) = 2, f(2) = 3, f(3) = 1, f(x) = x + 1 whenever x is even and $4 \leq x \leq m - 1$, and f(x) = x - 1 whenever x is odd and $1 \leq x \leq m$. Finally, create edges so that $\sigma_{2,3} = \sigma_{2,4} = f$ and $\sigma_{3,4} = f^{-1}$.

Now, using the notation established above, it is easy to check that \mathcal{H} is a full m-fold cover of G such that $t_i=0$ for each $i\in[4]$. Also, using the notation above, if we suppose: the vertices of Q_1 in cyclic order are v_1,v_2,v_3,v_4 , the vertices of Q_2 in cyclic order are v_1,v_2,v_4,v_3 , and the vertices of Q_3 in cyclic order are v_1,v_3,v_2,v_4 , then it is clear that $q_1=q_3=m$. It is also easy to check that $q_2=m-3$. Finally, identity (1) and the fact that $\sum_{i=1}^4 t_i=0$ implies $(3-m)\sum_{i=1}^4 t_i-\sum_{i=1}^6 m_i+z=0$ yields $P_{DP}(G,\mathcal{H})=m^4-6m^3+15m^2-13m-3$.

3. Proof of Theorem 6

This section is organized as follows. We begin by generalizing the notation and approach of Section 2 so that it applies to complete graphs with order larger than 4. Then, we establish a lower and upper bound on $P_{DP}(G, \mathcal{H})$ when $G = K_n$ with $n \geq 4$ and \mathcal{H} is an m-fold cover of G with $m > 2^{t+1} + t + n - 6$ where $t = \binom{n}{2}$. These bounds allow us to prove the bounds in Theorem 6. Finally, we use the bounds in Theorem 6 to show that when $\mathcal{H} = (L, \mathcal{H})$ is a full m-fold cover of G satisfying $P_{DP}(G, \mathcal{H}) = P_{DP}^*(G, m)$ and $m > 2^{t+1} + t + n - 6$, H is triangle-free.

We begin by generalizing the notation used in Section 2. This notation will be used for the remainder of the paper. Suppose that $G = K_n$ with $n \geq 4$, $V(G) = \{v_1, \ldots, v_n\}$, $E(G) = \{e_1, \ldots, e_t\}$ where $t = \binom{n}{2}$. Also, for some $m > 2^{t+1} + t + n - 6$ we always have that $\mathcal{H} = (L, H)$ is a full m-fold cover of G such that $L(v) = \{(v, i) : i \in [m]\}$ for each $v \in V(G)$. Additionally, for $i, j \in [n]$ with i < j, we let $\sigma_{i,j}$ be the permutation of [m] that maps each $q \in [m]$ to the second

coordinate of the vertex in $L(v_i)$ that is adjacent to (v_i, q) in H.

Let $\mathcal{U} = \{I \subseteq V(H) : |L(v) \cap I| = 1 \text{ for each } v \in V(G)\}$. Clearly, $|\mathcal{U}| = m^n$. Now, for $i \in [t]$, if $e_i = v_r v_s$, let S_i be the set consisting of each $I \in \mathcal{U}$ with the property that H[I] contains an edge in $E_H(L(v_r), L(v_s))$. Also, for each $i \in [t]$ let $C_i = \mathcal{U} - S_i$. Clearly,

$$P_{DP}(G,\mathcal{H}) = \left| \bigcap_{i=1}^{t} C_i \right|.$$

So, by the Inclusion-Exclusion Principle, we see that

$$P_{DP}(G, \mathcal{H}) = |\mathcal{U}| - \left| \bigcup_{i=1}^{t} S_i \right| = m^n - \sum_{k=1}^{t} (-1)^{k-1} \left(\sum_{1 \le i_1 < \dots < i_k \le t} \left| \bigcap_{j=1}^{k} S_{i_j} \right| \right).$$

