Discussiones Mathematicae Graph Theory 40 (2020) 243–253 doi:10.7151/dmgt.2122

NEIGHBOR SUM DISTINGUISHING TOTAL CHROMATIC NUMBER OF PLANAR GRAPHS WITHOUT 5-CYCLES¹

Xue Zhao

AND

Chang-Qing Xu^2

School of Science, Hebei University of Technology Tianjin 300401, P.R. China

e-mail: zhaoxhxy@163.com chqxu@hebut.edu.cn

Abstract

For a given graph G = (V(G), E(G)), a proper total coloring $\phi : V(G) \cup E(G) \to \{1, 2, \ldots, k\}$ is neighbor sum distinguishing if $f(u) \neq f(v)$ for each edge $uv \in E(G)$, where $f(v) = \sum_{uv \in E(G)} \phi(uv) + \phi(v), v \in V(G)$. The smallest integer k in such a coloring of G is the neighbor sum distinguishing total chromatic number, denoted by $\chi_{\Sigma}^{"}(G)$. Pilśniak and Woźniak first introduced this coloring and conjectured that $\chi_{\Sigma}^{"}(G) \leq \Delta(G) + 3$ for any graph with maximum degree $\Delta(G)$. In this paper, by using the discharging method, we prove that for any planar graph G without 5-cycles, $\chi_{\Sigma}^{"}(G) \leq \max\{\Delta(G)+2,10\}$. The bound $\Delta(G)+2$ is sharp. Furthermore, we get the exact value of $\chi_{\Sigma}^{"}(G)$ if $\Delta(G) \geq 9$.

Keywords: neighbor sum distinguishing total coloring, discharging method, planar graph.

2010 Mathematics Subject Classification: 05C15.

 $^{^{1}\}mathrm{This}$ work was supported by NSFC (No.11671232), HNSF (No.A2015202301) and HU STP (No.ZD2015106, QN2017044).

²Corresponding author.

1. INTRODUCTION

In this paper, all graphs considered are simple, finite and undirected. For the terminology and notation not defined in this paper can be found in [1]. For a graph G, we denote its vertex set, edge set and maximum degree by V(G), E(G) and $\Delta(G)$, respectively. If G is a planar graph embedded in the plane, we use F(G) to denote its face set. A vertex v is a *t*-vertex, t^- -vertex, t^+ -vertex if $d_G(v) = t$, $d_G(v) \leq t$, $d_G(v) \geq t$ in G, respectively. A *t*-face is defined similarly. An *l*-face $v_1v_2\cdots v_l$ is a (b_1, b_2, \ldots, b_l) -face, where v_i is a b_i -vertex, for $i = 1, 2, \ldots, l$. Let $d_G^t(v)$ denote the number of *t*-vertices adjacent to v in G. Let $n_G^d(v)$ denote the number of d-faces incident with v in G. A configuration F is reducible to G, if it cannot be a configuration of G.

Given a graph G, set $n_i(G) = |\{v \in V(G) : d_G(v) = i\}|$ for $i = 1, 2, ..., \Delta(G)$. A graph G' is *smaller* than G if one of the following holds:

- (1) |E(G')| < |E(G)|,
- (2) |E(G')| = |E(G)| and $(n_t(G'), n_{t-1}(G'), \ldots, n_1(G'))$ precedes $(n_t(G), n_{t-1}(G), \ldots, n_1(G))$ with respect to the standard lexicographic order, where $t = \max{\{\Delta(G), \Delta(G')\}}$.

A graph is *minimum* for a property if no smaller graph satisfies it.

Given a graph G and a positive integer k, a proper total k-coloring of G is a mapping $\phi: V(G) \cup E(G) \to \{1, 2, ..., k\}$ such that $\phi(x) \neq \phi(y)$ for each pair of adjacent or incident elements $x, y \in V(G) \cup E(G)$. Let $f(v) = \sum_{uv \in E(G)} \phi(uv) + \phi(v), v \in V(G)$. If $f(u) \neq f(v)$ for each edge $uv \in E(G)$, then ϕ is a neighbor sum distinguishing total k-coloring, or k-tnsd-coloring for simplicity. The smallest number k is the neighbor sum distinguishing total chromatic number of G, denoted by $\chi_{\Sigma}''(G)$. For k-tnsd-coloring, Pilśniak and Woźniak gave the following conjecture.

Conjecture 1 [11]. For any graph G, $\chi''_{\Sigma}(G) \leq \Delta(G) + 3$.

Pilśniak and Woźniak confirmed Conjecture 1 for bipartite graphs, complete graphs, cycles and subcubic graphs. Dong *et al.* [3] showed that Conjecture 1 holds for some sparse graphs. Yao *et al.* [21, 22] considered the the the the the the term of term of the term of term of term of the term of the term of the term of Recently, Ge et al. [6] got the following result.

