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# NEIGHBOR SUM DISTINGUISHING TOTAL CHOOSABILITY OF IC-PLANAR GRAPHS

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#### Abstract

Two distinct crossings are independent if the end-vertices of the crossed pair of edges are mutually different. If a graph G has a drawing in the plane such that every two crossings are independent, then we call G a plane graph with independent crossings or IC-planar graph for short. A proper total-k-coloring of a graph G is a mapping  $c : V(G) \cup E(G) \rightarrow \{1, 2, \ldots, k\}$  such that any two adjacent elements in  $V(G) \cup E(G)$  receive different colors. Let  $\sum_c (v)$  denote the sum of the color of a vertex v and the colors of all incident edges of v. A total-k-neighbor sum distinguishing-coloring of G is a total-k-coloring of G such that for each edge  $uv \in E(G), \sum_c (u) \neq \sum_c (v)$ . The least number k needed for such a coloring of G is the neighbor sum distinguishing total chromatic number, denoted by  $\chi_{\Sigma}''(G)$ . In this paper, it is proved that if G is an IC-planar graph with maximum degree  $\Delta(G)$ , then  $ch_{\Sigma}''(G) \leq \max{\Delta(G) + 3, 17}$ , where  $ch_{\Sigma}''(G)$  is the neighbor sum distinguishing total chromating total chromatic number k needed for.

**Keywords:** neighbor sum distinguishing total choosability, maximum degree, IC-planar graph, Combinatorial Nullstellensatz.

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#### 1. INTRODUCTION

All graphs considered are finite, simple and undirected. Let G be a graph. We use V(G), E(G),  $\Delta(G)$  and  $\delta(G)$  to denote its vertex set, edge set, maximum degree and minimum degree, respectively. For planar graph G, F(G) denotes its face set, d(v) denotes the *degree* of a vertex v in G. The *length* or *degree* of a face f, denoted by d(f), is the length of the boundary walk of f in G. We call v a k-vertex, or a k<sup>+</sup>-vertex, or a k<sup>-</sup>-vertex if d(v) = k, or  $d(v) \ge k$ , or  $d(v) \le k$ , respectively and call f a k-face, or a k<sup>+</sup>-face, or a k<sup>-</sup>-face if d(f) = k, or  $d(f) \ge k$ , or  $d(f) \le k$ , respectively. Any undefined notation follows that of Bondy and Murty [3].

A proper total-k-coloring of a graph G is a mapping  $c: V(G) \cup E(G) \rightarrow \{1, 2, \ldots, k\}$  such that any two adjacent elements in  $V(G) \cup E(G)$  receive different colors. Let  $\sum_{c}(v)$  be the sum of the color of a vertex v and the colors of all edges incident with v. If for each edge  $uv \in E(G)$ ,  $\sum_{c}(u) \neq \sum_{c}(v)$ , then we say such total-k-coloring a *neighbor sum distinguishing total-k-coloring*, denoted by tnsd-k-coloring for short. The least number k needed for such a coloring of G is the *neighbor sum distinguishing total chromatic number*, denoted by  $\chi_{\Sigma}'(G)$ . For neighbor sum distinguishing total colorings, we have the following conjecture proposed by Pilśniak and Woźniak [11].

**Conjecture 1.** For any graph G,  $\chi_{\Sigma}''(G) \leq \Delta(G) + 3$ .

Loeb and Tang [10] proved that this bound was asymptotically correct by showing that  $\chi_{\Sigma}''(G) \leq \Delta(G)(1 + o(1))$ . Pilśniak and Woźniak [11] proved that Conjecture 1 holds for complete graphs, cycles, bipartite graphs and subcubic graphs. With the Combinatorial Nullstellensatz, neighbor sum distinguishing total coloring have been studied widely, see [4–6, 8, 9, 12, 19]

For a given graph G, let  $L_x(x \in V \cup E)$  be a set of lists of real numbers and each of size k. The neighbor sum distinguishing total choosability of G is the least number k for which for any specified collection of such lists, there exists a neighbor sum distinguish total coloring with colors from  $L_x$  for each  $x \in V \cup E$ , and we denote it by  $ch''_{\Sigma}(G)$ . We call such a coloring of G list neighbor sum distinguish total-k-coloring and denote it by ltnsd-k-coloring. Ding et al. [4] proved that for any graph G,  $ch''_{\Sigma}(G) \leq 2\Delta(G) + col(G) - 1$ , where col(G) is the coloring number of G. Later Ding et al. [5] improved the bound to  $ch''_{\Sigma}(G) \leq 2\Delta(G) + col(G) - 2$ . Recently, Lu et al. [20] improved the bound to  $ch''_{\Sigma}(G) \leq \max\{\Delta(G) + \lfloor \frac{3col(G)}{2} \rfloor 1, 3col(G) - 2\}$ . The list neighbor sum distinguish total-k-coloring of some special classes of graphs were also investigated. Graphs with bounded maximum average degree (Yao and Kong [16]); d-degenerate graphs (Yao et al. [18]); planar graphs (Qu et al. [13], Wang et al. [15]).

In this paper, we consider IC-planar graphs and prove the following result.

**Theorem 2.** Let G is an IC-planar graph with maximum degree  $\Delta(G)$ . Then  $ch_{\Sigma}''(G) \leq \max{\{\Delta(G) + 3, 17\}}.$ 

An *IC-plane graph* is a topological graph where every edge is crossed at most once and no two crossed edges share a vertex, i.e., two distinct crossings are independent if the end-vertices of the crossed pair of edges are mutually different. If a graph G has a drawing in the plane in which every two crossings are independent, then we call G a plane graph with independent crossings or IC-planar graph for short throughout this paper. This definition of IC-planar graph was introduced by Albertson [1] in 2008. Setting a conjecture of Albertson [1], Král and Stacho [7] showed that every IC-planar graph is 5-colorable. Obviously, every IC-planar graph also is a 1-planar graph. We call G a 1-planar graph if it can be drawn on a plane such that each edge is crossed by at most one other edge.