Now, suppose that T_1, \ldots, T_a are the 3-cycles contained in G (note that $a = \binom{n}{3}$). For each $i \in [a]$, let t_i be the number of 3-cycles contained in the second coordinate of the subcover of \mathcal{H} corresponding to T_i . Next, suppose Q_1, \ldots, Q_b are the 4-cycles contained in G (note that $b = 3\binom{n}{4}$). For each $i \in [b]$, let q_i be the number of 4-cycles contained in the second coordinate of the subcover of \mathcal{H} corresponding to Q_i . Suppose that M_1, \ldots, M_{2b} are the copies of K_4 minus an edge contained in G. For each $i \in [2b]$, let m_i be the number of copies of M_i contained in the second coordinate of the subcover of \mathcal{H} corresponding to M_i . Finally, suppose that $Z_1, \ldots, Z_{b/3}$ are the copies of K_4 contained in G. For each $i \in [b/3]$, let z_i be the number of copies of Z_i contained in the second coordinate of the subcover of \mathcal{H} corresponding to Z_i .

We know from Section 2 that if for some $i \in [2b]$, $E(M_i)$ contains all the edges of T_j and T_r for some $j, r \in [a]$ with $j \neq r$, then $m_i \leq \min\{t_j, t_r\}$. Also, if for some $i \in [b/3]$, $E(Z_i)$ contains all the edges of T_j , T_q , T_r , T_s for some $j, q, r, s \in [a]$ with j < q < r < s, then $z_i \leq \min\{t_j, t_q, t_r, t_s\}$. We are now ready to present some bounds and identities that will be essential in our proof of Theorem 6.

Lemma 16. Assuming the set-up established above, the following statements hold.

- (i) For any $k \in [2]$ and $i_1, \ldots, i_k \in [t]$ satisfying $i_1 < \cdots < i_k$, $\left| \bigcap_{j=1}^k S_{i_j} \right| = m^{n-k}$.
- (ii) If e_{i_1}, \ldots, e_{i_3} are distinct edges that are not the edges of a 3-cycle in G, $\left|\bigcap_{j=1}^3 S_{i_j}\right| = m^{n-3}$.
- (iii) Suppose that $3 \le k \le 6$, and $i_1, \ldots, i_k \in [t]$ satisfy $i_1 < \cdots < i_k$. Let G' be the subgraph of G with edge set $\{e_{i_1}, \ldots, e_{i_k}\}$ and vertex set consisting of only the vertices that are an endpoint of at least one element in E(G').

Suppose $\mathcal{H}' = (L', H')$ is the subcover of \mathcal{H} corresponding to G'. Let C(G') be the number of copies of G' contained in H'. If G' is a 3-cycle in G, then $\left|\bigcap_{j=1}^3 S_{i_j}\right| = m^{n-3}C(G')$. Furthermore, if $4 \le k \le 6$ and |V(G')| = 4, then $\left|\bigcap_{j=1}^k S_{i_j}\right| = m^{n-4}C(G')$.

- (iv) Suppose G' is the spanning subgraph of G such that E(G') contains all the edges of T_j for some $j \in [a]$ and one additional edge. Suppose $E(G') = \{e_{i_1}, \ldots, e_{i_4}\}$. Then, $\left|\bigcap_{j=1}^4 S_{i_j}\right| = t_j m^{n-4}$.
- (v) Suppose that $4 \leq k \leq 6$, and $i_1, \ldots, i_k \in [6]$ satisfy $i_1 < \cdots < i_k$. Let G' be the subgraph of G with edge set $\{e_{i_1}, \ldots, e_{i_k}\}$ and vertex set consisting of only the vertices that are an endpoint of at least one element in E(G'). If G' is not a 3-cycle plus an edge, $G' \neq Q_i$ for some $i \in [b]$, $G' \neq M_i$ for some $i \in [2b]$, and $G' \neq Z_i$ for some $i \in [b/3]$, then $\left| \bigcap_{j=1}^k S_{i_j} \right| \leq m^{n-4}$.
- (vi) For $k \geq 7$, $\sum_{1 \leq i_1 < \dots < i_k \leq t} \left| \bigcap_{j=1}^k S_{i_j} \right| \leq {t \choose k} m^{n-4}$.

Proof. Statements (i), (ii), and (vi) are proven in a more general setting in [24]. So, we begin with a proof of Statement (iii). Let $\mathcal{C}(G')$ be the set consisting of the vertex set of each copy of G' in H'. We suppose G' is a 3-cycle or $4 \le k \le 6$ and |V(G')| = 4. Note that $\bigcap_{j=1}^k S_{i_j}$ has an element if and only if $\mathcal{C}(G')$ has an element. So, both results are clear when C(G') = 0, and we suppose that C(G') > 0.