Theorem 2 [6]. Let G be a planar graph without 5-cycles. Then $\chi_{\Sigma}''(G) \leq \max \{ \Delta(G) + 3, 10 \}.$

In this paper, we prove the following results.

Theorem 3. Let G be a planar graph without 5-cycles. Then $\chi''_{\Sigma}(G) \leq \max{\{\Delta(G) + 2, 10\}}.$

Theorem 4. Let G be a planar graph without 5-cycles and without adjacent $\Delta(G)$ -vertices. Then $\chi_{\Sigma}''(G) \leq \max{\{\Delta(G) + 1, 10\}}$.

Clearly, $\chi''_{\Sigma}(G) \ge \Delta(G) + 1$ for any graph G. If G has adjacent $\Delta(G)$ -vertices, then $\chi''_{\Sigma}(G) \ge \Delta(G) + 2$. Thus we get the following corollary.

Corollary 5. Let G be a planar graph without 5-cycles and $\Delta(G) \ge 9$. If G has no adjacent $\Delta(G)$ -vertices, then $\chi''_{\Sigma}(G) = \Delta(G) + 1$, otherwise $\chi''_{\Sigma}(G) = \Delta(G) + 2$.

2. The Proof of Theorem 3

We will prove it by contradiction. Let G be a minimum counterexample to Theorem 3 which is embedded in the plane. Set $k = \max \{\Delta(G) + 2, 10\}$. By the choice of G, any planar graph G' without 5-cycles which is smaller than G has a k-tnsd-coloring ϕ' . In the following, we will choose some G' and extend the coloring ϕ' of G' to a desired coloring ϕ of G to get a contradiction. Unless otherwise stated, for any $x \in (V(G) \cup E(G)) \cap (V(G') \cup E(G'))$, set $\phi(x) = \phi'(x)$.

In the following proof, we will omit the coloring of all 3⁻-vertices. Since they have at most 9 forbidden colors and $k \ge 10$, they can be colored easily.

In Figure 1, we draw a vertex x in black if it has no other neighbors than the ones already depicted, and a vertex x in white if it might have more neighbors than the ones shown in the figure.

Claim 1. These configurations of F_1 , F_2 , F_3 and F_4 in Figure 1 are reducible.

Proof. (1) Suppose to the contrary that G contains configuration F_1 . We obtain a smaller graph G' by splitting v_i into u_i , v_i for i = 1, 2 (see F'_1 in Figure 1). Thus G' is a planar graph without 5-cycles which is smaller than G. Hence G' admits a k-tnsd-coloring ϕ' . We can stick u_i , v_i together properly for i = 1, 2 (if necessary, exchange the colors of uu_1 and uu_2), and then recolor u_i , v_i , thus we can obtain a k-tnsd-coloring ϕ of G, a contradiction.

(2) Suppose to the contrary that G contains configuration F_2 . We obtain a smaller graph G' by splitting v_i into u_i , v_i for i = 1, 2 (see F'_2 in Figure 1) without producing 5-cycles. Thus G' has a k-tnsd-coloring ϕ' .

(i) If $\phi'(wu_1) \neq \phi'(uu_2)$ or $\phi'(wu_1) = \phi'(uu_2) \notin \{\phi'(vv_1), \phi'(vv_2)\}$, then we can stick u_i, v_i together for i = 1, 2 (if necessary, exchange the colors of vv_1 and vv_2).

(ii) If $\phi'(wu_1) = \phi'(uu_2) \in \{\phi'(vv_1), \phi'(vv_2)\}$, without loss of generality, suppose that $\phi'(uu_2) = \phi'(vv_1)$. Exchange the colors of $vv_1(uu_2)$ and uv. Therefore, we can stick u_i , v_i together for i = 1, 2. Thus, by recoloring, we can obtain a k-tnsd-coloring ϕ of G, a contradiction.



Figure 1. Illustration of Claim 1.

(3) Suppose to the contrary that G contains configuration F_3 . We obtain a smaller graph G' by splitting v_i into v_{i1} , v_{i2} for i = 1, 3 (see F'_3 in Figure 1) without producing 5-cycles. Thus G' has a k-tnsd-coloring ϕ' .

(i) If $\phi'(uv_{12}) \neq \phi'(wv_{32})$ or $\phi'(uv_{12}) = \phi'(wv_{32}) \notin \{\phi'(vv_{11}), \phi'(vv_{31})\}$, then we can stick v_{i1}, v_{i2} together for i = 1, 3 (if necessary, exchange the colors of vv_{11} and vv_{31}).

(ii) If $\phi'(uv_{12}) = \phi'(wv_{32}) \in \{\phi'(vv_{11}), \phi'(vv_{31})\}$, without loss of generality, suppose that $\phi'(uv_{12}) = \phi'(vv_{11})$. Then we exchange the colors of uv_{12} and uv_{2} . Therefore, we can stick v_{i1}, v_{i2} together for i = 1, 3. Thus, by recoloring, we can obtain a k-tnsd-coloring ϕ of G, a contradiction.