#### 2. Preliminaries

Every IC-planar graph G in this paper has been embedded on a plane such that all its crossings are independent and the number of crossings is as small as possible. In other words, we call G an IC-plane graph. The associated plane graph  $G^{\times}$  of G is obtained by turning all crossings of G into new 4-vertices on a plane. For convenience, a vertex in  $G^{\times}$  is called false if it is not a vertex of G and real otherwise. For a vertex  $v \in V(G)$ , we use  $d_i(v)$  to denote the number of *i*-vertices which are adjacent to v. One can see that every real vertex in  $G^{\times}$  is adjacent to at most one false vertex and incident with at most two false faces in  $G^{\times}$ .

**Lemma 3** [17]. Let G be a 1-plane graph and  $G^{\times}$  be its associated plane graph. If  $d_G(u) = 3$  and v is a crossing vertex in  $G^{\times}$ , then either  $uv \notin E(G^{\times})$  or uv is not incident with two 3-faces.

We define that a graph G' is *smaller* than a graph G if |E(G')| < |E(G)|. We call a graph *minimal* for a property when no smaller graph satisfies it. Let from now on G = (V, E) be a minimal counterexample to Theorem 2. We set  $k = \max{\{\Delta(G) + 3, 17\}}$ . For each 5<sup>-</sup>-vertex  $v \in V(G)$ , it is obvious that v has at most five neighbors and five incident edges, so v has at most 15 forbidden colors. Since  $k \ge 17$ , we can first erase the color of vertex v and finally recolor it after arguing. In other words, we may omit the coloring for all 5<sup>-</sup>-vertices of G in the following discussion.

**Theorem 4** (Combinatorial Nullstellensatz [2]). Let  $\mathbb{F}$  be an arbitrary field, and let  $P = P(x_1, x_2, \ldots, x_n)$  be a polynomial in  $\mathbb{F}[x_1, x_2, \ldots, x_n]$ . Suppose the degree deg(P) of P equals  $\sum_{i=1}^{n} k_i$ , where each  $k_i$  is a nonnegative integer, and suppose the coefficient of  $x_1^{k_1} x_2^{k_2} \ldots x_n^{k_n}$  in P is non-zero. Then if  $S_1, S_2, \ldots, S_n$  are subsets of  $\mathbb{F}$  with  $|S_i| > k_i$ , there are  $s_1 \in S_1, s_2 \in S_2, \ldots, s_n \in S_n$  so that  $P(s_1, s_2, \ldots, s_n) \neq 0$ .

**Lemma 5** [14]. If  $P(x_1, x_2, \ldots, x_n) \in \mathbb{R}[x_1, x_2, \ldots, x_n]$  is of degree  $\leq s_1 + s_2 + \cdots + s_n$ , where  $s_1, s_2, \ldots, s_n$  are nonnegative integers, then

$$\left(\frac{\partial}{\partial x_1}\right)^{s_1} \left(\frac{\partial}{\partial x_2}\right)^{s_2} \cdots \left(\frac{\partial}{\partial x_n}\right)^{s_n} P(x_1, x_2, \dots, x_n)$$
$$= \sum_{x_1=0}^{s_1} \cdots \sum_{x_n=0}^{s_n} (-1)^{s_1+x_1} {s_1 \choose x_1} \cdots (-1)^{s_n+x_n} {s_n \choose x_n} P(x_1, x_2, \dots, x_n).$$

**Lemma 6** [13]. Let  $L_i$  be the sets of real numbers, with  $|L_i| = l_i$ , where i = 1, 2, ..., p. Let  $L = \left\{ \sum_{i=1}^p x_i \mid x_i \in L_i \text{ and } \prod_{1 \le i < j \le p} (x_i - x_j) \ne 0 \right\}$ . Then  $|L| \ge \sum_{i=1}^p (l_i - p + 1) - (p - 1) = \sum_{i=1}^p l_i - p^2 + 1$ .

## 3. Proof of Theorem 2

## 3.1. Unavoidable configurations

In the following, we will often delete some edges to get a proper subgraph G' of G, then by the minimality of G, there exists an ltnsd-k-coloring c of G'. Let  $W_G(v) = \sum_{e \ni v, e \in E(G)} c(e) + c(v)$ . We may extend this coloring c to the whole graph G. For any  $x \in V(G) \cup E(G)$ , the *available* colors are the remaining colors after excluding the colors of its adjacent edges and vertices in G' from  $L_x$ .

**Claim 7.** For any vertex  $v \in V(G)$ , it holds that

$$\sum_{j=1}^{t} \left[ d_j(v) (\Delta(G) + 4 - d(v) - j) \right] \le d(v) - 1, \quad (1 \le t \le 5).$$

**Claim 8.** For any vertex  $v \in V(G)$ ,  $d_{2^-}(v) \leq \frac{d_{6^+}(v)-1}{\Delta(G)-d(v)+1}$ . Moreover, if  $d(v) = \Delta(G)$ , then  $d_{2^-}(v) \leq d_{6^+}(v) - 1$ .

The proof of Claim 7 and 8 are similar to that of Claim 3.1 and Claim 3.2 in [13], we omit it here. By Claim 7, we can easily get the following Corollaries.

**Corollary 9.** If d(v) = 8, then  $d_{5^-}(v) \le 1$ .

**Corollary 10.** If d(v) = 9, then  $d_{5^-}(v) \le 2$ .

**Corollary 11.** If d(v) = 10, then  $d_{5^-}(v) \le 3$ .

Claim 12. If d(v) = 11 and  $d_{6^+}(v) \le 6$ , then  $d_{3^-}(v) \le 1$ .

