

## NEIGHBOR SUM DISTINGUISHING TOTAL CHOOSABILITY OF IC-PLANAR GRAPHS

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### Abstract

Two distinct crossings are independent if the end-vertices of the crossed pair of edges are mutually different. If a graph  $G$  has a drawing in the plane such that every two crossings are independent, then we call  $G$  a plane graph with independent crossings or IC-planar graph for short. A proper total- $k$ -coloring of a graph  $G$  is a mapping  $c : V(G) \cup E(G) \rightarrow \{1, 2, \dots, k\}$  such that any two adjacent elements in  $V(G) \cup E(G)$  receive different colors. Let  $\sum_c(v)$  denote the sum of the color of a vertex  $v$  and the colors of all incident edges of  $v$ . A total- $k$ -neighbor sum distinguishing-coloring of  $G$  is a total- $k$ -coloring of  $G$  such that for each edge  $uv \in E(G)$ ,  $\sum_c(u) \neq \sum_c(v)$ . The least number  $k$  needed for such a coloring of  $G$  is the neighbor sum distinguishing total chromatic number, denoted by  $\chi''_{\Sigma}(G)$ . In this paper, it is proved that if  $G$  is an IC-planar graph with maximum degree  $\Delta(G)$ , then  $ch''_{\Sigma}(G) \leq \max\{\Delta(G) + 3, 17\}$ , where  $ch''_{\Sigma}(G)$  is the neighbor sum distinguishing total choosability of  $G$ .

**Keywords:** neighbor sum distinguishing total choosability, maximum degree, IC-planar graph, Combinatorial Nullstellensatz.

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## 1. INTRODUCTION

All graphs considered are finite, simple and undirected. Let  $G$  be a graph. We use  $V(G)$ ,  $E(G)$ ,  $\Delta(G)$  and  $\delta(G)$  to denote its vertex set, edge set, maximum degree and minimum degree, respectively. For planar graph  $G$ ,  $F(G)$  denotes its face set,  $d(v)$  denotes the *degree* of a vertex  $v$  in  $G$ . The *length* or *degree* of a face  $f$ , denoted by  $d(f)$ , is the length of the boundary walk of  $f$  in  $G$ . We call  $v$  a  $k$ -vertex, or a  $k^+$ -vertex, or a  $k^-$ -vertex if  $d(v) = k$ , or  $d(v) \geq k$ , or  $d(v) \leq k$ , respectively and call  $f$  a  $k$ -face, or a  $k^+$ -face, or a  $k^-$ -face if  $d(f) = k$ , or  $d(f) \geq k$ , or  $d(f) \leq k$ , respectively. Any undefined notation follows that of Bondy and Murty [3].

A proper total- $k$ -coloring of a graph  $G$  is a mapping  $c : V(G) \cup E(G) \rightarrow \{1, 2, \dots, k\}$  such that any two adjacent elements in  $V(G) \cup E(G)$  receive different colors. Let  $\sum_c(v)$  be the sum of the color of a vertex  $v$  and the colors of all edges incident with  $v$ . If for each edge  $uv \in E(G)$ ,  $\sum_c(u) \neq \sum_c(v)$ , then we say such total- $k$ -coloring a *neighbor sum distinguishing total- $k$ -coloring*, denoted by *tnsd- $k$ -coloring* for short. The least number  $k$  needed for such a coloring of  $G$  is the *neighbor sum distinguishing total chromatic number*, denoted by  $\chi''_\Sigma(G)$ . For neighbor sum distinguishing total colorings, we have the following conjecture proposed by Piłśniak and Woźniak [11].

**Conjecture 1.** *For any graph  $G$ ,  $\chi''_\Sigma(G) \leq \Delta(G) + 3$ .*

Loeb and Tang [10] proved that this bound was asymptotically correct by showing that  $\chi''_\Sigma(G) \leq \Delta(G)(1 + o(1))$ . Piłśniak and Woźniak [11] proved that Conjecture 1 holds for complete graphs, cycles, bipartite graphs and subcubic graphs. With the Combinatorial Nullstellensatz, neighbor sum distinguishing total coloring have been studied widely, see [4–6, 8, 9, 12, 19]

For a given graph  $G$ , let  $L_x (x \in V \cup E)$  be a set of lists of real numbers and each of size  $k$ . The neighbor sum distinguishing total choosability of  $G$  is the least number  $k$  for which for any specified collection of such lists, there exists a neighbor sum distinguish total coloring with colors from  $L_x$  for each  $x \in V \cup E$ , and we denote it by  $ch''_\Sigma(G)$ . We call such a coloring of  $G$  *list neighbor sum distinguish total- $k$ -coloring* and denote it by *ltnsd- $k$ -coloring*. Ding *et al.* [4] proved that for any graph  $G$ ,  $ch''_\Sigma(G) \leq 2\Delta(G) + col(G) - 1$ , where  $col(G)$  is the coloring number of  $G$ . Later Ding *et al.* [5] improved the bound to  $ch''_\Sigma(G) \leq 2\Delta(G) + col(G) - 2$ . Recently, Lu *et al.* [20] improved the bound to  $ch''_\Sigma(G) \leq \max\{\Delta(G) + \left\lfloor \frac{3col(G)}{2} \right\rfloor - 1, 3col(G) - 2\}$ . The list neighbor sum distinguish total- $k$ -coloring of some special classes of graphs were also investigated. Graphs with bounded maximum average degree (Yao and Kong [16]);  $d$ -degenerate graphs (Yao *et al.* [18]); planar graphs (Qu *et al.* [13], Wang *et al.* [15]).

In this paper, we consider IC-planar graphs and prove the following result.

**Theorem 2.** *Let  $G$  is an IC-planar graph with maximum degree  $\Delta(G)$ . Then  $ch''_{\Sigma}(G) \leq \max\{\Delta(G) + 3, 17\}$ .*

An *IC-plane graph* is a topological graph where every edge is crossed at most once and no two crossed edges share a vertex, i.e., two distinct crossings are independent if the end-vertices of the crossed pair of edges are mutually different. If a graph  $G$  has a drawing in the plane in which every two crossings are independent, then we call  $G$  a plane graph with independent crossings or IC-planar graph for short throughout this paper. This definition of IC-planar graph was introduced by Albertson [1] in 2008. Settling a conjecture of Albertson [1], Král and Stacho [7] showed that every IC-planar graph is 5-colorable. Obviously, every IC-planar graph also is a 1-planar graph. We call  $G$  a 1-planar graph if it can be drawn on a plane such that each edge is crossed by at most one other edge.