(4) Suppose to the contrary that G contains configuration F_4 . We obtain a smaller graph G' by splitting v_i into v_{i1} , v_{i2} for i = 1, 4 (see F'_4 in Figure 1) without producing 5-cycles. Thus G' admits a k-tnsd-coloring ϕ' .

(i) If $\phi'(uv_{12}) \neq \phi'(zv_{42})$ or $\phi'(uv_{12}) = \phi'(zv_{42}) \notin \{\phi'(vv_{11}), \phi'(vv_{41})\}$, then we can stick v_{i1}, v_{i2} together for i = 1, 4 (if necessary, exchange the colors of vv_{11} and vv_{41}).

246

(ii) If $\phi'(uv_{12}) = \phi'(zv_{42}) \in \{\phi'(vv_{11}), \phi'(vv_{41})\}$, without loss of generality, suppose that $\phi'(uv_{12}) = \phi'(zv_{42}) = \phi'(vv_{11})$. Since $\phi'(wv_2) \neq \phi'(wv_3)$, suppose that $\phi'(wv_2) \neq \phi'(uv_{12})$. We exchange the colors of uv_{12} and uv_2 . Therefore, we can stick v_{i1}, v_{i2} together for i = 1, 4. Thus, by recoloring, we can obtain a k-tnsd-coloring ϕ of G, a contradiction.

It is easy to see that the following claim given in [16] also holds with the graph G in our proof.

Claim 2 [16]. In the graph G, the following results holds.

- (1) Each t⁻-vertex is not adjacent to any $(7-t)^{-}$ -vertex, where t = 4, 5.
- (2) For each vertex $v \in V(G)$, if $d_G^1(v) \ge 1$, then $d_G^2(v) = 0$; if $d_G^1(v) \ge 2$, then $d_G^3(v) = 0$.
- (3) If $d_G(v) = 5$, then $d_G^3(v) \le 1$.
- (4) If $d_G(v) = 6$, then $d_G^{3^-}(v) \le 2$. Furthermore, if $d_G^{2^-}(v) \ge 1$, then $d_G^{3^-}(v) \le 1$.
- (5) If $d_G(v) = 7$, then $d_G^{2^-}(v) \le 2$. Furthermore, if $d_G^{2^-}(v) \ge 1$, then $d_G^{3^-}(v) \le 2$.
- (6) If $d_G(v) = l$ $(l \ge 8)$, then $d_G^1(v) < \lceil \frac{l}{2} \rceil$.
- (7) If $d_G(v) = l$ $(l \ge 8)$ and $d_G^2(v) \ge 1$, then $d_G^2(v) + d_G^3(v) \le l 1$.
- (8) Each 3-face in G is a $(2, 6^+, 6^+)$ -face, a $(3, 5^+, 5^+)$ -face or a $(4^+, 4^+, 5^+)$ -face.

Claim 3. Each 4-face in G is a $(2,6^+,3^+,6^+)$ -face, a $(3,6^+,3,6^+)$ -face, a $(3,5^+,4^+,5^+)$ -face or a $(4^+,4^+,4^+,4^+)$ -face.

Proof. Let $T = v_1 v_2 v_3 v_4 v_1$ be a 4-face of G, and assume that $d_G(v_1) \leq d_G(v_i)$, where i = 2, 3, 4. If $d_G(v_1) = 2$, by Claim 2(1), $d_G(v_2) \geq 6$, $d_G(v_4) \geq 6$. By Claim 1, F_1 is reducible, thus T is a $(2, 6^+, 3^+, 6^+)$ -face. If $d_G(v_1) = d_G(v_3) =$ 3, by Claim 2(1) and Claim 2(3), $d_G(v_2) \geq 6$ and $d_G(v_4) \geq 6$, thus T is a $(3, 6^+, 3, 6^+)$ -face. If $d_G(v_1) = 3$ and $d_G(v_3) \geq 4$, by Claim 2(1), $d_G(v_2) \geq 5$ and $d_G(v_4) \geq 5$, thus T is a $(3, 5^+, 4^+, 5^+)$ -face. If $d_G(v_1) \geq 4$ and $d_G(v_3) \geq 4$, by Claim 2(1), $d_G(v_2) \geq 4$ and $d_G(v_4) \geq 4$, thus T is a $(4^+, 4^+, 4^+, 4^+)$ -face.

Let H be the graph obtained from G by removing all 1-vertices. By Claims 1–3, we have the following facts.

Fact 1. For the graph H, we have $\delta(H) \ge 2$; $d_H(v) = d_G(v)$, for $2 \le d_G(v) \le 5$. If $d_G(v) \ge 6$, then $d_H(v) \ge 5$.