**Proof.** Suppose to the contrary that v is adjacent to two 3<sup>-</sup>-vertices. Without loss of generality, we assume that  $N(v) = \{v_1, v_2, \ldots, v_{11}\}, d(v_1) = d(v_2) = 3$ and  $d(v_j) \ge 6$ ,  $(6 \le j \le 11)$ . Consider  $G' = G - vv_1 - vv_2$ , then G' admits an ltnsd-k-coloring c. Now we will color the edges  $vv_1, vv_2$  and recolor vertices  $v_1, v_2$ . Let  $S_1, S_2$  be the sets of available colors for  $vv_1, vv_2$ , respectively. It is easy to obtain that  $|S_i| = 17 - 12 = 5$ , (i = 1, 2). By Lemma 6,  $|L| \ge |S_1| + |S_2| - 4 + 1 =$ 7 > 6. We can choose a pair, say  $(x, y) \in S_1 \times S_2$  with  $x \ne y$ , such that  $x + y \notin \{W_G(v_j) - W_G(v) \mid 6 \le j \le 11\}$ . Finally, we can recolor  $v_1, v_2$  to get an ltnsd-k-coloring of G, a contradiction.

Claim 13. If d(v) = 12 and  $d_{6^+}(v) \le 6$ , then  $d_{3^-}(v) \le 2$ .

**Proof.** Suppose to the contrary that v is adjacent to three 3<sup>-</sup>-vertices. Without loss of generality, we assume that  $N(v) = \{v_1, v_2, \ldots, v_{12}\}, d(v_1) = d(v_2) = d(v_3) = 3$  and  $d(v_j) \ge 6$ ,  $(7 \le j \le 12)$ . Consider  $G' = G - \{vv_i \mid i = 1, 2, 3\}$ , then G' admits an ltnsd-k-coloring c. Now we will color the edges  $vv_1, vv_2, vv_3$ and recolor vertices  $v_1, v_2, v_3$ . Let  $S_1, S_2, S_3$  be the sets of available colors for  $vv_1, vv_2, vv_3$ , respectively. It is easy to obtain that  $|S_i| = 17 - 12 = 5$ ,  $(1 \le i \le 3)$ . By Lemma 6,  $|L| \ge |S_1| + |S_2| + |S_3| - 9 + 1 = 7 > 6$ . We can choose a triple, say  $(x, y, z) \in S_1 \times S_2 \times S_3$  with x, y, z distinct colors, such that  $x + y + z \notin \{W_G(v_j) - W_G(v) | 7 \le j \le 12\}$ . Finally, we can recolor  $v_1, v_2, v_3$  to get an ltnsd-k-coloring of G, a contradiction.

By Lemma 5, if  $P(x_1, x_2, ..., x_n)$  is a polynomial with  $deg(P) = n, k_1, k_2, ..., k_m$  are non-negative integers with  $\sum_{i=1}^m k_i = n$  and  $cp\left(x_1^{k_1}x_2^{k_2}\cdots x_m^{k_m}\right)$  is the coefficient of  $x_1^{k_1}x_2^{k_2}\cdots x_m^{k_m}$  in P, then  $\frac{\partial^n P}{\partial_{x_1}^{k_1}\cdots \partial_{x_m}^{k_m}} = cp\left(x_1^{k_1}x_2^{k_2}\cdots x_m^{k_m}\right)\prod_{i=1}^m k_i!$ . In the following, we use MATLAB to calculate the coefficients of specific monomials. Moreover, we will list the codes in Appendix.

Claim 14. Every 5<sup>-</sup>-vertex is not adjacent to 7<sup>-</sup>-vertex in G.

**Proof.** Suppose to the contrary that there exists a 5<sup>-</sup>-vertex u adjacent to a 7<sup>-</sup>-vertex v. Without loss of generality, we assume that d(u) = 5, d(v) = 7,  $N(u) = \{v, u_1, \ldots, u_4\}$ ,  $N(v) = \{u, v_1, \ldots, v_6\}$ . Consider G' = G - uv, then G' admits an ltnsd-k-coloring c. Now we will recolor the vertices u, v and color the edge uv. Let  $S_1, S_2, S_3$  be the sets of available colors for u, uv, v, respectively. Notice that the colors in  $\{c(uu_i) \mid 1 \le i \le 4\} \cup \{c(u_i) \mid 1 \le i \le 4\}$  are forbidden for u, the colors in  $\{c(vv_i) \mid 1 \le i \le 4\} \cup \{c(vv_i) \mid 1 \le i \le 6\}$  are forbidden for uv, and the colors in  $\{c(vv_i) \mid 1 \le i \le 6\} \cup \{c(v_i) \mid 1 \le i \le 6\}$  are forbidden for v. Thus,  $|S_1| = 17 - 8 = 9 > 8$ ,  $|S_2| = 17 - 10 = 7 > 6$ ,  $|S_3| = 17 - 12 = 5 > 4$ . We associate that u, uv, v with the variables  $x_1, x_2, x_3$ , respectively. Then we

consider the following polynomial.

$$P(x_1, x_2, x_3) = (x_1 - x_2)(x_1 - x_3) \left( x_2 - x_3)(x_1 + \sum_{l=1}^4 c(uu_l) - x_3 - \sum_{k=1}^6 c(vv_k) \right)$$
$$\prod_{i=1}^4 \left( x_1 + x_2 + \sum_{l=1}^4 c(uu_l) - W(u_i) \right)$$
$$\prod_{j=1}^6 \left( x_2 + x_3 + \sum_{k=1}^6 c(vv_k) - W(v_j) \right).$$

We have  $cp(x_1^6x_2^4x_3^4) = -25$ . According to Theorem 4, there exists  $x_i \in S_i$ ,  $(1 \leq i \leq 3)$  such that  $P(x_1, x_2, x_3) \neq 0$ . We color u, uv, v correspondingly. Finally, we can get an ltnsd-k-coloring of the graph G, a contradiction.

Claim 15. Every  $6^-$ -vertex is not adjacent to  $6^-$ -vertex in G.