Suppose C = V(G) - V(G'), and c = |C| (note that c = n - 3 when G' is a 3-cycle, and c = n - 4 when |V(G')| = 4). Name the elements of C so that $C = \{c_1, \ldots, c_c\}$. Let $M : \mathcal{C}(G') \times [m]^c \to \bigcap_{j=1}^k S_{i_j}$ be the function given by $M(V, (x_1, \ldots, x_c)) = V \cup \{(c_i, x_i) : i \in [c]\}$. It is easy to verify that M is a bijection which yields $|\bigcap_{j=1}^k S_{i_j}| = m^c C(G')$.

We now turn our attention to Statement (iv). Suppose without loss of generality that $e_{i_4} \notin E(T_j)$. We may suppose that e_{i_4} does not share an endpoint with any element of $E(T_j)$; otherwise, the desired result follows from Lemma 10 and Statement (iii). Suppose $e_{i_4} = xy$. Let $M: \bigcap_{j=1}^4 S_{i_j} \times [m] \to \bigcap_{j=1}^3 S_{i_j}$ be the function given by $M(V,i) = (V - (V \cap L(y))) \cup \{(y,i)\}$. One can verify that M is a bijection which yields $m \mid \bigcap_{j=1}^4 S_{i_j} \mid = \mid \bigcap_{j=1}^3 S_{i_j} \mid$. Since Statement (iii) implies $\mid \bigcap_{j=1}^3 S_{i_j} \mid = t_j m^{n-3}$, our proof of Statement (iv) is complete.

For Statement (v) notice that for any G' satisfying the hypotheses, the spanning subgraph of G with edge set E(G') has at least n-4 components. The result immediately follows (this idea is also used in [24] to prove Statement (vi)).

Lemma 16 along with the fact there are (t-3) spanning subgraphs of G that contain all the edges of T_j and one additional edge, now yields the following.

$$\begin{split} &P_{DP}(G,\mathcal{H})\\ &=m^n+\sum_{k=1}^t (-1)^k \left(\sum_{1\leq i_1<\dots< i_k\leq t}\left|\bigcap_{j=1}^k S_{i_j}\right|\right)\\ &=m^n-tm^{n-1}+\binom{t}{2}m^{n-2}-\left(\binom{t}{3}-\binom{n}{3}\right)m^{n-3}-\left(\sum_{i=1}^a t_i\right)m^{n-3}\\ &+\sum_{k=4}^6 (-1)^k \left(\sum_{1\leq i_1<\dots< i_k\leq t}\left|\bigcap_{j=1}^k S_{i_j}\right|\right)+\sum_{k=7}^t (-1)^k \left(\sum_{1\leq i_1<\dots< i_k\leq t}\left|\bigcap_{j=1}^k S_{i_j}\right|\right)\\ &\geq m^n-tm^{n-1}+\binom{t}{2}m^{n-2}-\left(\binom{t}{3}-\binom{n}{3}\right)m^{n-3}-\left(\sum_{i=1}^a t_i\right)m^{n-3}\\ &+\left(\sum_{i=1}^b q_i\right)m^{n-4}+(t-3)\left(\sum_{i=1}^a t_i\right)m^{n-4}-\left(\sum_{i=1}^{2b} m_i\right)m^{n-4}\\ &+\left(\sum_{i=1}^{b/3} z_i\right)m^{n-4}-\left(\binom{t}{4}-b-a(t-3)\right)m^{n-4}-\left(\binom{t}{5}-2b\right)m^{n-4}\\ &-\left(\binom{t}{6}-b/3\right)m^{n-4}-\sum_{k=7}^t \binom{t}{k}m^{n-4}\\ &\geq m^n-tm^{n-1}+\binom{t}{2}m^{n-2}-\left(\binom{t}{3}-\binom{n}{3}\right)m^{n-3}\\ &+(t-3-m)\left(\sum_{i=1}^a t_i\right)m^{n-4}\\ &+\left(\sum_{i=1}^b q_i\right)m^{n-4}-\left(\sum_{i=1}^{2b} m_i\right)m^{n-4}+\left(\sum_{i=1}^{b/3} z_i\right)m^{n-4}\\ &+\left(b+a(t-3)+2b+b/3\right)m^{n-4}-2^tm^{n-4}. \end{split}$$