Fact 2.

- (1) In the graph H, each 3⁻-vertex is not adjacent to any 4⁻-vertex.
- (2) If $d_H(v) = 5$, then $d_H^2(v) = 0$ and $d_H^3(v) \le 1$.
- (3) If $d_H(v) = 6$, then $d_H^2(v) \le 1$; furthermore, if $d_H^2(v) = 1$, then $d_H^3(v) = 0$; if $d_H^2(v) = 0$, then $d_H^3(v) \le 2$.

- (4) If $d_H(v) = 7$, then $d_H^2(v) \le 2$; furthermore, if $d_H^2(v) = 2$, then $d_H^3(v) = 0$; if $d_H^2(v) = 1$, then $d_H^3(v) \le 1$.
- (5) If $d_H(v) = l \ (l \ge 8)$, then $d_H^2(v) \le l 1$.

Fact 3.

- (1) Each 3-face in H is a $(2, 6^+, 6^+)$ -face, a $(3, 5^+, 5^+)$ -face or a $(4^+, 4^+, 5^+)$ -face.
- (2) Each 4-face in H is a $(2, 6^+, 3^+, 6^+)$ -face, a $(3, 6^+, 3, 6^+)$ -face, a $(3, 5^+, 4^+, 5^+)$ -face or a $(4^+, 4^+, 4^+, 4^+)$ -face.

A $(2, 6^+, 6^+)$ -face or a $(3, 5^+, 5^+)$ -face is called a *bad* 3-face. A $(4^+, 5^+, 5^+)$ -face is called a *normal* 3-face. A $(2, 6^+, 3, 6^+)$ -face or a $(3, 6^+, 3, 6^+)$ -face is called a *bad* 4-face, and other 4-face is a *normal* 4-face. We use $n'_i(v)$, $n''_i(v)$ to denote the number of bad *i*-faces and the number of normal *i*-faces incident with v in H, respectively, i = 3, 4.

Since G has no 5-cycles, we have the following fact.

Fact 4. These configurations are reducible to *H*:

- (1) a 5-face,
- (2) a 3-face adjacent to two 3-faces,
- (3) a 3-face adjacent to a 4-face, and they are sharing only one edge.

By Fact 4, we have the following fact.

Fact 5. If $d_H(v) = l$ and $n_H^3(v) > 0$, then $n_H^3(v) + n_H^4(v) \le l - 2$.

By Euler's formula, we have

ı

$$\sum_{e \in V(H)} (2d_H(v) - 6) + \sum_{f \in F(H)} (d_H(f) - 6) = -12.$$

We will use the discharging method to obtain a contradiction. First, we give an initial charge function: $w(v) = 2d_H(v) - 6$ for each $v \in V(H)$; $w(f) = d_H(f) - 6$ for each $f \in F(H)$. Next, we will design some discharging rules. Let w' be the new charge after the discharging process. It suffices to show that $w'(x) \ge 0$ for each $x \in V(H) \cup F(H)$, which leads to a contradiction.

In the following, a k-face means a k-face in H, the discharging rules are defined as follows.

R1 Every 2-vertex v in H takes 1 from each neighbor.

R2 Every 4-vertex v in H gives 1 to each incident 3-face, gives $\frac{1}{2}$ to each incident 4-face.

R3 Every 5⁺-vertex v in H gives $\frac{3}{2}$ to each incident bad 3-face, gives 1 to each incident normal 3-face.

R4 Every 5⁺-vertex v in H gives 1 to each incident bad 4-face, gives $\frac{3}{4}$ to each incident normal 4-face.

We will verify the new charge of each $x \in V(H) \cup F(H)$. In the following, we use d(v), $d_i(v)$, $n_i(v)$ and d(f) to denote $d_H(v)$, $d_H^i(v)$, $n_H^i(v)$ and $d_H(f)$, respectively. We first consider the new charge of each $f \in F(H)$.

• d(f) = 3. If f is a bad 3-face, by R3, $w'(f) = 3 - 6 + \frac{3}{2} \cdot 2 = 0$; otherwise, by R2 and R3, $w'(f) = 3 - 6 + 1 \cdot 3 = 0$.

• d(f) = 4. If f is a bad 4-face, by R4, $w'(f) = 4 - 6 + 1 \cdot 2 = 0$. If f is a $(2, 6^+, 4^+, 6^+)$ -face or a $(3, 5^+, 4^+, 5^+)$ -face, by R2 and R4, $w'(f) \ge 4 - 6 + \frac{3}{4} \cdot 2 + \frac{1}{2} = 0$. If f is a $(4^+, 4^+, 4^+, 4^+)$ -face, by R2 and R4, $w'(f) \ge 4 - 6 + \frac{1}{2} \cdot 4 = 0$. • d(f) = t $(t \ge 6)$. $w'(f) = w(f) = t - 6 \ge 0$.