## 2. PRELIMINARIES

Every IC-planar graph  $G$  in this paper has been embedded on a plane such that all its crossings are independent and the number of crossings is as small as possible. In other words, we call  $G$  an IC-plane graph. *The associated plane graph  $G^\times$*  of  $G$  is obtained by turning all crossings of  $G$  into new 4-vertices on a plane. For convenience, a vertex in  $G^\times$  is called *false* if it is not a vertex of  $G$  and *real* otherwise. For a vertex  $v \in V(G)$ , we use  $d_i(v)$  to denote the number of  $i$ -vertices which are adjacent to  $v$ . One can see that every real vertex in  $G^\times$  is adjacent to at most one false vertex and incident with at most two false faces in  $G^\times$ .

**Lemma 3** [17]. *Let  $G$  be a 1-plane graph and  $G^\times$  be its associated plane graph. If  $d_G(u) = 3$  and  $v$  is a crossing vertex in  $G^\times$ , then either  $uv \notin E(G^\times)$  or  $uv$  is not incident with two 3-faces.*

We define that a graph  $G'$  is *smaller* than a graph  $G$  if  $|E(G')| < |E(G)|$ . We call a graph *minimal* for a property when no smaller graph satisfies it. Let from now on  $G = (V, E)$  be a minimal counterexample to Theorem 2. We set  $k = \max\{\Delta(G) + 3, 17\}$ . For each 5<sup>-</sup>-vertex  $v \in V(G)$ , it is obvious that  $v$  has at most five neighbors and five incident edges, so  $v$  has at most 15 forbidden colors. Since  $k \geq 17$ , we can first erase the color of vertex  $v$  and finally recolor it after arguing. In other words, we may omit the coloring for all 5<sup>-</sup>-vertices of  $G$  in the following discussion.

**Theorem 4** (Combinatorial Nullstellensatz [2]). *Let  $\mathbb{F}$  be an arbitrary field, and let  $P = P(x_1, x_2, \dots, x_n)$  be a polynomial in  $\mathbb{F}[x_1, x_2, \dots, x_n]$ . Suppose the degree  $\deg(P)$  of  $P$  equals  $\sum_{i=1}^n k_i$ , where each  $k_i$  is a nonnegative integer, and suppose the coefficient of  $x_1^{k_1} x_2^{k_2} \dots x_n^{k_n}$  in  $P$  is non-zero. Then if  $S_1, S_2, \dots, S_n$*

are subsets of  $\mathbb{F}$  with  $|S_i| > k_i$ , there are  $s_1 \in S_1, s_2 \in S_2, \dots, s_n \in S_n$  so that  $P(s_1, s_2, \dots, s_n) \neq 0$ .

**Lemma 5** [14]. If  $P(x_1, x_2, \dots, x_n) \in \mathbb{R}[x_1, x_2, \dots, x_n]$  is of degree  $\leq s_1 + s_2 + \dots + s_n$ , where  $s_1, s_2, \dots, s_n$  are nonnegative integers, then

$$\begin{aligned} & \left( \frac{\partial}{\partial x_1} \right)^{s_1} \left( \frac{\partial}{\partial x_2} \right)^{s_2} \cdots \left( \frac{\partial}{\partial x_n} \right)^{s_n} P(x_1, x_2, \dots, x_n) \\ &= \sum_{x_1=0}^{s_1} \cdots \sum_{x_n=0}^{s_n} (-1)^{s_1+x_1} \binom{s_1}{x_1} \cdots (-1)^{s_n+x_n} \binom{s_n}{x_n} P(x_1, x_2, \dots, x_n). \end{aligned}$$

**Lemma 6** [13]. Let  $L_i$  be the sets of real numbers, with  $|L_i| = l_i$ , where  $i = 1, 2, \dots, p$ . Let  $L = \left\{ \sum_{i=1}^p x_i \mid x_i \in L_i \text{ and } \prod_{1 \leq i < j \leq p} (x_i - x_j) \neq 0 \right\}$ . Then  $|L| \geq \sum_{i=1}^p (l_i - p + 1) - (p - 1) = \sum_{i=1}^p l_i - p^2 + 1$ .

### 3. PROOF OF THEOREM 2

#### 3.1. Unavoidable configurations

In the following, we will often delete some edges to get a proper subgraph  $G'$  of  $G$ , then by the minimality of  $G$ , there exists an ltnsd- $k$ -coloring  $c$  of  $G'$ . Let  $W_G(v) = \sum_{e \ni v, e \in E(G)} c(e) + c(v)$ . We may extend this coloring  $c$  to the whole graph  $G$ . For any  $x \in V(G) \cup E(G)$ , the *available* colors are the remaining colors after excluding the colors of its adjacent edges and vertices in  $G'$  from  $L_x$ .

**Claim 7.** For any vertex  $v \in V(G)$ , it holds that

$$\sum_{j=1}^t [d_j(v)(\Delta(G) + 4 - d(v) - j)] \leq d(v) - 1, \quad (1 \leq t \leq 5).$$

**Claim 8.** For any vertex  $v \in V(G)$ ,  $d_{2-}(v) \leq \frac{d_{6+}(v)-1}{\Delta(G)-d(v)+1}$ . Moreover, if  $d(v) = \Delta(G)$ , then  $d_{2-}(v) \leq d_{6+}(v) - 1$ .

The proof of Claim 7 and 8 are similar to that of Claim 3.1 and Claim 3.2 in [13], we omit it here. By Claim 7, we can easily get the following Corollaries.