Similarly, using the fact that $m > 2^{t+1} + t + n - 6$ which means n + t - m - 6 < 0, $q_i \le m$ for each $i \in [b]$, and for each $i \in [a]$, T_i is a subgraph of exactly (n-3) of the graphs $Z_1, \ldots, Z_{b/3}$, we obtain

$$\begin{split} &P_{DP}(G,\mathcal{H})\\ &=m^n-tm^{n-1}+\binom{t}{2}m^{n-2}-\left(\binom{t}{3}-\binom{n}{3}\right)m^{n-3}-\left(\sum_{i=1}^a t_i\right)m^{n-3}\\ &+\sum_{k=4}^6 (-1)^k \left(\sum_{1\leq i_1<\dots< i_k\leq t}\left|\bigcap_{j=1}^k S_{i_j}\right|\right)+\sum_{k=7}^t (-1)^k \left(\sum_{1\leq i_1<\dots< i_k\leq t}\left|\bigcap_{j=1}^k S_{i_j}\right|\right)\\ &\leq m^n-tm^{n-1}+\binom{t}{2}m^{n-2}-\left(\binom{t}{3}-\binom{n}{3}\right)m^{n-3}-\left(\sum_{i=1}^a t_i\right)m^{n-3}\\ &+\left(\sum_{i=1}^b q_i\right)m^{n-4}+(t-3)\left(\sum_{i=1}^a t_i\right)m^{n-4}-\left(\sum_{i=1}^{2b} m_i\right)m^{n-4}\\ &+\left(\sum_{i=1}^{b/3} z_i\right)m^{n-4}+\sum_{k=4}^t \binom{t}{k}m^{n-4}\\ &\leq m^n-tm^{n-1}+\binom{t}{2}m^{n-2}-\left(\binom{t}{3}-\binom{n}{3}\right)m^{n-3}\\ &+(t-3-m)\left(\sum_{i=1}^a t_i\right)m^{n-4}+bm^{n-3}+(n-3)\left(\sum_{i=1}^a t_i\right)m^{n-4}+2^tm^{n-4}\\ &\leq m^n-tm^{n-1}+\binom{t}{2}m^{n-2}-\left(\binom{t}{3}-\binom{n}{3}-3\binom{n}{4}\right)m^{n-3}+2^tm^{n-4}. \end{split}$$

Notice that this establishes the upper bound in Theorem 6. We will now construct covers and use bound (2) to establish the lower bound in Theorem 6.

Lemma 17. Suppose $G = K_n$ with $n \ge 4$, and let $t = \binom{n}{2}$. When $m > 2^{t+1} + t + n - 6$,

$$m^{n} - tm^{n-1} + \binom{t}{2}m^{n-2} - \left(\binom{t}{3} - \binom{n}{3} - 3\binom{n}{4}\right)m^{n-3} - 2^{t}m^{n-4} \le P_{DP}^{*}(G, m).$$

Proof. We will first prove the result when m is even. Construct a full m-fold cover, $\mathcal{H} = (L, H)$, of G as follows. For each $i \in [n]$, let $L(v_i) = \{(v_i, j) : j \in [m]\}$ and let $V(H) = \bigcup_{i=1}^n L(v_i)$. Finally, create edges in H so that whenever $i, j \in [t]$ and i < j, $(v_i, t)(v_j, t+1) \in E(H)$ when t is odd and $(v_i, t)(v_j, t-1) \in E(H)$ when t is even. Now, using the notation established above, it is easy to check that H is a full m-fold cover of G such that $t_i = 0$ for each $i \in [a]$ and $q_j = m$ for each $j \in [b]$. The fact that $t_i = 0$ for each $i \in [a]$ also implies that $m_i = 0$ for each $i \in [2b]$ and $z_i = 0$ for each $i \in [b/3]$. Our desired bound now immediately follows from bound (2) and the fact that $P_{DP}(G, H) \leq P_{DP}^*(G, m)$.