Next we will consider the new charge of each $v \in V(H)$.

- d(v) = 2. By R1, $w'(v) = 2 \cdot 2 6 + 1 \cdot 2 = 0$.
- d(v) = 3. No rule applies to $v, w'(v) = 2 \cdot 3 6 = 0$.

• d(v) = 4. By Fact 2(1), $d_2(v) = d_3(v) = 0$. If $n_3(v) = 0$, by R2, $w'(v) = 2 \cdot 4 - 6 - \frac{1}{2} \cdot n_4(v) \ge 2 - \frac{1}{2} \cdot 4 = 0$. If $n_3(v) > 0$, by Fact 5, $n_3(v) + n_4(v) \le 2$. By R2, $w'(v) = 2 \cdot 4 - 6 - 1 \cdot n_3(v) - \frac{1}{2} \cdot n_4(v) \ge 2 - 1 \cdot 2 = 0$.

• d(v) = 5. By Fact 2(2), $d_2(v) = 0$, $d_3(v) \le 1$, so we have $n'_3(v) \le 2$ and $n'_4(v) = 0$. If $n_3(v) = 0$, by R4, $w'(v) = 2 \cdot 5 - 6 - \frac{3}{4} \cdot n''_4(v) \ge 4 - \frac{3}{4} \cdot 5 = \frac{1}{4} > 0$. If $n_3(v) > 0$, by Fact 5, $n_3(v) + n''_4(v) \le 3$. By R3 and R4, $w'(v) = 2 \cdot 5 - 6 - \frac{3}{2} \cdot n'_3(v) - 1 \cdot n''_3(v) - \frac{3}{4} \cdot n''_4(v) \ge 4 - \frac{3}{2} \cdot 2 - 1 = 0$.

• d(v) = 6. By Fact 2(3), $d_2(v) \le 1$.

(a) $d_2(v) = 1$. By Fact 2(3), $d_3(v) = 0$, so we have $n'_3(v) \le 1$ and $n'_4(v) = 0$. If $n_3(v) = 0$, by R1 and R4, $w'(v) = 2 \cdot 6 - 6 - d_2(v) - \frac{3}{4} \cdot n''_4(v) \ge 6 - 1 - \frac{3}{4} \cdot 6 = \frac{1}{2} > 0$. If $n_3(v) > 0$, by Fact 5, $n_3(v) + n''_4(v) \le 4$. By R1, R3 and R4, $w'(v) = 2 \cdot 6 - 6 - d_2(v) - \frac{3}{2} \cdot n'_3(v) - 1 \cdot n''_3(v) - \frac{3}{4} \cdot n''_4(v) \ge 6 - 1 - \frac{3}{2} \cdot 1 - 1 \cdot 3 = \frac{1}{2} > 0$.

(b) $d_2(v) = 0$. If $n_3(v) = 0$, by R4, $w'(v) \ge 2 \cdot 6 - 6 - 1 \cdot n_4(v) \ge 6 - 1 \cdot 6 = 0$. If $n_3(v) > 0$, by Fact 5, $n_3(v) + n_4(v) \le 4$. By R3 and R4, $w'(v) \ge 2 \cdot 6 - 6 - \frac{3}{2} \cdot n_3(v) - 1 \cdot n_4(v) \ge 6 - \frac{3}{2} \cdot 4 = 0$.

• d(v) = 7. By Fact 2(4), $d_2(v) \le 2$.

(a) $d_2(v) = 2$. By Fact 2(4), $d_3(v) = 0$. By Claim 1, F_1 and F_2 are reducible, so we have $n'_3(v) = n'_4(v) = 0$. If $n_3(v) = 0$, by R1 and R4, $w'(v) = 2 \cdot 7 - 6 - d_2(v) - \frac{3}{4} \cdot n''_4(v) \ge 8 - 2 - \frac{3}{4} \cdot 7 = \frac{3}{4} > 0$. If $n_3(v) > 0$, by Fact 5, $n_3(v) + n_4(v) \le 5$. Noting that $n'_3(v) = n'_4(v) = 0$, By R1, R3 and R4, $w'(v) = 2 \cdot 7 - 6 - d_2(v) - 1 \cdot n''_3(v) - \frac{3}{4} \cdot n''_4(v) \ge 8 - 2 - 1 \cdot 5 > 0$.

(b) $d_2(v) \le 1$. If $n_3(v) = 0$, by R1 and R4, $w'(v) \ge 2 \cdot 7 - 6 - d_2(v) - 1 \cdot n_4(v) \ge 8 - 1 - 1 \cdot 7 = 0$. If $n_3(v) > 0$, by Fact 4 and Fact 5, $n_3(v) \le 4$ and $n_3(v) + n_4(v) \le 5$. By R1, R3 and R4, $w'(v) \ge 2 \cdot 7 - 6 - d_2(v) - \frac{3}{2} \cdot n_3(v) - 1 \cdot n_4(v) \ge 8 - 1 - \frac{3}{2} \cdot 4 - 1 = 0$.