**Corollary 9.** If  $d(v) = 8$ , then  $d_{5-}(v) \leq 1$ .

**Corollary 10.** If  $d(v) = 9$ , then  $d_{5-}(v) \leq 2$ .

**Corollary 11.** If  $d(v) = 10$ , then  $d_{5-}(v) \leq 3$ .

**Claim 12.** If  $d(v) = 11$  and  $d_{6+}(v) \leq 6$ , then  $d_{3-}(v) \leq 1$ .

**Proof.** Suppose to the contrary that  $v$  is adjacent to two  $3^-$ -vertices. Without loss of generality, we assume that  $N(v) = \{v_1, v_2, \dots, v_{11}\}$ ,  $d(v_1) = d(v_2) = 3$  and  $d(v_j) \geq 6$ , ( $6 \leq j \leq 11$ ). Consider  $G' = G - vv_1 - vv_2$ , then  $G'$  admits an  $\text{ltnsd-}k$ -coloring  $c$ . Now we will color the edges  $vv_1, vv_2$  and recolor vertices  $v_1, v_2$ . Let  $S_1, S_2$  be the sets of available colors for  $vv_1, vv_2$ , respectively. It is easy to obtain that  $|S_i| = 17 - 12 = 5$ , ( $i = 1, 2$ ). By Lemma 6,  $|L| \geq |S_1| + |S_2| - 4 + 1 = 7 > 6$ . We can choose a pair, say  $(x, y) \in S_1 \times S_2$  with  $x \neq y$ , such that  $x + y \notin \{W_G(v_j) - W_G(v) \mid 6 \leq j \leq 11\}$ . Finally, we can recolor  $v_1, v_2$  to get an  $\text{ltnsd-}k$ -coloring of  $G$ , a contradiction. ■

**Claim 13.** If  $d(v) = 12$  and  $d_{6+}(v) \leq 6$ , then  $d_{3-}(v) \leq 2$ .

**Proof.** Suppose to the contrary that  $v$  is adjacent to three  $3^-$ -vertices. Without loss of generality, we assume that  $N(v) = \{v_1, v_2, \dots, v_{12}\}$ ,  $d(v_1) = d(v_2) = d(v_3) = 3$  and  $d(v_j) \geq 6$ , ( $7 \leq j \leq 12$ ). Consider  $G' = G - \{vv_i \mid i = 1, 2, 3\}$ , then  $G'$  admits an  $\text{ltnsd-}k$ -coloring  $c$ . Now we will color the edges  $vv_1, vv_2, vv_3$  and recolor vertices  $v_1, v_2, v_3$ . Let  $S_1, S_2, S_3$  be the sets of available colors for  $vv_1, vv_2, vv_3$ , respectively. It is easy to obtain that  $|S_i| = 17 - 12 = 5$ , ( $1 \leq i \leq 3$ ). By Lemma 6,  $|L| \geq |S_1| + |S_2| + |S_3| - 9 + 1 = 7 > 6$ . We can choose a triple, say  $(x, y, z) \in S_1 \times S_2 \times S_3$  with  $x, y, z$  distinct colors, such that  $x + y + z \notin \{W_G(v_j) - W_G(v) \mid 7 \leq j \leq 12\}$ . Finally, we can recolor  $v_1, v_2, v_3$  to get an  $\text{ltnsd-}k$ -coloring of  $G$ , a contradiction. ■

By Lemma 5, if  $P(x_1, x_2, \dots, x_n)$  is a polynomial with  $\deg(P) = n$ ,  $k_1, k_2, \dots, k_m$  are non-negative integers with  $\sum_{i=1}^m k_i = n$  and  $cp \left( x_1^{k_1} x_2^{k_2} \cdots x_m^{k_m} \right)$  is the coefficient of  $x_1^{k_1} x_2^{k_2} \cdots x_m^{k_m}$  in  $P$ , then  $\frac{\partial^n P}{\partial x_1^{k_1} \cdots \partial x_m^{k_m}} = cp \left( x_1^{k_1} x_2^{k_2} \cdots x_m^{k_m} \right) \prod_{i=1}^m k_i!$ . In the following, we use MATLAB to calculate the coefficients of specific monomials. Moreover, we will list the codes in Appendix.

**Claim 14.** Every  $5^-$ -vertex is not adjacent to  $7^-$ -vertex in  $G$ .

**Proof.** Suppose to the contrary that there exists a  $5^-$ -vertex  $u$  adjacent to a  $7^-$ -vertex  $v$ . Without loss of generality, we assume that  $d(u) = 5$ ,  $d(v) = 7$ ,  $N(u) = \{v, u_1, \dots, u_4\}$ ,  $N(v) = \{u, v_1, \dots, v_6\}$ . Consider  $G' = G - uv$ , then  $G'$  admits an  $\text{ltnsd-}k$ -coloring  $c$ . Now we will recolor the vertices  $u, v$  and color the edge  $uv$ . Let  $S_1, S_2, S_3$  be the sets of available colors for  $u, uv, v$ , respectively. Notice that the colors in  $\{c(uu_i) \mid 1 \leq i \leq 4\} \cup \{c(u_i) \mid 1 \leq i \leq 4\}$  are forbidden for  $u$ , the colors in  $\{c(uu_i) \mid 1 \leq i \leq 4\} \cup \{c(vv_i) \mid 1 \leq i \leq 6\}$  are forbidden for  $uv$ , and the colors in  $\{c(vv_i) \mid 1 \leq i \leq 6\} \cup \{c(v_i) \mid 1 \leq i \leq 6\}$  are forbidden for  $v$ . Thus,  $|S_1| = 17 - 8 = 9 > 8$ ,  $|S_2| = 17 - 10 = 7 > 6$ ,  $|S_3| = 17 - 12 = 5 > 4$ . We associate that  $u, uv, v$  with the variables  $x_1, x_2, x_3$ , respectively. Then we

consider the following polynomial.

$$P(x_1, x_2, x_3) = (x_1 - x_2)(x_1 - x_3) \left( x_2 - x_3 \left( x_1 + \sum_{l=1}^4 c(uu_l) - x_3 - \sum_{k=1}^6 c(vv_k) \right) \right. \\ \left. \prod_{i=1}^4 \left( x_1 + x_2 + \sum_{l=1}^4 c(uu_l) - W(u_i) \right) \right. \\ \left. \prod_{j=1}^6 \left( x_2 + x_3 + \sum_{k=1}^6 c(vv_k) - W(v_j) \right) \right).$$

We have  $cp(x_1^6 x_2^4 x_3^4) = -25$ . According to Theorem 4, there exists  $x_i \in S_i$ ,  $(1 \leq i \leq 3)$  such that  $P(x_1, x_2, x_3) \neq 0$ . We color  $u, uv, v$  correspondingly. Finally, we can get an  $\text{ltnsd-}k$ -coloring of the graph  $G$ , a contradiction. ■