**Proof.** Suppose to the contrary that there exists a 6<sup>-</sup>-vertex u adjacent to a 6<sup>-</sup>-vertex v. Without loss of generality, we assume that d(u) = 6, d(v) = 6,  $N(u) = \{v, u_1, \ldots, u_5\}$ ,  $N(v) = \{u, v_1, \ldots, v_5\}$ . Consider G' = G - uv, then G' admits an ltnsd-k-coloring c. Now we will recolor the vertices u, v and color the edge uv. Let  $S_1, S_2, S_3$  be the sets of available colors for u, uv, v, respectively. Notice that the colors in  $\{c(uu_i) \mid 1 \le i \le 5\} \cup \{c(u_i) \mid 1 \le i \le 5\}$  are forbidden for u, the colors in  $\{c(vv_i) \mid 1 \le i \le 5\} \cup \{c(vv_i) \mid 1 \le i \le 5\}$  are forbidden for uv, and the colors in  $\{c(vv_i) \mid 1 \le i \le 5\} \cup \{c(v_i) \mid 1 \le i \le 5\}$  are forbidden for v. Thus,  $|S_1| = 17 - 10 = 7 > 6$ ,  $|S_2| = 17 - 10 = 7 > 6$ ,  $|S_3| = 17 - 10 = 7 > 6$ . We associate that u, uv, v with the variables  $x_1, x_2, x_3$ , respectively. Then we consider the following polynomial.

$$P(x_1, x_2, x_3) = (x_1 - x_2)(x_1 - x_3)(x_2 - x_3) \left( x_1 + \sum_{l=1}^5 c(uu_l) - x_3 - \sum_{k=1}^5 c(vv_k) \right)$$
$$\prod_{i=1}^5 \left( x_1 + x_2 + \sum_{l=1}^5 c(uu_l) - W(u_i) \right)$$
$$\prod_{j=1}^5 \left( x_2 + x_3 + \sum_{k=1}^5 c(vv_k) - W(v_j) \right).$$

We have  $cp(x_1^6 x_2^4 x_3^4) = -20$ . According to Theorem 4, there exists  $x_i \in S_i$ ,  $(1 \leq i \leq 3)$  such that  $P(x_1, x_2, x_3) \neq 0$ . We color u, uv, v correspondingly. Finally, we can get an ltnsd-k-coloring of the graph G, a contradiction.

**Claim 16.** Let d(v) = 13 and  $d_{6^+}(v) \le 6$ , then  $d_{3^-}(v) \le 5$ . Moreover, if  $d_{2^-}(v) \ge 1$ , then  $d_{3^-}(v) \le 4$ .

**Proof.** Suppose to the contrary that there exists a 13-vertex v adjacent to six  $3^-$ -vertices. Without loss of generality, assume that  $N(v) = \{v_1, v_2, \ldots, v_{13}\}$ ,  $d(v_i) = 3$ ,  $(1 \le i \le 6)$  and  $d(v_j) \ge 6$ ,  $(8 \le j \le 13)$ . Consider  $G' = G - \{vv_i \mid i = 1, 2, \ldots, 6\}$ , then G' admits an ltnsd-k-coloring c. Now we will color the edges  $vv_i$  and recolor vertices  $v_i$ ,  $(1 \le i \le 6)$ . Let  $S_i$ ,  $(1 \le i \le 6)$  be the sets of available colors for  $vv_i$ ,  $(1 \le i \le 6)$ , respectively. It is easy to obtain that  $|S_i| = 17 - 7 - 1 - 2 = 7 > 6$ ,  $(1 \le i \le 6)$ . We associate that  $vv_i$ ,  $(1 \le i \le 6)$  with the variables  $x_i$ ,  $(1 \le i \le 6)$ , respectively. Then we consider the following polynomial.

$$P(x_1, x_2, x_3, x_4, x_5, x_6) = \prod_{1 \le i < j \le 6} (x_i - x_j) \prod_{k=8}^{13} \left( \sum_{t=1}^6 x_t + \sum_{l=7}^{13} c(vv_l) + c(v) - W(v_k) \right).$$

We have  $cp(x_1^6 x_2^5 x_3^4 x_4^3 x_5^2 x_6^1) = 1$ . According to Theorem 4, there exists  $x_i \in S_i$ ,  $(1 \le i \le 6)$  such that  $P(x_1, x_2, x_3, x_4, x_5, x_6) \ne 0$ . We color  $vv_i$ ,  $(1 \le i \le 6)$  correspondingly. Finally, we can recolor vertices  $v_i$ ,  $(1 \le i \le 6)$  to get an ltnsdk-coloring of the graph G, a contradiction.

Moreover, if  $d(v_1) = 2$ ,  $d(v_i) = 3$ ,  $(2 \le i \le 5)$  and  $d(v_j) \ge 6$ ,  $(8 \le j \le 13)$ . Consider  $G' = G - \{vv_i \mid i = 1, 2, ..., 5\}$ , then G' admits an ltnsd-k-coloring c. Now we will color the edges  $vv_i$  and recolor vertices  $v_i$ ,  $(1 \le i \le 5)$ . Let  $S_i$ ,  $(1 \le i \le 5)$  be the sets of available colors for  $vv_i$ ,  $(1 \le i \le 5)$ , respectively. It is easy to obtain that  $|S_1| = 17 - 8 - 1 - 1 = 7 > 6$ ,  $|S_i| = 17 - 8 - 1 - 2 = 6 > 5$ ,  $(2 \le i \le 5)$ . We associate that  $vv_i$ ,  $(1 \le i \le 5)$  with the variables  $x_i$ ,  $(1 \le i \le 5)$ , respectively. Then we consider the following polynomial.

$$P(x_1, x_2, x_3, x_4, x_5) = \prod_{1 \le i < j \le 5} (x_i - x_j) \prod_{k=8}^{13} \left( \sum_{t=1}^5 x_t + \sum_{l=6}^{13} c(vv_l) + c(v) - W(v_k) \right).$$

We have  $cp(x_1^6 x_2^4 x_3^3 x_4^2 x_5^1) = -5$ . According to Theorem 4, there exists  $x_i \in S_i$ ,  $(1 \le i \le 5)$  such that  $P(x_1, x_2, x_3, x_4, x_5) \ne 0$ . We color  $vv_i$ ,  $(1 \le i \le 5)$  correspondingly. Finally, we can recolor vertices  $v_i$ ,  $(1 \le i \le 5)$  to get an ltnsdk-coloring of the graph G, a contradiction.