Now suppose that m is odd. Construct a full m-fold cover, $\mathcal{H} = (L, H)$, of G as follows. For each $i \in [n]$, let $L(v_i) = \{(v_i, j) : j \in [m]\}$ and let $V(H) = \bigcup_{i=1}^n L(v_i)$. Let f be the permutation on [m] given by f(1) = 2, f(2) = 3, f(3) = 1, f(x) = x + 1 whenever x is even and $4 \le x \le m - 1$, and f(x) = x - 1 whenever x is odd and $5 \le x \le m$. Now, create edges so that whenever $i, j \in [t]$ and i < j, $\sigma_{i,j} = f$.

Now, using the notation established above, we have that $t_i = 0$ for each $i \in [a]$ since $f^{-1}(f(f(x))) = f(x) \neq x$ for each $x \in [m]$. As in the case when m is even, this implies $m_i = 0$ for each $i \in [2b]$ and $z_i = 0$ for each $i \in [b/3]$.

Now, suppose v_i, v_j, v_k, v_l are four arbitrary vertices in G such that i < j < k < l. Additionally, suppose Q_q , Q_r , and Q_s are the 4-cycles in G with vertex set equal to $\{v_i, v_j, v_k, v_l\}$. We will now compute q_q , q_r , and q_s . Without loss of generality we may assume i = 1, j = 2, k = 3, and l = 4. We may also suppose the vertices of Q_q in cyclic order are v_1, v_2, v_3, v_4 , the vertices of Q_r in cyclic order are v_1, v_2, v_4, v_3 , and the vertices of Q_s in cyclic order are v_1, v_2, v_4, v_3 , and the vertices of Q_s in cyclic order are v_1, v_3, v_2, v_4 . Note that for each $x \in [m]$,

$$\sigma_{1,4}^{-1}(\sigma_{3,4}(\sigma_{2,3}(\sigma_{1,2}(x)))) = f^{-1}(f(f(f(x)))) = f(f(x)).$$

Since f(f(x)) = x for each $x \in [m] - [3]$ and $f(f(x)) \neq x$ for each $x \in [3]$, we have that $q_q = m - 3$. Similarly, since $f^{-1}(f^{-1}(f(f(x)))) = x$ for each $x \in [m]$, $q_r = m$; additionally, since $f^{-1}(f(f^{-1}(f(x)))) = x$ for each $x \in [m]$, $q_s = m$. This means

$$\left(\sum_{i=1}^{b} q_i\right) m^{n-4} = (mb - b)m^{n-4} = bm^{n-3} - bm^{n-4}.$$

Our desired bound now immediately follows from bound (2) and the fact that $P_{DP}(G, \mathcal{H}) \leq P_{DP}^*(G, m)$.

We are now ready to complete the proof of Theorem 6 which we restate.

Theorem 6. Suppose $G = K_n$ with $n \ge 4$, and let $t = \binom{n}{2}$. If $m > 2^{t+1} + t + n - 6$ and $\mathcal{H} = (L, H)$ is a full m-fold cover of G satisfying $P_{DP}(G, \mathcal{H}) = P_{DP}^*(G, m)$, then H is triangle-free. Moreover, when $m > 2^{t+1} + t + n - 6$,

$$f(m) - 2^t m^{n-4} \le P_{DP}^*(G, m) \le f(m) + 2^t m^{n-4}$$

where

$$f(m) = m^n - tm^{n-1} + \binom{t}{2}m^{n-2} - \left(\binom{t}{3} - \binom{n}{3} - 3\binom{n}{4}\right)m^{n-3}.$$

Proof. Suppose $\mathcal{H} = (L, H)$ is a full m-fold cover of G satisfying $P_{DP}(G, \mathcal{H}) = P_{DP}^*(G, m)$ and $m > 2^{t+1} + t + n - 6$. Since we have already proven both bounds on $P_{DP}^*(G, m)$ above, we need only show that H is triangle-free.