**Claim 15.** *Every  $6^-$ -vertex is not adjacent to  $6^-$ -vertex in  $G$ .*

**Proof.** Suppose to the contrary that there exists a  $6^-$ -vertex  $u$  adjacent to a  $6^-$ -vertex  $v$ . Without loss of generality, we assume that  $d(u) = 6$ ,  $d(v) = 6$ ,  $N(u) = \{v, u_1, \dots, u_5\}$ ,  $N(v) = \{u, v_1, \dots, v_5\}$ . Consider  $G' = G - uv$ , then  $G'$  admits an  $\text{ltnsd-}k$ -coloring  $c$ . Now we will recolor the vertices  $u, v$  and color the edge  $uv$ . Let  $S_1, S_2, S_3$  be the sets of available colors for  $u, uv, v$ , respectively. Notice that the colors in  $\{c(uu_i) \mid 1 \leq i \leq 5\} \cup \{c(u_i) \mid 1 \leq i \leq 5\}$  are forbidden for  $u$ , the colors in  $\{c(uu_i) \mid 1 \leq i \leq 5\} \cup \{c(vv_i) \mid 1 \leq i \leq 5\}$  are forbidden for  $uv$ , and the colors in  $\{c(vv_i) \mid 1 \leq i \leq 5\} \cup \{c(v_i) \mid 1 \leq i \leq 5\}$  are forbidden for  $v$ . Thus,  $|S_1| = 17 - 10 = 7 > 6$ ,  $|S_2| = 17 - 10 = 7 > 6$ ,  $|S_3| = 17 - 10 = 7 > 6$ . We associate that  $u, uv, v$  with the variables  $x_1, x_2, x_3$ , respectively. Then we consider the following polynomial.

$$P(x_1, x_2, x_3) = (x_1 - x_2)(x_1 - x_3)(x_2 - x_3) \left( x_1 + \sum_{l=1}^5 c(uu_l) - x_3 - \sum_{k=1}^5 c(vv_k) \right) \\ \prod_{i=1}^5 \left( x_1 + x_2 + \sum_{l=1}^5 c(uu_l) - W(u_i) \right) \\ \prod_{j=1}^5 \left( x_2 + x_3 + \sum_{k=1}^5 c(vv_k) - W(v_j) \right).$$

We have  $cp(x_1^6 x_2^4 x_3^4) = -20$ . According to Theorem 4, there exists  $x_i \in S_i$ ,  $(1 \leq i \leq 3)$  such that  $P(x_1, x_2, x_3) \neq 0$ . We color  $u, uv, v$  correspondingly. Finally, we can get an  $\text{ltnsd-}k$ -coloring of the graph  $G$ , a contradiction. ■

**Claim 16.** *Let  $d(v) = 13$  and  $d_{6^+}(v) \leq 6$ , then  $d_{3^-}(v) \leq 5$ . Moreover, if  $d_{2^-}(v) \geq 1$ , then  $d_{3^-}(v) \leq 4$ .*

**Proof.** Suppose to the contrary that there exists a 13-vertex  $v$  adjacent to six  $3^-$ -vertices. Without loss of generality, assume that  $N(v) = \{v_1, v_2, \dots, v_{13}\}$ ,  $d(v_i) = 3$ , ( $1 \leq i \leq 6$ ) and  $d(v_j) \geq 6$ , ( $8 \leq j \leq 13$ ). Consider  $G' = G - \{vv_i \mid i = 1, 2, \dots, 6\}$ , then  $G'$  admits an  $\text{ltnsd-}k$ -coloring  $c$ . Now we will color the edges  $vv_i$  and recolor vertices  $v_i$ , ( $1 \leq i \leq 6$ ). Let  $S_i$ , ( $1 \leq i \leq 6$ ) be the sets of available colors for  $vv_i$ , ( $1 \leq i \leq 6$ ), respectively. It is easy to obtain that  $|S_i| = 17 - 7 - 1 - 2 = 7 > 6$ , ( $1 \leq i \leq 6$ ). We associate that  $vv_i$ , ( $1 \leq i \leq 6$ ) with the variables  $x_i$ , ( $1 \leq i \leq 6$ ), respectively. Then we consider the following polynomial.

$$P(x_1, x_2, x_3, x_4, x_5, x_6) = \prod_{1 \leq i < j \leq 6} (x_i - x_j) \prod_{k=8}^{13} \left( \sum_{t=1}^6 x_t + \sum_{l=7}^{13} c(vv_l) + c(v) - W(v_k) \right).$$

We have  $cp(x_1^6 x_2^5 x_3^4 x_4^3 x_5^2 x_6^1) = 1$ . According to Theorem 4, there exists  $x_i \in S_i$ , ( $1 \leq i \leq 6$ ) such that  $P(x_1, x_2, x_3, x_4, x_5, x_6) \neq 0$ . We color  $vv_i$ , ( $1 \leq i \leq 6$ ) correspondingly. Finally, we can recolor vertices  $v_i$ , ( $1 \leq i \leq 6$ ) to get an  $\text{ltnsd-}k$ -coloring of the graph  $G$ , a contradiction.

Moreover, if  $d(v_1) = 2$ ,  $d(v_i) = 3$ , ( $2 \leq i \leq 5$ ) and  $d(v_j) \geq 6$ , ( $8 \leq j \leq 13$ ). Consider  $G' = G - \{vv_i \mid i = 1, 2, \dots, 5\}$ , then  $G'$  admits an  $\text{ltnsd-}k$ -coloring  $c$ . Now we will color the edges  $vv_i$  and recolor vertices  $v_i$ , ( $1 \leq i \leq 5$ ). Let  $S_i$ , ( $1 \leq i \leq 5$ ) be the sets of available colors for  $vv_i$ , ( $1 \leq i \leq 5$ ), respectively. It is easy to obtain that  $|S_1| = 17 - 8 - 1 - 1 = 7 > 6$ ,  $|S_i| = 17 - 8 - 1 - 2 = 6 > 5$ , ( $2 \leq i \leq 5$ ). We associate that  $vv_i$ , ( $1 \leq i \leq 5$ ) with the variables  $x_i$ , ( $1 \leq i \leq 5$ ), respectively. Then we consider the following polynomial.