Claim 17. Let  $d(v) = \Delta(G) \ge 14$  and  $d_{6^+}(v) \le 6$ . If  $d_{2^-}(v) \ge 1$ , then  $d_{3^-}(v) \le 5$ .

**Proof.** Let d(v) = d. Suppose to the contrary that there exists a *d*-vertex v adjacent to six 3<sup>-</sup>-vertices. Without loss of generality, assume that  $N(v) = \{v_1, v_2, \ldots, v_d\}, d(v_1) = 2, d(v_i) = 3, (2 \le i \le 6) \text{ and } d(v_j) \ge 6, (d-5 \le j \le d).$  Consider  $G' = G - \{vv_i \mid i = 1, 2, \ldots, 6\}$ , then G' admits an ltnsd-k-coloring c. Now we will color the edges  $vv_i$  and recolor vertices  $v_i, (1 \le i \le 6)$ . Let  $S_i, (1 \le i \le 6)$  be the sets of available colors for  $vv_i (1 \le i \le 6)$ , respectively.

It is easy to obtain that  $|S_1| = (\Delta(G) + 3) - (\Delta(G) - 6) - 1 - 1 = 7 > 6$ ,  $|S_i| = (\Delta(G) + 3) - (\Delta(G) - 6) - 1 - 2 = 6 > 5$ ,  $(2 \le i \le 6)$ . We associate that  $vv_i$ ,  $(1 \le i \le 6)$  with the variables  $x_i$ ,  $(1 \le i \le 6)$ , respectively. Then we consider the following polynomial.

$$P(x_1, x_2, x_3, x_4, x_5, x_6) = \prod_{1 \le i < j \le 6} (x_i - x_j) \prod_{k=d-5}^d \left( \sum_{t=1}^6 x_t + \sum_{l=7}^d c(vv_l) + c(v) - W(v_k) \right).$$

We have  $cp(x_1^6 x_2^5 x_3^4 x_4^3 x_5^2 x_6^1) = 1$ . According to Theorem 4, there exists  $x_i \in S_i$ ,  $(1 \le i \le 6)$  such that  $P(x_1, x_2, x_3, x_4, x_5, x_6) \ne 0$ . We color  $vv_i$ ,  $(1 \le i \le 6)$  correspondingly. Finally, we can recolor vertices  $v_i$ ,  $(1 \le i \le 6)$  to get an ltnsdk-coloring of the graph G, a contradiction.

# 3.2. Discharging process

Let T be the graph obtained by removing all 2<sup>-</sup>-vertices from the graph G and  $T^{\times}$  be the associated plane graph of T. We have  $d_T(v) = d(v) - d_{2^-}(v)$ .

**Corollary 18.** For any vertex v with  $d(v) \ge 7$ , it holds that  $d_T(v) \ge 7$ .

**Proof.** If  $7 \le d(v) \le 10$ , we can easily get  $d_T(v) \ge 7$  by Claim 14 and Corollaries 9–11. When d(v) > 10, we just consider the situation  $d_{6^+}(v) \le 6$ . By Claim 8,  $d_T(v) = d(v) - d_{2^-}(v) \ge d(v) - \frac{d_{6^+}(v) - 1}{\Delta(G) - d(v) + 1} \ge 11 - \frac{5}{14 - 11 + 1} \ge 9$ .

We apply the discharging method on associated plane graph  $T^{\times}$  of T and complete the proof by contradiction. Since  $T^{\times}$  is a plane graph, we have

$$\sum_{v \in V(T^{\times})} (d_{T^{\times}}(v) - 6) + \sum_{f \in F(T^{\times})} (2d_{T^{\times}}(f) - 6)$$

$$= \sum_{v \in V(T)} (d_{T}(v) - 6) + \sum_{v \in V(T^{\times}) \setminus V(T)} (d_{T^{\times}}(v) - 6) + \sum_{f \in F(T^{\times})} (2d_{T^{\times}}(f) - 6)$$

$$= \sum_{v \in V(T)} (d(v) - d_{2^{-}}(v) - 6) + \sum_{v \in V(T^{\times}) \setminus V(T)} (d_{T^{\times}}(v) - 6) + \sum_{f \in F(T^{\times})} (2d_{T^{\times}}(f) - 6)$$

$$= -12.$$

Now we define the initial charge function ch(x) of  $x \in V(T^{\times}) \cup F(T^{\times})$ . Let  $ch(v) = d_T(v) - 6 = d(v) - d_{2^-}(v) - 6$  if  $v \in V(T)$ ,  $ch(v) = d_{T^{\times}}(v) - 6$  if  $v \in V(T^{\times}) \setminus V(T)$  and  $ch(f) = 2d_{T^{\times}}(f) - 6$  if  $f \in F(T^{\times})$ . Then we define suitable discharging rules to change the initial charge function ch(x) to the final charge function ch'(x) on  $V(T^{\times}) \cup F(T^{\times})$  such that  $ch'(x) \ge 0$  for all  $x \in V(T^{\times}) \cup F(T^{\times})$ . Notice that our discharging rules only move charge around and do not affect the sum. Thus we have  $0 \le \sum_{x \in V(T^{\times}) \cup F(T^{\times})} ch'(x) = 0$   $\sum_{x \in V(T^{\times}) \cup F(T^{\times})} ch(x) = -12$ , a contradiction. Since for every vertex  $v \in V(T)$ ,  $ch(v) = d_G(v) - d_{2^-}(v) - 6$ , in the discharging process, we use  $d_G(v)$  instead of  $d_T(v)$ . Similarly, for every vertex  $v \in V(T)$ , when check  $ch'(v) \ge 0$ , we split the proof into cases depending on the size of  $d_G(v)$ .

For  $v \in V(T^{\times})$  and  $f \in F(T^{\times})$ , we define the discharging rules as follows. Note that within all the degree of a real vertex shall refer to its degree in G and the faces and their degrees correspond to the graph  $T^{\times}$ .