• $d(v) = l \ (l \ge 8)$, by Fact 2(5), $d_2(v) \le l - 1$.

(a) $d_2(v) = l - 1$. By Claim 1, F_1 and F_2 are reducible, so we have $n_3(v) = 0$ and $n_4(v) \le 2$. By R1 and R4, $w'(v) \ge 2l - 6 - d_2(v) - 1 \cdot n_4(v) \ge 2l - 6 - (l - 1) - 1 \cdot 2 = l - 7 > 0$.

(b) $d_2(v) = l - 2$.

(b1) $n_3(v) = 0$. By Claim 1, F_1 is reducible, so we have $n_4(v) \le 4$. By R1 and R4, $w'(v) \ge 2l - 6 - d_2(v) - 1 \cdot n_4(v) \ge 2l - 6 - (l-2) - 4 = l - 8 \ge 0$.

(b2) $n_3(v) > 0$. By Claim 1, F_1 and F_2 are reducible, and by Fact 4, we have $n_3(v) = 1$ and $n_4(v) = 0$. By R1 and R3, $w'(v) \ge 2l - 6 - d_2(v) - \frac{3}{2} \cdot n_3(v) \ge 2l - 6 - (l-2) - \frac{3}{2} = l - \frac{11}{2} > 0$.

(c) $d_2(v) = l - 3$.

(c1) $n_3(v) = 0$. By Claim 1, F_1 is reducible, so we have $n_4(v) \le 6$.

If $n_4(v) = 6$, by Claim 1, F_3 is reducible, so we have $n'_4(v) = 0$. By R1 and R4, $w'(v) = 2l - 6 - d_2(v) - \frac{3}{4} \cdot n''_4(v) = 2l - 6 - (l - 3) - \frac{3}{4} \cdot 6 = l - \frac{15}{2} > 0$.

If $n_4(v) \le 5$, by R1 and R4, $w'(v) \ge 2l - 6 - d_2(v) - 1 \cdot n_4(v) \ge 2l - 6 - (l - 3) - 1 \cdot 5 = l - 8 \ge 0$.

(c2) $n_3(v) > 0$. By Claim 1, F_2 is reducible, so we have $n_3(v) \le 2$. By Claim 1, F_1 is reducible, and by Fact 4, we have $n_4(v) \le 2$. By R1, R3 and R4, $w'(v) \ge 2l - 6 - d_2(v) - \frac{3}{2} \cdot n_3(v) - 1 \cdot n_4(v) \ge 2l - 6 - (l - 3) - \frac{3}{2} \cdot 2 - 2 = l - 8 \ge 0$. (d) $d_2(v) = l - 4$.

(d1) $n_3(v) = 0$. By Claim 1, F_1 is reducible, so we have $n_4(v) \le 8$.

 $n_4(v) = i \ (i = 7, 8).$ By Claim 1, F_3 is reducible, so we have $n'_4(v) \le 8 - i.$ By R1 and R4, $w'(v) = 2l - 6 - d_2(v) - 1 \cdot n'_4(v) - \frac{3}{4} \cdot n''_4(v) \ge 2l - 6 - (l - 4) - 1 \cdot (8 - i) - \frac{3}{4} \cdot (i - (8 - i)) = l - 4 - \frac{i}{2} \ge 0.$

 $n_4(v) \le 6$. By R1 and R4, $w'(v) \ge 2l - 6 - d_2(v) - 1 \cdot n_4(v) \ge 2l - 6 - (l - 4) - 1 \cdot 6 = l - 8 \ge 0$.

(d2) $n_3(v) > 0$. By Claim 1, F_2 is reducible, so each 2-neighbor of v is not incident with a 3-face. And note that each 3-face is not adjacent to two 3-faces, so we have $n_3(v) \leq 2$.

 $n_3(v) = i \ (i = 1, 2)$. By Claim 1, F_1 and F_2 are reducible, and note that each 3-face is not adjacent to a 4-face, we have $n_4(v) \le 6 - 2i$. By R1, R3 and R4, $w'(v) \ge 2l - 6 - d_2(v) - \frac{3}{2} \cdot n_3(v) - 1 \cdot n_4(v) \ge 2l - 6 - (l - 4) - \frac{3}{2} \cdot i - 1 \cdot (6 - 2i) = l - 8 + \frac{i}{2} > 0$.

(e) $d_2(v) = l - 5$.