$$P(x_1, x_2, x_3, x_4, x_5) = \prod_{1 \leq i < j \leq 5} (x_i - x_j) \prod_{k=8}^{13} \left( \sum_{t=1}^5 x_t + \sum_{l=6}^{13} c(vv_l) + c(v) - W(v_k) \right).$$

We have  $cp(x_1^6 x_2^4 x_3^3 x_4^2 x_5^1) = -5$ . According to Theorem 4, there exists  $x_i \in S_i$ , ( $1 \leq i \leq 5$ ) such that  $P(x_1, x_2, x_3, x_4, x_5) \neq 0$ . We color  $vv_i$ , ( $1 \leq i \leq 5$ ) correspondingly. Finally, we can recolor vertices  $v_i$ , ( $1 \leq i \leq 5$ ) to get an  $\text{ltnsd-}k$ -coloring of the graph  $G$ , a contradiction. ■

**Claim 17.** Let  $d(v) = \Delta(G) \geq 14$  and  $d_{6+}(v) \leq 6$ . If  $d_{2-}(v) \geq 1$ , then  $d_{3-}(v) \leq 5$ .

**Proof.** Let  $d(v) = d$ . Suppose to the contrary that there exists a  $d$ -vertex  $v$  adjacent to six  $3^-$ -vertices. Without loss of generality, assume that  $N(v) = \{v_1, v_2, \dots, v_d\}$ ,  $d(v_1) = 2$ ,  $d(v_i) = 3$ , ( $2 \leq i \leq 6$ ) and  $d(v_j) \geq 6$ , ( $d - 5 \leq j \leq d$ ). Consider  $G' = G - \{vv_i \mid i = 1, 2, \dots, 6\}$ , then  $G'$  admits an  $\text{ltnsd-}k$ -coloring  $c$ . Now we will color the edges  $vv_i$  and recolor vertices  $v_i$ , ( $1 \leq i \leq 6$ ). Let  $S_i$ , ( $1 \leq i \leq 6$ ) be the sets of available colors for  $vv_i$  ( $1 \leq i \leq 6$ ), respectively.

It is easy to obtain that  $|S_1| = (\Delta(G) + 3) - (\Delta(G) - 6) - 1 - 1 = 7 > 6$ ,  $|S_i| = (\Delta(G) + 3) - (\Delta(G) - 6) - 1 - 2 = 6 > 5$ , ( $2 \leq i \leq 6$ ). We associate that  $vv_i$ , ( $1 \leq i \leq 6$ ) with the variables  $x_i$ , ( $1 \leq i \leq 6$ ), respectively. Then we consider the following polynomial.

$$P(x_1, x_2, x_3, x_4, x_5, x_6) = \prod_{1 \leq i < j \leq 6} (x_i - x_j) \prod_{k=d-5}^d \left( \sum_{t=1}^6 x_t + \sum_{l=7}^d c(vv_l) + c(v) - W(v_k) \right).$$

We have  $cp(x_1^6 x_2^5 x_3^4 x_4^3 x_5^2 x_6^1) = 1$ . According to Theorem 4, there exists  $x_i \in S_i$ , ( $1 \leq i \leq 6$ ) such that  $P(x_1, x_2, x_3, x_4, x_5, x_6) \neq 0$ . We color  $vv_i$ , ( $1 \leq i \leq 6$ ) correspondingly. Finally, we can recolor vertices  $v_i$ , ( $1 \leq i \leq 6$ ) to get an ltnsd- $k$ -coloring of the graph  $G$ , a contradiction. ■

### 3.2. Discharging process

Let  $T$  be the graph obtained by removing all  $2^-$ -vertices from the graph  $G$  and  $T^\times$  be the associated plane graph of  $T$ . We have  $d_T(v) = d(v) - d_{2^-}(v)$ .

**Corollary 18.** *For any vertex  $v$  with  $d(v) \geq 7$ , it holds that  $d_T(v) \geq 7$ .*

**Proof.** If  $7 \leq d(v) \leq 10$ , we can easily get  $d_T(v) \geq 7$  by Claim 14 and Corollaries 9–11. When  $d(v) > 10$ , we just consider the situation  $d_{6^+}(v) \leq 6$ . By Claim 8,  $d_T(v) = d(v) - d_{2^-}(v) \geq d(v) - \frac{d_{6^+}(v)-1}{\Delta(G)-d(v)+1} \geq 11 - \frac{5}{14-11+1} \geq 9$ . ■

We apply the discharging method on associated plane graph  $T^\times$  of  $T$  and complete the proof by contradiction. Since  $T^\times$  is a plane graph, we have

$$\begin{aligned} & \sum_{v \in V(T^\times)} (d_{T^\times}(v) - 6) + \sum_{f \in F(T^\times)} (2d_{T^\times}(f) - 6) \\ &= \sum_{v \in V(T)} (d_T(v) - 6) + \sum_{v \in V(T^\times) \setminus V(T)} (d_{T^\times}(v) - 6) + \sum_{f \in F(T^\times)} (2d_{T^\times}(f) - 6) \\ &= \sum_{v \in V(T)} (d(v) - d_{2^-}(v) - 6) + \sum_{v \in V(T^\times) \setminus V(T)} (d_{T^\times}(v) - 6) + \sum_{f \in F(T^\times)} (2d_{T^\times}(f) - 6) \\ &= -12. \end{aligned}$$

Now we define the initial charge function  $ch(x)$  of  $x \in V(T^\times) \cup F(T^\times)$ . Let  $ch(v) = d_T(v) - 6 = d(v) - d_{2^-}(v) - 6$  if  $v \in V(T)$ ,  $ch(v) = d_{T^\times}(v) - 6$  if  $v \in V(T^\times) \setminus V(T)$  and  $ch(f) = 2d_{T^\times}(f) - 6$  if  $f \in F(T^\times)$ . Then we define suitable discharging rules to change the initial charge function  $ch(x)$  to the final charge function  $ch'(x)$  on  $V(T^\times) \cup F(T^\times)$  such that  $ch'(x) \geq 0$  for all  $x \in V(T^\times) \cup F(T^\times)$ . Notice that our discharging rules only move charge around and do not affect the sum. Thus we have  $0 \leq \sum_{x \in V(T^\times) \cup F(T^\times)} ch'(x) =$



$\sum_{x \in V(T^\times) \cup F(T^\times)} ch(x) = -12$ , a contradiction. Since for every vertex  $v \in V(T)$ ,  $ch(v) = d_G(v) - d_{2^-}(v) - 6$ , in the discharging process, we use  $d_G(v)$  instead of  $d_T(v)$ . Similarly, for every vertex  $v \in V(T)$ , when check  $ch'(v) \geq 0$ , we split the proof into cases depending on the size of  $d_G(v)$ .