- (R1): If the edge uv belongs to two 3-faces and d(v) = 3, then u sends 1 to v.
- (R2): If the edge uv belongs to exactly one 3-face and d(v) = 3, then u sends  $\frac{1}{2}$  to v.
- (R3): If the edge uv belongs to two 3-faces and d(v) = 4, then u sends  $\frac{1}{2}$  to v.
- (R4): If the edge uv belongs to two 3-faces and d(v) = 5, then u sends  $\frac{1}{5}$  to v.
- (R5): Every 4-face sends 1 to each incident real 5<sup>-</sup>-vertex in  $T^{\times}$ .
- (R6): Every 5<sup>+</sup>-face sends 2 to each incident real 5<sup>-</sup>-vertex in  $T^{\times}$ .
- (R7): Let v be a false vertex crossed by edge uw and xy in  $T^{\times}$ . If  $d(u) \ge 7$ , then u sends 1 to v. Moreover, if d(w) = 3, then u sends  $\frac{3}{2}$  to v.

By Corollary 18 and the discharging rules, we obtain the following facts easily.

**Fact 1.** For any  $f \in F(T^{\times})$ , f is incident with at most  $\left\lfloor \frac{d(f)}{2} \right\rfloor$  real 5<sup>-</sup>-vertices in  $T^{\times}$ .

**Fact 2.** Each vertex v gives at most  $\frac{d_{3+}(v)}{2} + 1$  away.

Let f be a face of  $T^{\times}$ . Clearly, if d(f) = 3, then ch'(f) = ch(f) = 2d(f) - 6= 0. If d(f) = 4, then  $ch'(f) \ge ch(f) - 2 = 0$  by Fact 1 and (R5). If  $d(f) \ge 5$ , then  $ch'(f) \ge ch(f) - \left|\frac{d(f)}{2}\right| \times 2 = 0$  by Fact 1 and (R6).

We next check the final charge of the vertex  $v \in V(T^{\times})$ . Obviously,  $d(v) \geq 3$ . Recall that v has an initial weight of  $d(v) - d_{2^{-}}(v) - 6$ .

Suppose d(v) = 3. If v is incident with three 3-faces, then  $ch'(v) \ge ch(v)+3 = 0$  by (R1). If v is incident with two 3-faces, then  $ch'(v) \ge ch(v)+1+\frac{1}{2}\times 2+1=0$  by (R1), (R2), (R5) and (R6). If v is incident with one 3-face, then  $ch'(v) \ge ch(v) + \frac{1}{2} \times 2 + 1 \times 2 = 0$  by (R2), (R5) and (R6). Otherwise, v is incident with three  $4^+$ -faces, then  $ch'(v) \ge ch(v) + 1 \times 3 = 0$  by (R5) and (R6).

Suppose d(v) = 4 and v is a real vertex. We have  $d_{2^-}(v) = 0$ . If v is incident with four 3-faces, then  $ch'(v) \ge ch(v) + \frac{1}{2} \times 4 = 0$  by (R3). If v is incident with three 3-faces, then  $ch'(v) \ge ch(v) + \frac{1}{2} \times 2 + 1 = 0$  by (R3), (R5) and (R6). If v is incident with at most two 3-faces, then  $ch'(v) \ge ch(v) + 1 \times 2 = 0$  by (R5) and (R6).

Suppose d(v) = 4 and v is a false vertex crossed by edge uw and xy. By Claim 14 and 15, v is adjacent to at most two 6<sup>-</sup>-vertices.

If  $d_{6^-}(v) = 2$ , without loss of generality, we assume that  $d(u) \leq 6$  and  $d(x) \leq 6$ . By Claim 15, if  $4 \leq d(u) \leq 6$  and  $4 \leq d(x) \leq 6$ , then  $ux \notin E(T)$ , v gives no weight away by (R3) and (R4). By the same claim, v is also adjacent to two 7<sup>+</sup>-vertices. So v receives at least  $1 \times 2 = 2$  from its 7<sup>+</sup>-neighbors by (R7). Thus, we have  $ch'(v) \geq ch(v) + 2 = 0$ . If one of the vertices x, u is a 3-vertex, without loss of generality, we assume that d(u) = 3. Then, by Claim 14, w is a  $8^+$ -vertex. v may receives  $\frac{3}{2}$  from vertex w and 1 from vertex y by (R7) and gives at most  $\frac{1}{2}$  away by (R3) and (R4). Thus, we have  $ch'(v) \geq ch(v) + \frac{3}{2} + 1 - \frac{1}{2} = 0$ . Otherwise, d(u) = d(x) = 3. By Claim 14, v receives at least  $\frac{3}{2} \times 2 = 3$  from its  $8^+$ -neighbors by (R7). And v gives at most  $\frac{1}{2} \times 2 = 1$  away by Lemma 3, Claim 15 and (R2). Thus, we have  $ch'(v) \geq ch(v) + 3 - 1 = 0$ .

If  $d_{6^-}(v) = 1$ , without loss of generality, we assume that  $d(u) \leq 6$ . Then v is adjacent to three 7<sup>+</sup>-vertices. So v receives at least  $1 \times 3 = 3$ , and v gives at most  $\frac{1}{2}$  away by Lemma 3 and (R2). Thus, we have  $ch'(v) \geq ch(v) + 3 - \frac{1}{2} > 0$ .

If v is adjacent to four 7<sup>+</sup>-vertices, v receives at least  $1 \times 4 = 4$  from its 7<sup>+</sup>neighbors by (R7) and gives no weight away. So we have  $ch'(v) \ge ch(v) + 1 \times 4 > 0$ .

Suppose d(v) = 5. If v is not incident with any 4<sup>+</sup>-faces, then by (R4),  $ch'(v) \ge ch(v) + \frac{1}{5} \times 5 = 0$ . Otherwise, if v is incident with at least one 4<sup>+</sup>-faces, then by (R5) and (R6),  $ch'(v) \ge ch(v) + 1 = 0$ .

