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GRAPHIC AND COGRAPHIC Γ-EXTENSIONS OF BINARY MATROIDS

Y.M. Borse

Department of Mathematics Savitribai Phule Pune University Pune-411007, India

e-mail: ymborse11@gmail.com

AND

GANESH MUNDHE

Army Institute of Technology Pune-411015, India

e-mail: ganumundhe@gmail.com

Abstract

Slater introduced the point-addition operation on graphs to characterize 4-connected graphs. The Γ -extension operation on binary matroids is a generalization of the point-addition operation. In general, under the Γ -extension operation the properties like graphicness and cographicness of matroids are not preserved. In this paper, we obtain forbidden minor characterizations for binary matroids whose Γ -extension matroids are graphic (respectively, cographic).

Keywords: splitting, Γ -extension, graphic, cographic, minor.

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1. INTRODUCTION

We refer to [5] for standard terminology in graphs and matroids. The matroids considered here are loopless and coloopless. Slater [9] introduced the point-addition operation on graphs and used it to classify 4-connected graphs. Azanchiler [1] extended this operation to binary matroids as follows. **Definition 1** [1]. Let M be a binary matroid with ground set S and standard matrix representation A over the field GF(2). Let $X = \{x_1, x_2, \ldots, x_m\} \subset S$ be an independent set in M and let $\Gamma = \{\gamma_1, \gamma_2, \ldots, \gamma_m\}$ be a set such that $S \cap \Gamma = \emptyset$. Suppose A' is the matrix obtained from the matrix A by adjoining m columns labeled by $\gamma_1, \gamma_2, \ldots, \gamma_m$ such that the column labeled by γ_i is same as the column labeled by x_i for $i = 1, 2, \ldots, m$. Let A^X be the matrix obtained by adjoining one extra row to A' which has entry 1 in the column labeled by γ_i for $i = 1, 2, \ldots, m$ and zero elsewhere. The vector matroid of the matrix A^X , denoted by M^X , is called as the Γ -extension of M with respect to X and the transition from M to M^X is called as the Γ -extension operation on M.

Note that the ground set of the matroid M^X is $S \cup \Gamma$ and $M^X \setminus \Gamma = M$. Therefore M^X is an extension of M. Some basic properties of M^X are studied in [1] and [2].

The Γ -extension operation is related to the *splitting operation* on binary matroids which is defined by Shikare *et al.* [8] as follows.

Definition 2 [8]. Let M be a binary matroid with standard matrix representation A over the field GF(2) and let X be a set of elements of M. Let A_X be the matrix obtained by adjoining one extra row to the matrix A whose entries are 1 in the columns labeled by the elements of the set X and zero otherwise. The vector matroid of the matrix A_X , denoted by M_X , is called as the splitting matroid of M with respect to X, and the transition from M to M_X is called as the splitting operation.

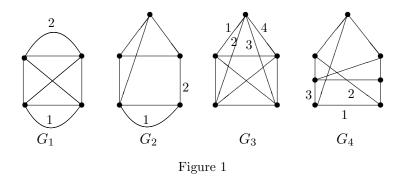
Let M be a binary matroid with ground set S and let $X = \{x_1, x_2, \ldots, x_m\}$ be an independent set in M. Obtain the extension M' of M with ground set $S \cup \Gamma$, where $\Gamma = \{\gamma_1, \gamma_2, \ldots, \gamma_m\}$ is disjoint from S, such that $\{x_i, \gamma_i\}$ is a 2-circuit in M' for each i. The matroid M'_{Γ} obtained from M' by splitting with respect to the set Γ is the Γ -extension matroid M^X .

Earlier, the splitting with respect to a pair of elements, which is a special case of Definition 2, was defined by Raghunathan *et al.* [6] for binary matroids as an extension of the corresponding graph operation due to Fleischner [4].

In general, under the splitting operation the properties like graphicness and cographicness of matroids are not preserved. Shikare and Waphare [7] obtained the following characterization for the class of graphic matroids M whose splitting matroids M_X , with |X| = 2, are again graphic.

Theorem 3 [7]. Let M be a graphic matroid. For any $X \subset S$ with |X| = 2, the splitting matroid M_X is graphic if and only if M has no minor isomorphic to any of the circuit matroids $M(G_1), M(G_2), M(G_3)$ and $M(G_4)$, where G_1, G_2, G_3 and G_4 are the graphs as shown in Figure 1.

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Borse *et al.* [3] obtained a similar characterization for the cographic matroids M whose splitting matroids M_X , with |X| = 2, are cographic.