For  $v \in V(T^\times)$  and  $f \in F(T^\times)$ , we define the discharging rules as follows. Note that within all the degree of a real vertex shall refer to its degree in  $G$  and the faces and their degrees correspond to the graph  $T^\times$ .

- (R1): If the edge  $uv$  belongs to two 3-faces and  $d(v) = 3$ , then  $u$  sends 1 to  $v$ .
- (R2): If the edge  $uv$  belongs to exactly one 3-face and  $d(v) = 3$ , then  $u$  sends  $\frac{1}{2}$  to  $v$ .
- (R3): If the edge  $uv$  belongs to two 3-faces and  $d(v) = 4$ , then  $u$  sends  $\frac{1}{2}$  to  $v$ .
- (R4): If the edge  $uv$  belongs to two 3-faces and  $d(v) = 5$ , then  $u$  sends  $\frac{1}{5}$  to  $v$ .
- (R5): Every 4-face sends 1 to each incident real  $5^-$ -vertex in  $T^\times$ .
- (R6): Every  $5^+$ -face sends 2 to each incident real  $5^-$ -vertex in  $T^\times$ .
- (R7): Let  $v$  be a false vertex crossed by edge  $uw$  and  $xy$  in  $T^\times$ . If  $d(u) \geq 7$ , then  $u$  sends 1 to  $v$ . Moreover, if  $d(u) = 3$ , then  $u$  sends  $\frac{3}{2}$  to  $v$ .

By Corollary 18 and the discharging rules, we obtain the following facts easily.

**Fact 1.** For any  $f \in F(T^\times)$ ,  $f$  is incident with at most  $\left\lfloor \frac{d(f)}{2} \right\rfloor$  real  $5^-$ -vertices in  $T^\times$ .

**Fact 2.** Each vertex  $v$  gives at most  $\frac{d_{3^+}(v)}{2} + 1$  away.

Let  $f$  be a face of  $T^\times$ . Clearly, if  $d(f) = 3$ , then  $ch'(f) = ch(f) = 2d(f) - 6 = 0$ . If  $d(f) = 4$ , then  $ch'(f) \geq ch(f) - 2 = 0$  by Fact 1 and (R5). If  $d(f) \geq 5$ , then  $ch'(f) \geq ch(f) - \left\lfloor \frac{d(f)}{2} \right\rfloor \times 2 = 0$  by Fact 1 and (R6).

We next check the final charge of the vertex  $v \in V(T^\times)$ . Obviously,  $d(v) \geq 3$ . Recall that  $v$  has an initial weight of  $d(v) - d_{2^-}(v) - 6$ .

Suppose  $d(v) = 3$ . If  $v$  is incident with three 3-faces, then  $ch'(v) \geq ch(v) + 3 = 0$  by (R1). If  $v$  is incident with two 3-faces, then  $ch'(v) \geq ch(v) + 1 + \frac{1}{2} \times 2 + 1 = 0$  by (R1), (R2), (R5) and (R6). If  $v$  is incident with one 3-face, then  $ch'(v) \geq ch(v) + \frac{1}{2} \times 2 + 1 \times 2 = 0$  by (R2), (R5) and (R6). Otherwise,  $v$  is incident with three  $4^+$ -faces, then  $ch'(v) \geq ch(v) + 1 \times 3 = 0$  by (R5) and (R6).

Suppose  $d(v) = 4$  and  $v$  is a real vertex. We have  $d_{2^-}(v) = 0$ . If  $v$  is incident with four 3-faces, then  $ch'(v) \geq ch(v) + \frac{1}{2} \times 4 = 0$  by (R3). If  $v$  is incident with three 3-faces, then  $ch'(v) \geq ch(v) + \frac{1}{2} \times 2 + 1 = 0$  by (R3), (R5) and (R6). If  $v$  is incident with at most two 3-faces, then  $ch'(v) \geq ch(v) + 1 \times 2 = 0$  by (R5) and (R6).

Suppose  $d(v) = 4$  and  $v$  is a false vertex crossed by edge  $uw$  and  $xy$ . By Claim 14 and 15,  $v$  is adjacent to at most two  $6^-$ -vertices.

If  $d_{6-}(v) = 2$ , without loss of generality, we assume that  $d(u) \leq 6$  and  $d(x) \leq 6$ . By Claim 15, if  $4 \leq d(u) \leq 6$  and  $4 \leq d(x) \leq 6$ , then  $ux \notin E(T)$ ,  $v$  gives no weight away by (R3) and (R4). By the same claim,  $v$  is also adjacent to two  $7^+$ -vertices. So  $v$  receives at least  $1 \times 2 = 2$  from its  $7^+$ -neighbors by (R7). Thus, we have  $ch'(v) \geq ch(v) + 2 = 0$ . If one of the vertices  $x, u$  is a 3-vertex, without loss of generality, we assume that  $d(u) = 3$ . Then, by Claim 14,  $w$  is a  $8^+$ -vertex.  $v$  may receives  $\frac{3}{2}$  from vertex  $w$  and 1 from vertex  $y$  by (R7) and gives at most  $\frac{1}{2}$  away by (R3) and (R4). Thus, we have  $ch'(v) \geq ch(v) + \frac{3}{2} + 1 - \frac{1}{2} = 0$ . Otherwise,  $d(u) = d(x) = 3$ . By Claim 14,  $v$  receives at least  $\frac{3}{2} \times 2 = 3$  from its  $8^+$ -neighbors by (R7). And  $v$  gives at most  $\frac{1}{2} \times 2 = 1$  away by Lemma 3, Claim 15 and (R2). Thus, we have  $ch'(v) \geq ch(v) + 3 - 1 = 0$ .