Suppose d(v) = 6. v gives no weight away to any other vertex by the discharging rules. So ch'(v) = ch(v) = 0.

Suppose d(v) = 7. v gives at most 1 to the false neighbor in  $T^{\times}$  by (R7), then ch'(v) = ch(v) - 1 = 0.

Suppose d(v) = 8. By Corollary 9,  $ch'(v) = ch(v) - \max\{2, \frac{3}{2}\} \ge 0$  by (R1)–(R4) and (R7).

Suppose d(v) = 9. By Corollary 10,  $ch'(v) = ch(v) - \max\{3, 1 + \frac{3}{2}\} \ge 0$  by (R1)–(R4) and (R7).

Suppose d(v) = 10. By Corollary 11,  $ch'(v) = ch(v) - \max\left\{4, 2 + \frac{3}{2}\right\} \ge 0$  by (R1)–(R4) and (R7).

Next we check the final charge of the vertices with  $d(v) \ge 11$ . Let w be a false vertex crossed by edge uv and edge xy. According to the discharging rules, if  $d(u) \le 5$ , then v gives at most  $d_{5^-}(v) + \frac{1}{2}$  away. Otherwise, v gives at most  $d_{5^-}(v) + 1$  away. Therefore, for every vertex v with  $d_{6^+}(v) \ge 7$ ,  $ch'(v) \ge 0$ . In the following discussion, we only consider the vertex with  $d(v) \ge 11$  and  $d_{6^+}(v) \le 6$ .

Suppose d(v) = 11. By Claim 12, we have that  $d_{3^-}(v) \leq 1$ . If  $d_{2^-}(v) = 0$ , then  $d_3(v) \leq 1$ . We have  $ch'(v) = ch(v) - \max\left\{1 + d_3(v) + \left(\lfloor\frac{11-1}{2}\rfloor - d_3(v)\right) \times \frac{1}{2}, \frac{3}{2} + \lfloor\frac{11-1}{2}\rfloor \times \frac{1}{2}\right\} = 5 - \max\left\{\frac{7+d_3(v)}{2}, 4\right\} > 0$  by (R1)–(R4) and (R7). If  $d_{2^-}(v) = 1$ , then  $d_3(v) = 0$ . We have  $ch'(v) = ch(v) - 1 - \lfloor\frac{11-1}{2}\rfloor \times \frac{1}{2} = 3 - \frac{5}{2} > 0$  by (R3) and (R7).

Suppose d(v) = 12. By Claim 13, we have that  $d_{3^-}(v) \leq 2$ .

If  $d_{2^-}(v) = 0$ , then  $d_3(v) \le 2$ . If  $d_3(v) \ge 1$ , we have ch'(v) = ch(v) - ch(v) ch(v) - ch(v) - ch(v) = ch(v) - ch

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 $\max\left\{1+d_3(v)+\left(\left\lfloor\frac{12-1}{2}\right\rfloor-d_3(v)\right)\times\frac{1}{2},\frac{3}{2}+\left(d_3(v)-1\right)+\left(\left\lfloor\frac{12-1}{2}\right\rfloor-\left(d_3(v)-1\right)\right)\times\frac{1}{2}\right)\right\}$  $\frac{1}{2} = 6 - \frac{7+d_3(v)}{2} > 0 \text{ by (R1)-(R4) and (R7). If } d_3(v) = 0, \text{ then we have } ch'(v) = ch(v) - 1 - \lfloor \frac{12-1}{2} \rfloor \times \frac{1}{2} > 0 \text{ by (R3) and (R7).}$ 

If  $d_{2^-}(v) \ge 1$  and  $d_3(v) \ge 1$ , we have  $ch'(v) = ch(v) - \max\left\{1 + d_3(v) + d_3(v)\right\}$  $\left(\left\lfloor \frac{12-d_{2^{-}}(v)-1}{2} \right\rfloor - d_{3}(v)\right) \times \frac{1}{2}, \frac{3}{2} + (d_{3}(v)-1) + \left(\left\lfloor \frac{12-d_{2^{-}}(v)-1}{2} \right\rfloor - (d_{3}(v)-1)\right) \times \frac{1}{2}\right\} \ge \frac{9-3d_{3^{-}}(v)+d_{3}(v)}{4} > 0 \text{ by (R1)-(R4) and (R7). Otherwise } d_{3}(v) = 0, \text{ then}$  $d_{2^-}(v) \le 2$ . So  $ch'(v) = ch(v) - 1 - \left| \frac{12 - d_{2^-}(v) - 1}{2} \right| \times \frac{1}{2} \ge \frac{9 - 3d_{2^-}(v)}{4} > 0$  by (R1)-(R4) and (R7).

Suppose d(v) = 13. By Claim 16, we have that  $d_{3^-}(v) \leq 5$ . Moreover, if  $d_{2^{-}}(v) \ge 1$ , then  $d_{3^{-}}(v) \le 4$ .

 $\begin{aligned} d_{2^{-}}(v) &\geq 1, \text{ then } d_{3^{-}}(v) \leq 4. \\ \text{If } d_{2^{-}}(v) &= 0, \text{ then } d_{3}(v) \leq 5. \text{ If } d_{3}(v) \geq 1, \text{ then we have } ch'(v) = ch(v) - \\ \max\left\{1 + d_{3}(v) + \left(\lfloor\frac{13-1}{2}\rfloor - d_{3}(v)\right) \times \frac{1}{2}, \frac{3}{2} + (d_{3}(v) - 1) + \left(\lfloor\frac{13-1}{2}\rfloor - (d_{3}(v) - 1)\right)\right) \\ \times \frac{1}{2}\right\} &= 3 - \frac{d_{3}(v)}{2} > 0 \text{ by (R1)-(R4) and (R7). If } d_{3}(v) = 0, \text{ then we have } ch'(v) = ch(v) - 1 - \lfloor\frac{13-1}{2}\rfloor \times \frac{1}{2} > 0 \text{ by (R3) and (R7).} \\ \text{ If } d_{2^{-}}(v) \geq 1, \text{ then } d_{3^{-}}(v) \leq 4. \text{ If } d_{3}(v) \geq 1, \text{ we have } ch'(v) = ch(v) - \\ \max\left\{1 + d_{3}(v) + \left(\lfloor\frac{13-d_{2^{-}}(v)-1}{2}\rfloor - d_{3}(v)\right) \times \frac{1}{2}, \frac{3}{2} + (d_{3}(v) - 1) + \left(\lfloor\frac{13-d_{2^{-}}(v)-1}{2}\rfloor - (d_{3}(v) - 1)\right) \right) \\ \times \frac{1}{2}\right\} \geq 3 - \frac{3d_{3^{-}}(v)}{4} + \frac{d_{3}(v)}{4} > 0 \text{ by (R1)-(R4) and (R7). Otherwise} \end{aligned}$ 