For the sake of contradiction, suppose that H is not triangle-free. Using the notation above, this implies that $\sum_{i=1}^{a} t_i > 0$. Let $S = \sum_{i=1}^{a} t_i$. Then, by bound (3) and the fact that $m > 2^{t+1} + t + n - 6$, we obtain

$$P_{DP}^{*}(G,m) = P_{DP}(G,\mathcal{H})$$

$$\leq m^{n} - tm^{n-1} + \binom{t}{2}m^{n-2} - \left(\binom{t}{3} - \binom{n}{3} - 3\binom{n}{4}\right)m^{n-3}$$

$$+ (t+n-6-m)Sm^{n-4} + 2^{t}m^{n-4}$$

$$\leq m^{n} - tm^{n-1} + \binom{t}{2}m^{n-2} - \left(\binom{t}{3} - \binom{n}{3} - 3\binom{n}{4}\right)m^{n-3}$$

$$+ (2^{t} + t + n - 6 - m)m^{n-4}$$

$$< m^{n} - tm^{n-1} + \binom{t}{2}m^{n-2} - \left(\binom{t}{3} - \binom{n}{3} - 3\binom{n}{4}\right)m^{n-3} - 2^{t}m^{n-4}.$$

This however contradicts $f(m) - 2^t m^{n-4} \le P_{DP}^*(G, m)$.

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4. Appendix

The program in this Appendix is written in Python, and it is available at [28]. The program can be used to compute $P_{DP}^*(K_4, m)$ when m = 2, 3, 4, 5. For our inputs for the program we assume $G = K_4$, $V(G) = \{v_1, v_2, v_3, v_4\}$, and $E(G) = \{e_1, e_2, e_3, e_4, e_5, e_6\}$ where $e_1 = v_1v_2$, $e_2 = v_1v_3$, $e_3 = v_1v_4$, $e_4 = v_2v_3$, $e_5 = v_2v_4$, and $e_6 = v_3v_4$. By Corollary 12 in [9], $P_{DP}^*(G, m)$ can be computed by considering all full m-fold covers for G such that $\sigma_{1,2}$, $\sigma_{1,3}$, and $\sigma_{1,4}$ are all the identity permutation.