If  $d_{6-}(v) = 1$ , without loss of generality, we assume that  $d(u) \leq 6$ . Then  $v$  is adjacent to three  $7^+$ -vertices. So  $v$  receives at least  $1 \times 3 = 3$ , and  $v$  gives at most  $\frac{1}{2}$  away by Lemma 3 and (R2). Thus, we have  $ch'(v) \geq ch(v) + 3 - \frac{1}{2} > 0$ .

If  $v$  is adjacent to four  $7^+$ -vertices,  $v$  receives at least  $1 \times 4 = 4$  from its  $7^+$ -neighbors by (R7) and gives no weight away. So we have  $ch'(v) \geq ch(v) + 1 \times 4 > 0$ .

Suppose  $d(v) = 5$ . If  $v$  is not incident with any  $4^+$ -faces, then by (R4),  $ch'(v) \geq ch(v) + \frac{1}{5} \times 5 = 0$ . Otherwise, if  $v$  is incident with at least one  $4^+$ -faces, then by (R5) and (R6),  $ch'(v) \geq ch(v) + 1 = 0$ .

Suppose  $d(v) = 6$ .  $v$  gives no weight away to any other vertex by the discharging rules. So  $ch'(v) = ch(v) = 0$ .

Suppose  $d(v) = 7$ .  $v$  gives at most 1 to the false neighbor in  $T^\times$  by (R7), then  $ch'(v) = ch(v) - 1 = 0$ .

Suppose  $d(v) = 8$ . By Corollary 9,  $ch'(v) = ch(v) - \max\{2, \frac{3}{2}\} \geq 0$  by (R1)–(R4) and (R7).

Suppose  $d(v) = 9$ . By Corollary 10,  $ch'(v) = ch(v) - \max\{3, 1 + \frac{3}{2}\} \geq 0$  by (R1)–(R4) and (R7).

Suppose  $d(v) = 10$ . By Corollary 11,  $ch'(v) = ch(v) - \max\{4, 2 + \frac{3}{2}\} \geq 0$  by (R1)–(R4) and (R7).

Next we check the final charge of the vertices with  $d(v) \geq 11$ . Let  $w$  be a false vertex crossed by edge  $uv$  and edge  $xy$ . According to the discharging rules, if  $d(u) \leq 5$ , then  $v$  gives at most  $d_{5-}(v) + \frac{1}{2}$  away. Otherwise,  $v$  gives at most  $d_{5-}(v) + 1$  away. Therefore, for every vertex  $v$  with  $d_{6+}(v) \geq 7$ ,  $ch'(v) \geq 0$ . In the following discussion, we only consider the vertex with  $d(v) \geq 11$  and  $d_{6+}(v) \leq 6$ .

Suppose  $d(v) = 11$ . By Claim 12, we have that  $d_{3-}(v) \leq 1$ . If  $d_{2-}(v) = 0$ , then  $d_3(v) \leq 1$ . We have  $ch'(v) = ch(v) - \max\{1 + d_3(v) + (\lfloor \frac{11-1}{2} \rfloor - d_3(v)) \times \frac{1}{2}, \frac{3}{2} + \lfloor \frac{11-1}{2} \rfloor \times \frac{1}{2}\} = 5 - \max\{\frac{7+d_3(v)}{2}, 4\} > 0$  by (R1)–(R4) and (R7). If  $d_{2-}(v) = 1$ , then  $d_3(v) = 0$ . We have  $ch'(v) = ch(v) - 1 - \lfloor \frac{11-1}{2} \rfloor \times \frac{1}{2} = 3 - \frac{5}{2} > 0$  by (R3) and (R7).

Suppose  $d(v) = 12$ . By Claim 13, we have that  $d_{3-}(v) \leq 2$ .

If  $d_{2-}(v) = 0$ , then  $d_3(v) \leq 2$ . If  $d_3(v) \geq 1$ , we have  $ch'(v) = ch(v) -$

$\max \left\{ 1 + d_3(v) + \left( \left\lfloor \frac{12-1}{2} \right\rfloor - d_3(v) \right) \times \frac{1}{2}, \frac{3}{2} + (d_3(v) - 1) + \left( \left\lfloor \frac{12-1}{2} \right\rfloor - (d_3(v) - 1) \right) \times \frac{1}{2} \right\} = 6 - \frac{7+d_3(v)}{2} > 0$  by (R1)–(R4) and (R7). If  $d_3(v) = 0$ , then we have  $ch'(v) = ch(v) - 1 - \left\lfloor \frac{12-1}{2} \right\rfloor \times \frac{1}{2} > 0$  by (R3) and (R7).

If  $d_{2-}(v) \geq 1$  and  $d_3(v) \geq 1$ , we have  $ch'(v) = ch(v) - \max \left\{ 1 + d_3(v) + \left( \left\lfloor \frac{12-d_{2-}(v)-1}{2} \right\rfloor - d_3(v) \right) \times \frac{1}{2}, \frac{3}{2} + (d_3(v) - 1) + \left( \left\lfloor \frac{12-d_{2-}(v)-1}{2} \right\rfloor - (d_3(v) - 1) \right) \times \frac{1}{2} \right\} \geq \frac{9-3d_{3-}(v)+d_3(v)}{4} > 0$  by (R1)–(R4) and (R7). Otherwise  $d_3(v) = 0$ , then  $d_{2-}(v) \leq 2$ . So  $ch'(v) = ch(v) - 1 - \left\lfloor \frac{12-d_{2-}(v)-1}{2} \right\rfloor \times \frac{1}{2} \geq \frac{9-3d_{2-}(v)}{4} > 0$  by (R1)–(R4) and (R7).

Suppose  $d(v) = 13$ . By Claim 16, we have that  $d_{3-}(v) \leq 5$ . Moreover, if  $d_{2-}(v) \geq 1$ , then  $d_{3-}(v) \leq 4$ .