 $d_3(v) = 0$ , then  $d_{2^-}(v) \leq 4$ . So  $ch'(v) = ch(v) - 1 - \left| \frac{13 - 1 - d_{2^-}(v)}{2} \right| \times \frac{1}{2} \geq 0$  $3 - \frac{3d_{2-}(v)}{4} \ge 0$  by (R1)–(R4) and (R7).

Suppose  $d(v) = \Delta(G) \ge 14$ . If  $d_{2^-}(v) = 0$ , then by Fact 2, we have ch'(v) = $ch(v) - \frac{d_{3+}(v)}{2} - 1 \ge 0$  by (R1)–(R4) and (R7).

 $\begin{aligned} & \text{If } d_{2^-}(v) \ge 1, \text{ then by Claim 17, } d_{3^-}(v) \le 5. \text{ If } d_3(v) \ge 1, \text{ then we have} \\ & \text{ch}'(v) \ge ch(v) - \max\left\{1 + d_3(v) + \left(\left\lfloor\frac{14 - d_{2^-}(v) - 1}{2}\right\rfloor - d_3(v)\right) \times \frac{1}{2}, \frac{3}{2} + (d_3(v) - 1) + \left(\left\lfloor\frac{14 - d_{2^-}(v) - 1}{2}\right\rfloor - (d_3(v) - 1)\right) \times \frac{1}{2}\right\} \ge \frac{15 - 3d_{3^-}(v) + d_3(v)}{4} > 0 \text{ by (R1)-(R4) and} \\ & \text{(R7). Otherwise } d_3(v) = 0, \text{ then } d_{2^-}(v) \le 5. \text{ So } ch'(v) = ch(v) - d_{2^-}(v) - 1 - \left\lfloor\frac{14 - 1 - d_{2^-}(v)}{2}\right\rfloor \times \frac{1}{2} \ge \frac{15 - 3d_{2^-}(v)}{4} \ge 0 \text{ by (R1)-(R4) and (R7).} \end{aligned}$ 

This completes the proof.

#### 4. Remark

By the definition of IC-planar graphs, we know that every planar graphs are special IC-planar graphs. In [13], the authors proved that  $ch_{\Sigma}''(G) \leq \max\{\Delta(G) +$ 3,16. So we can easily obtain the following question.

Question 1. Is it true that  $ch''_{\Sigma}(G) \leq \Delta(G) + 3$  for IC-planar graphs with  $\Delta = 13?$ 

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## Appendix A

```
%% The m.file of Matlab to compute the coefficients.
% INPUT
function coefficents ()
syms x1 x2 x3 x4 x5 x6 x7 \% Variables used in the following.
% Claim 3.7 % To calculate the coefficient of x1^6x2^4x3^4
P=(x1-x2)*(x2-x3)*(x1-x3)^{2}*(x1+x2)^{4}*(x2+x3)^{6}; \% The polynomial
cp1=diff(diff(diff(P,x1,6),x2,4),x3,4)/factorial(6)/factorial(4)
    /factorial(4)
% Claim 3.8
P = (x1-x2) * (x2-x3) * (x1-x3)^{2} * (x1+x2)^{5} * (x2+x3)^{5};
cp2=diff(diff(P,x1,6),x2,4),x3,4)/factorial(6)/factorial(4)
    /factorial(4)
% Claim 3.9
P = (x1-x2) * (x1-x3) * (x1-x4) * (x1-x5) * (x1-x6) * (x2-x3) * (x2-x4) * (x2-x5)
  *(x_2-x_6)*(x_3-x_4)*(x_3-x_5)*(x_3-x_6), \dots, *(x_4-x_5)*(x_4-x_6)*(x_5-x_6)
  *(x1+x2+x3+x4+x5+x6)^{6};
cp3=diff(diff(diff(diff(diff(diff(P,x1,6),x2,5),x3,4),x4,3),x5,2)),
    x6,1)/factorial(6)/factorial(5)/factorial(4)/factorial(3)
    /factorial(2)/factorial(1)
P = (x1-x2) * (x1-x3) * (x1-x4) * (x1-x5) * (x2-x3) * (x2-x4) * (x2-x5) * (x3-x4)
  (x_3-x_5) * (x_4-x_5) * (x_1+x_2+x_3+x_4+x_5+x_6)^{6};
cp4 = diff(diff(diff(diff(P, x1, 6), x2, 4), x3, 3), x4, 2), x5, 1)
    /factorial(6)/factorial(4)/factorial(3)/factorial(2)
    /factorial(1)
% Claim 3.10
P = (x1-x2) * (x1-x3) * (x1-x4) * (x1-x5) * (x1-x6) * (x2-x3) * (x2-x4) * (x2-x5)
  *(x2-x6)*(x3-x4)*(x3-x5)*(x3-x6)...,*(x4-x5)*(x4-x6)*(x5-x6)
  *(x1+x2+x3+x4+x5+x6)^{6};
cp5=diff(diff(diff(diff(diff(0,x1,6),x2,5),x3,4),x4,3),x5,2),
    x6,1)/factorial(6)/factorial(5)/factorial(4)/factorial(3)
    /factorial(2)/factorial(1)
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