#################

```
# GUIDE
# 1.) Ask |V(G)| and |E(G)| from the user.
# 2.) Ask the user for the incidence matrix of $G$.
# 3.) Asks the user for the value of $m$.
# 4.) For each edge, e = v_i v_j with i < j the user indicates with
# a 0 that \sum_{i,j} will be fixed as the identity permutation
# for all full $m$-fold covers that the program considers, or the user
# indicates with a $1$ that $\sigma_{i,j}$ is not fixed.
# PROCESS
# The program counts the number of proper $\mathcal{H}$-colorings of $G$
# for each full $m$-fold cover, $\mathcal{H}$ of $G$, satisfying:
# for any edge $v_i v_j$ with $i < j$ that received a 0 in the 4th step,
# $\mathcal{H}$ has $\sigma_{i,j}$ as the identity permutation.
# Thus if $q$ is the number of 1's entered by the user,
# the program considers $(m!)^q$ full $m$-fold covers of $G$.
# OUTPUT
# The first output will be the maximum number of proper colorings
# over all $m$-fold covers that the program considers.
# The second output will be the minimum number of proper colorings
# over all $m$-fold covers that the program considers.
# To verify Theorem 3 for m = 2,3,4,5 use the following inputs:
# 1.) Enter the number of vertices: 4
# Enter the number of edges: 6
# 2.) Enter the incidence matrix(one row at a time and space-separated
# values) E1 E2 E3 E4 E5 E6
# V1: 1 1 1 0 0 0
# V2: 1 0 0 1 1 0
# V3: 0 1 0 1 0 1
# V4: 0 0 1 0 1 1
# 3.) Enter the fold number: m
```

```
# 4.) Enter the edges that will correspond to the identity permutation
# in the cover ('0' for identity, '1' otherwise):
# E2: 0
# E3: 0
# E4: 1
# E5: 1
# E6: 1
# OUTPUTS FOR EACH VALUE OF $m$
# When m = 2:
# 2
# 0
# When m = 3:
# 12
# 0
# When m = 4:
# 60
# 24
# When m = 5:
# 182
# 120
##################
import math as math
import itertools as iter
from tqdm import tqdm
# Creation of the incidence matrix of $G$. Returns a 2D Matrix.
\# When $G$ has $1$ vertices and $w$ edges, the user specifies $1$ and $w$
# and then the incidence matrix.
def incidenceM_Creation(1, w):
 # Initialize the incidence matrix with zeros
matrix = [[0] * w] * 1
 print("Enter the incidence matrix "
 "(one row at a time and space-separated values):")
 for cols in range(w):
 if (cols == 0):
```

```
print(f" E{cols + 1}", end=" ")
 else:
 print(f"E{cols + 1}", end=" ")
 for i in range(1):
 print()
 row = input(f"V{i + 1}: ").strip().split()
 if len(row) != w:
 print("Error: Number of elements in the row does "
 "not match the number of columns.\n")
 incidenceM_Creation(1, w)
 return None
 matrix[i] = [int(val) for val in row]
 print()
 return matrix
# Returns a 1D Matrix
# For each edge, $e = v_i v_j$ with $i < j$ the user indicates with a $0$
# that $\sigma_{i,j}$ will be fixed as the identity permutation for all
# full $m$-fold covers that the program considers, or the user
# indicates with a $1$ that $\sigma_{i,j}$ is not fixed.
def edgeID_Creation(w):
matrix = []
print("\nEnter the edges that will correspond to the identity
permutation" "in the cover('0' for identity, '1' otherwise): ")
for i in range(w):
matrix.append(int(input(f"Edge {i + 1}: ")))
 print()
return matrix
# Generates every possible coloring based on the second coordinates of
# the elements of L(v) for each v \in V(G).
def generate_colorings(n, length):
 return [list(perm) for perm in iter.product(range(1, n + 1),
 repeat=length)]
# Determines whether a coloring is proper.
def coloring_function(m, v, e, incidence_matrix, p_edges, colorings):
 c = 0
 for i in range(m**v):
 z = 0
```

```
for j in range(e):
 a = -1
b = -1
 for k in range(v):
 if (incidence_matrix[k][j] == 1):
 a = b
 b = k
 if (p_edges[j][colorings[i][a] - 1] != colorings[i][b]):
 if (z == e):
 c += 1
return c
##### DRIVER PROGRAM ######
# Ask |V(G)| and |E(G)| from the user.
v = int(input("Enter the number of vertices: "))
e = int(input("Enter the number of edges: "))
# Ask the user for the incidence matrix of $G$.
incidence_matrix = incidenceM_Creation(v, e)
# Ask the user for the value of $m$.
m = int(input("\nEnter the fold number: "))
# Generates every possible coloring based on the second coordinates of
# the elements of L(v) for each v \in V(G).
colorings = generate_colorings(m, v)
# D is the maximum number of proper colorings
\# over all m-fold covers that the program considers.
# d is the minimum number of proper colorings
\# over all m-fold covers that the program considers.
D = 0
d = (m**v)
# Generates all permutations of $[m]$.
permutations = list(iter.permutations(range(1, m + 1)))
\ensuremath{\mathtt{\#}} 
 Enter the edges that will correspond to the identity permutation
# in the cover ('0' for identity, '1' otherwise):
edges_id = edgeID_Creation(e)
# Determine the number of 1's entered by the user.
```

```
q = 0
for i in range(e):
q += edges_id[i]
# Generates all full $m$-fold covers that the program will consider.
covers = generate_colorings(math.factorial(m), q)
# Counts the number of proper $\mathcal{H}$-colorings of $G$
# for each full $m$-fold cover, $\mathcal{H}$ of $G$, satisfying:
# for any edge $v_i v_j$ with $i < j$ that received a 0,</pre>
# \mathcal{H} has \simeq_{i,j} as the identity permutation.
# Thus if $q$ is the number of 1's entered by the user,
# the program considers $(m!)^q$ full $m$-fold covers of $G$.
p = [[0] * m] * e
for i in tqdm (range(math.factorial(m)**q), desc="Calculating..."):
 for j in range(e):
 count = 0
 if edges_id[j]== 1:
 p[j] = permutations[covers[i][count] - 1]
 count += 1
 else:
p[j] = permutations[0]
 c = coloring_function(m, v, e, incidence_matrix, p, colorings)
 if c > D:
 D = c
 if c < d:
 d = c
# OUTPUTS
print(f'Max: {D}')
print(f'Min: {d}')
```

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