If  $d_{2-}(v) = 0$ , then  $d_3(v) \leq 5$ . If  $d_3(v) \geq 1$ , then we have  $ch'(v) = ch(v) - \max \left\{ 1 + d_3(v) + \left( \left\lfloor \frac{13-1}{2} \right\rfloor - d_3(v) \right) \times \frac{1}{2}, \frac{3}{2} + (d_3(v) - 1) + \left( \left\lfloor \frac{13-1}{2} \right\rfloor - (d_3(v) - 1) \right) \times \frac{1}{2} \right\} = 3 - \frac{d_3(v)}{2} > 0$  by (R1)–(R4) and (R7). If  $d_3(v) = 0$ , then we have  $ch'(v) = ch(v) - 1 - \left\lfloor \frac{13-1}{2} \right\rfloor \times \frac{1}{2} > 0$  by (R3) and (R7).

If  $d_{2-}(v) \geq 1$ , then  $d_{3-}(v) \leq 4$ . If  $d_3(v) \geq 1$ , we have  $ch'(v) = ch(v) - \max \left\{ 1 + d_3(v) + \left( \left\lfloor \frac{13-d_{2-}(v)-1}{2} \right\rfloor - d_3(v) \right) \times \frac{1}{2}, \frac{3}{2} + (d_3(v) - 1) + \left( \left\lfloor \frac{13-d_{2-}(v)-1}{2} \right\rfloor - (d_3(v) - 1) \right) \times \frac{1}{2} \right\} \geq 3 - \frac{3d_{3-}(v)}{4} + \frac{d_3(v)}{4} > 0$  by (R1)–(R4) and (R7). Otherwise  $d_3(v) = 0$ , then  $d_{2-}(v) \leq 4$ . So  $ch'(v) = ch(v) - 1 - \left\lfloor \frac{13-1-d_{2-}(v)}{2} \right\rfloor \times \frac{1}{2} \geq 3 - \frac{3d_{2-}(v)}{4} \geq 0$  by (R1)–(R4) and (R7).

Suppose  $d(v) = \Delta(G) \geq 14$ . If  $d_{2-}(v) = 0$ , then by Fact 2, we have  $ch'(v) = ch(v) - \frac{d_{3+}(v)}{2} - 1 \geq 0$  by (R1)–(R4) and (R7).

If  $d_{2-}(v) \geq 1$ , then by Claim 17,  $d_{3-}(v) \leq 5$ . If  $d_3(v) \geq 1$ , then we have  $ch'(v) = ch(v) - \max \left\{ 1 + d_3(v) + \left( \left\lfloor \frac{14-d_{2-}(v)-1}{2} \right\rfloor - d_3(v) \right) \times \frac{1}{2}, \frac{3}{2} + (d_3(v) - 1) + \left( \left\lfloor \frac{14-d_{2-}(v)-1}{2} \right\rfloor - (d_3(v) - 1) \right) \times \frac{1}{2} \right\} \geq \frac{15-3d_{3-}(v)+d_3(v)}{4} > 0$  by (R1)–(R4) and (R7). Otherwise  $d_3(v) = 0$ , then  $d_{2-}(v) \leq 5$ . So  $ch'(v) = ch(v) - d_{2-}(v) - 1 - \left\lfloor \frac{14-1-d_{2-}(v)}{2} \right\rfloor \times \frac{1}{2} \geq \frac{15-3d_{2-}(v)}{4} \geq 0$  by (R1)–(R4) and (R7).

This completes the proof.

#### 4. REMARK

By the definition of IC-planar graphs, we know that every planar graphs are special IC-planar graphs. In [13], the authors proved that  $ch''_{\Sigma}(G) \leq \max\{\Delta(G) + 3, 16\}$ . So we can easily obtain the following question.

**Question 1.** *Is it true that  $ch''_{\Sigma}(G) \leq \Delta(G) + 3$  for IC-planar graphs with  $\Delta = 13$ ?*

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### APPENDIX A

```
%% The m. file of Matlab to compute the coefficients.
% INPUT
function coefficients ()
syms x1 x2 x3 x4 x5 x6 x7 % Variables used in the following.

% Claim 3.7 %To calculate the coefficient of  $x_1^6 x_2^4 x_3^4$ 
P=(x1-x2)*(x2-x3)*(x1-x3)^2*(x1+x2)^4*(x2+x3)^6; % The polynomial
cp1=diff(diff(diff(P,x1,6),x2,4),x3,4)/factorial(6)/factorial(4)
    /factorial(4)

% Claim 3.8
P=(x1-x2)*(x2-x3)*(x1-x3)^2*(x1+x2)^5*(x2+x3)^5;
cp2=diff(diff(diff(P,x1,6),x2,4),x3,4)/factorial(6)/factorial(4)
    /factorial(4)

% Claim 3.9
P=(x1-x2)*(x1-x3)*(x1-x4)*(x1-x5)*(x1-x6)*(x2-x3)*(x2-x4)*(x2-x5)
    *(x2-x6)*(x3-x4)*(x3-x5)*(x3-x6), ..., *(x4-x5)*(x4-x6)*(x5-x6)
    *(x1+x2+x3+x4+x5+x6)^6;
cp3=diff(diff(diff(diff(diff(P,x1,6),x2,5),x3,4),x4,3),x5,2),
    x6,1)/factorial(6)/factorial(5)/factorial(4)/factorial(3)
    /factorial(2)/factorial(1)

P=(x1-x2)*(x1-x3)*(x1-x4)*(x1-x5)*(x2-x3)*(x2-x4)*(x2-x5)*(x3-x4)
    *(x3-x5)*(x4-x5)*(x1+x2+x3+x4+x5+x6)^6;
cp4=diff(diff(diff(diff(P,x1,6),x2,4),x3,3),x4,2),x5,1)
    /factorial(6)/factorial(4)/factorial(3)/factorial(2)
    /factorial(1)

% Claim 3.10
P=(x1-x2)*(x1-x3)*(x1-x4)*(x1-x5)*(x1-x6)*(x2-x3)*(x2-x4)*(x2-x5)
    *(x2-x6)*(x3-x4)*(x3-x5)*(x3-x6), ..., *(x4-x5)*(x4-x6)*(x5-x6)
    *(x1+x2+x3+x4+x5+x6)^6;
cp5=diff(diff(diff(diff(diff(P,x1,6),x2,5),x3,4),x4,3),x5,2),
    x6,1)/factorial(6)/factorial(5)/factorial(4)/factorial(3)
    /factorial(2)/factorial(1)
```

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