Theorem 4 [3]. Let M be a cographic matroid. For any $X \subset S$ with |X| = 2, the splitting matroid M_X is cographic if and only if M has no minor isomorphic to any of the circuit matroids $M(G_1)$ and $M(G_2)$, where G_1 and G_2 are the graphs as shown in Figure 1.

It remains to find the effect of the splitting operation with respect to X where $|X| \ge 3$, on the properties like graphicness and cographicness of a matroid.

Like splitting operation, the Γ -extension operation also does not preserve graphicness and cographicness properties of a given matroid, in general. Azanchiler [2] obtained few results in this direction.

In this paper, we characterize binary matroids M whose Γ -extension matroids M^X with $|X| \ge 2$ are graphic (respectively, cographic).

The following are the main results of the paper.

Theorem 5. Let M be a binary matroid. Then M^X is graphic (respectively, cographic) for every independent set X in M with |X| = 2 if and only if M does not contain a minor that is isomorphic to $M(K_4)$.

Corollary 6. Let M be a graphic (respectively, cographic) matroid. Then M^X is graphic (respectively, cographic) for every independent set X in M with |X| = 2 if and only if M does not contain a minor that is isomorphic to $M(K_4)$.

Theorem 7. Let M be a binary matroid. Then M^X is graphic (respectively, cographic) for every independent set X in M with $|X| \ge 3$ if and only if M does not contain a minor that is isomorphic to a 4-circuit.

Corollary 8. Let M be a graphic (respectively, cographic) matroid. Then M^X is graphic (respectively, cographic) for every independent set X in M with $|X| \ge 3$ if and only if M does not contain a minor that is isomorphic to a 4-circuit.

2. Case
$$|X| = 2$$

In this section, we prove Theorem 5. First, observe that there should be only three forbidden minors in Theorem 3. For the graphs G_2 and G_4 in Figure 1, $M(G_2) \cong M(G_4) \setminus \{3\}/\{1,2\}$. Therefore $M(G_2)$ is a minor of $M(G_4)$ and hence Theorem 3 can be restated as follows.

Theorem 9. Let M be a graphic matroid. For any $X \subset S$ with |X| = 2 the splitting matroid M_X is graphic if and only if M has no minor isomorphic to any of the circuit matroids $M(G_1), M(G_2)$ and $M(G_3)$, where G_1, G_2 and G_3 are the graphs as shown in Figure 1.

We need the following well-known characterizations.

Theorem 10 (Oxley [5]). A binary matroid M is graphic if and only if no minor of M is isomorphic to any of the matroids $F_7, F_7^*, M^*(K_{3,3})$ and $M^*(K_5)$.

Theorem 11 (Oxley [5]). A binary matroid M is cographic if and only if no minor of M is isomorphic to any of the matroids $F_7, F_7^*, M(K_{3,3})$ and $M(K_5)$.

Theorem 12 (Oxley [5]). A binary matroid M is regular if and only if no minor of M is isomorphic to any of the matroids F_7, F_7^* .

The proof of the following lemma is trivial.

Lemma 13. If $\{x, y\}$ is a circuit in a matroid M, then $M \setminus \{x\} \cong M \setminus \{y\}$ and $M/\{x\} \cong M/\{y\}$.

Lemma 14. Let M be a binary matroid containing a minor isomorphic to $M(K_4)$. Then there is an independent set X in M with |X| = 2 such that the matroid M^X is not regular.

Proof. Suppose M contains a minor N which is isomorphic to $M(K_4)$. Then there are subsets T_1 and T_2 of the ground set of M such that $N = M \setminus T_1/T_2$. Label the edges of the graph K_4 by the set $\{x_1, x_2, x_3, x_4, x_5, x_6\}$ so that x_1, x_2, x_3, x_4 , in order, form a 4-cycle and the edges x_5, x_6 are the chords of this cycle.

Let $X = \{x_1, x_3\}$. Then X is disjoint from $T_1 \cup T_2$ and is independent in N as well as in M. Further, $N^X = M^X \setminus T_1/T_2$. Moreover, the edges x_1 and x_3 are not adjacent in K_4 . Let A be the standard matrix representation of $M(K_4)$ over the field GF(2). Then

$$A = \begin{pmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 \\ 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

and

Therefore

$$A^{X} = \begin{pmatrix} x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & x_{6} & \gamma_{1} & \gamma_{3} \\ 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$
$$A^{X}/\{\gamma_{1}\} = \begin{pmatrix} x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & x_{6} & \gamma_{3} \\ 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 1 \end{pmatrix}.$$

Since $A^X/\{\gamma_1\}$ is a matrix representation of the matroid $M(K_4)^X/\{\gamma_1\} \cong N^X/\{\gamma_1\}$, it follows from the standard matrix representation of the matroid F_7 that $N^X/\{\gamma_1\} \cong F_7$. Therefore $M^X \setminus T_1/T_2/\{\gamma_1\} \cong F_7$. This shows that F_7 is a minor of M^X . Hence, by Theorem 12, M^X is not regular.