(e1) $n_3(v) = 0$. If $n_4(v) \le l - 1$, by R1 and R4, $w'(v) \ge 2l - 6 - d_2(v) - 1 \cdot n_4(v) \ge 2l - 6 - (l - 5) - 1 \cdot (l - 1) = 0$. Now suppose that $n_4(v) = l$. By Claim 1, F_1 is reducible, so we have $d_2(v) \le \lfloor \frac{l}{2} \rfloor$. Noting that $d_2(v) = l - 5$, we have $8 \le l \le 10$. By Claim 1, F_1, F_3 and F_4 are reducible, so we have $n'_4(v) \le 4$. By R1 and R4, $w'(v) = 2l - 6 - d_2(v) - 1 \cdot n'_4(v) - \frac{3}{4} \cdot n''_4(v) \ge 2l - 6 - (l - 5) - 1 \cdot 4 - \frac{3}{4} \cdot (l - 4) = \frac{l}{4} - 2 \ge 0$.

(e2) $n_3(v) > 0$. By Claim 1, F_2 is reducible, and by Fact 4, we have $n_3(v) \leq 3$

 $\begin{array}{l} n_3(v) = 3. \mbox{ By Claim 1, } F_1 \mbox{ is reducible, and by Fact 4, we have } n_4(v) = 0. \mbox{ By R1 and R3, } w'(v) \geq 2l - 6 - d_2(v) - \frac{3}{2} \cdot n_3(v) \geq 2l - 6 - (l - 5) - \frac{3}{2} \cdot 3 = l - \frac{11}{2} > 0. \\ n_3(v) = i \ (i = 1, 2). \mbox{ By Claim 1, } F_1 \mbox{ is reducible, and by Fact 4, we have } n_4(v) \leq 8 - 2i. \mbox{ By Claim 1, } F_3 \mbox{ is reducible. So if } n_4(v) = 8 - 2i, \mbox{ we have } n_4(v) = 0. \mbox{ By R1, R3 and R4, } w'(v) \geq 2l - 6 - d_2(v) - \frac{3}{2} \cdot n_3(v) - \frac{3}{4} \cdot n_4''(v) \geq 2l - 6 - (l - 5) - \frac{3}{2} \cdot i - \frac{3}{4} \cdot (8 - 2i) = l - 7 > 0. \mbox{ If } n_4(v) \leq 7 - 2i, \mbox{ by R1, R3 and R4, } w'(v) \geq 2l - 6 - (l - 5) - \frac{3}{2} \cdot i - \frac{3}{4} \cdot (8 - 2i) = l - 7 > 0. \mbox{ If } n_4(v) \leq 7 - 2i, \mbox{ by R1, R3 and R4, } w'(v) \geq 2l - 6 - (l - 5) - \frac{3}{2} \cdot i - 1 \cdot (7 - 2i) = l + \frac{i}{2} - 8 > 0. \end{array}$

(f) $d_2(v) \leq l-6$. Set $t = \left\lceil \frac{2(l-d_2(v)-1)}{3} \right\rceil$. By Claim 1, F_2 is reducible, and by Fact 4, we have $n_3(v) \leq t$, $n_4(v) \leq l$ and if $n_3(v) > 0$, then $n_3(v) + n_4(v) \leq l-2$. (f1) $n_3(v) = 0$, by R1 and R4, $w'(v) \geq 2l-6-d_2(v)-l \geq 2l-6-(l-6)-l=0$. (f2) $n_3(v) > 0$, by R1, R3 and R4, $w'(v) \geq 2l-6-d_2(v) - \frac{3}{2} \cdot n_3(v) - n_4(v) \geq 2l-6-d_2(v) - \frac{3}{2} \cdot n_3(v) - (l-2-n_3(v)) \geq l-4-d_2(v) - \frac{1}{2} \cdot t = l-4-d_2(v) - \frac{1}{2} \left\lceil \frac{2(l-d_2(v)-1)}{3} \right\rceil \geq 0.$

Now we get that for each $x \in V(H) \cup F(H)$, $w'(x) \ge 0$, which is a contradiction. This completes the proof of Theorem 3.

3. The Proof of Theorem 4

The proof of Theorem 4 is almost the same as the proof of Theorem 3 except for some details. Let G be a minimum counterexample to Theorem 4 which is embedded in the plane. Set $k = \max{\{\Delta(G) + 1, 10\}}$. By the choice of G, any planar graph G' without 5-cycles and without adjacent $\Delta(G)$ -vertices which is smaller than G has a k-tnsd-coloring ϕ' . Similarly, we will choose some G' and extend the coloring ϕ' of G' to a desired coloring ϕ of G to get a contradiction. It is easy to see that all the claims in the proof of Theorem 3 except for Claim 2(6) and Claim 2(7) also hold here. The proof of Claim 2(6) and Claim 2(7) can be seen in [5]. The rest of the proof including the discharging method is the same as the proof of Theorem 3.

References

- J.A. Bondy and U.S.R. Murty, Graph Theory with Applications (North-Holland, NewYork-Amsterdam-Oxford, 1982).
- [2] X. Cheng, D. Huang, G. Wang and J. Wu, Neighbor sum distinguishing total colorings of planar graphs with maximum degree Δ, Discrete Appl. Math. 190–191 (2015) 34–41.
 doi:10.1016/j.dam.2015.03.013
- [3] A. Dong and G. Wang, Neighbor sum distinguising total colorings of graphs with bounded maximum average degree, Acta Math. Sin. (Engl. Ser.) 30 (2014) 703–709. doi:10.1007/s10114-014-2454-7

- [4] L. Ding, G. Wang and G. Yan, Neighbor sum distinguising total colorings via the Combinatorial Nullstellensatz, Sci. China Math. 57 (2014) 1875–1882. doi:10.1007/s11425-014-4796-0
- [5] S. Ge, J. Li and C. Xu, Neighbor sum distinguishing total chromatic number of planar graphs without 4-cycles, Util. Math. 105 (2017) 259–265.
- S. Ge, J. Li and C. Xu, Neighbor sum distinguishing total coloring of planar graphs without 5-cycles, Theoret. Comput. Sci. 689 (2017) 169–175. doi:10.1016/j.tcs.2017.05.037
- H. Li, L. Ding, B. Liu and G. Wang, Neighbor sum distinguishing total colorings of planar graphs, J. Comb. Optim. **30** (2015) 675–688. doi:10.1007/s10878-013-9660-6
- [8] J. Li, S. Ge and C. Xu, Neighbor sum distinguishing total colorings of planar graphs with girth at least 5, Util. Math. 104 (2017) 115–121.
- H. Li, B. Liu and G. Wang, Neighbor sum distinguishing total colorings of K₄-minor free graphs, Front. Math. China 8 (2013) 1351–1366. doi:10.1007/s11464-013-0322-x
- [10] Q. Ma, J. Wang and H. Zhao, Neighbor sum distinguishing total colorings of planar graphs without short cycles, Util. Math. 98 (2015) 349–359.
- M. Pilśniak and M. Woźniak, On the total-neighbor-distinguishing index by sums, Graphs Combin. **31** (2015) 771–782. doi:10.1007/s00373-013-1399-4
- [12] C. Qu, G. Wang, J. Wu and X. Yu, On the neighbor sum distinguishing total coloring of planar graphs, Theoret. Comput. Sci. 609 (2016) 162–170. doi:10.1016/j.tcs.2015.09.017
- [13] C. Qu, G. Wang, G. Yan and X. Yu, Neighbor sum distinguishing total choosability of planar graphs, J. Comb. Optim. **32** (2016) 906–916. doi:10.1007/s10878-015-9911-9
- [14] H. Song, W. Pan, X. Gong and C. Xu, A note on the neighbor sum distinguishing total coloring of planar graphs, Theoret. Comput. Sci. 640 (2016) 125–129. doi:10.1016/j.tcs.2016.06.007
- [15] H. Song and C. Xu, Neighbor sum distinguishing total chromatic number of K₄minor free graph, Front. Math. China **12** (2017) 937–947. doi:10.1007/s11464-017-0649-9
- [16] H. Song and C. Xu, Neighbor sum distinguishing total coloring of planar graphs without 4-cycles, J. Comb. Optim. 34 (2017) 1147–1158. doi:10.1007/s10878-017-0137-x
- [17] J. Wang, J. Cai and Q. Ma, Neighbor sum distinguishing total choosability of planar graphs without 4-cycles, Discrete Appl. Math. 206 (2016) 215–219. doi:10.1016/j.dam.2016.02.003

- [18] J. Wang, J. Cai and B. Qiu, Neighbor sum distinguishing total choosability of planar graphs without adjacent triangles, Theoret. Comput. Sci. 661 (2017) 1–7. doi:10.1016/j.tcs.2016.11.003
- [19] J. Wang, Q. Ma and X. Han, Neighbor sum distinguishing total colorings of triangle free planar graphs, Acta Math. Sin. (Engl. Ser.) **31** (2015) 216–224. doi:10.1007/s10114-015-4114-y
- [20] D. Yang, X. Yu, L. Sun, J. Wu and S. Zhou, Neighbor sum distinguishing total chromatic number of planar graphs with maximum degree 10, Appl. Math. Comput. 314 (2017) 456–468.
 doi:10.1016/j.amc.2017.06.002
- [21] J. Yao, X. Yu, G. Wang and C. Xu, Neighbor sum distinguishing total coloring of 2-degenerate graphs, J. Comb. Optim. 34 (2017) 64–70. doi:10.1007/s10878-016-0053-5
- [22] J. Yao, X. Yu, G. Wang and C. Xu, Neighbor sum (set) distinguishing total choosability of d-degenerate graphs, Graphs Combin. 32 (2016) 1611–1620. doi:10.1007/s00373-015-1646-y

Received 5 December 2017 Revised 8 February 2018 Accepted 7 March 2018