Proposition 15. Let M be a binary matroid such that no minor of M is isomorphic to $M(K_4)$. Then M^X is graphic as well as cographic for any independent set X in M with |X| = 2.

Proof. Clearly, $M(K_4)$ is a minor of each of the six matroids $F_7, F_7^*, M(K_5)$, $M^*(K_5), M(K_{3,3})$ and $M^*(K_{3,3})$. Since no minor of M is isomorphic to $M(K_4)$, none of these six matroids can be a minor of M. Hence, by Theorems 10 and 11, M is graphic as well as cographic. Thus M = M(G) for some planar graph G. Assume that M^X is not graphic or not cographic for some independent set $X = \{x_1, x_2\}$ in M. We obtain a contradiction by proving that M contains a minor isomorphic to $M(K_4)$.

Let M' be the extension of M obtained by adding two elements $\{\gamma_1, \gamma_2\}$ to the ground set S of M such that $\{x_1, \gamma_1\}$ and $\{x_2, \gamma_2\}$ are circuits in M'. Then $M' \setminus \{\gamma_1, \gamma_2\} = M$. The ground set of M' is $S \cup \{\gamma_1, \gamma_2\}$. Since M is graphic and cographic, so is M'. Therefore M' does not contain a minor isomorphic to $M(K_5) = M(G_3)$. By definition of M^X , we have $M^X = M'_{\{\gamma_1, \gamma_2\}}$, where $M'_{\{\gamma_1, \gamma_2\}}$ is the matroid obtained from M' by splitting with respect to the pair $\{\gamma_1, \gamma_2\}$. Therefore $M'_{\{\gamma_1, \gamma_2\}}$ is not graphic or not cographic.

By Theorems 4 and 9, there is a minor N' of M' such that $N' \cong M(G_1)$ or $N' \cong M(G_2)$, where G_1 and G_2 are the graphs as shown in Figure 1. Clearly, $M(K_4) \cong M(G_1) \setminus \{1,2\} \cong M(G_2) \setminus \{1\}/\{2\}$. Hence $M(K_4)$ is isomorphic to a minor of N'. If N' is a minor of M, then M has a minor isomorphic to $M(K_4)$, a contradiction. Consequently, N' is not a minor of M. It implies that N' contains γ_1 or γ_2 or both. By Lemma 13, we may assume that N' contains x_i whenever it contains γ_i . Thus N' contains at least one of the two 2-circuit $\{x_1, \gamma_1\}$ and $\{x_2, \gamma_2\}$ of M'. Suppose N' contains both γ_1 and γ_2 . Then N' contains both the 2-circuits

 $\{x_1, \gamma_1\}$ and $\{x_2, \gamma_2\}$. Therefore N' is isomorphic to $M(G_1)$ and the two 2-cycles present in G_1 corresponds to $\{x_1, \gamma_1\}$ and $\{x_2, \gamma_2\}$. Thus $M(K_4) \cong N' \setminus \{\gamma_1, \gamma_2\}$ is minor of $M' \setminus \{\gamma_1, \gamma_2\} = M$, a contradiction. Hence N' contains exactly one of γ_1 and γ_2 .

We may assume that N' contains γ_1 but not γ_2 . Then $N' \setminus \gamma_1$ is a minor of $M' \setminus \gamma_1$ and hence is a minor of M. Suppose N' is isomorphic to $M(G_2)$. Then the 2-cycle present in G_2 corresponds to the 2-circuit $\{x_1, \gamma_1\}$ in N'. Hence $M(K_4) \cong N' \setminus \{\gamma_1\}/\{2\}$. But $N' \setminus \{\gamma_1\}/\{2\}$ is minor of $N' \setminus \{\gamma_1\}$ and so is a minor of M. Consequently, $M(K_4)$ is isomorphic to a minor of M, a contradiction. Therefore $N' \cong M(G_1)$. We may assume that the 2-circuit $\{x_1, \gamma_1\}$ of N' corresponds to the 2-cycle of G_1 containing the edge labeled by 1. Clearly, $M(K_4) \cong N' \setminus \{\gamma_1, 2\}$. Thus $M(K_4)$ is isomorphic to a minor of $N' \setminus \{\gamma_1\}$ and so is isomorphic to a minor of M, a contradiction.

Proof of Theorem 5. Suppose M contains a minor isomorphic to $M(K_4)$. By Lemma 14, M^X is not regular for some independent set X in M with |X| = 2. Therefore, by Theorems 10, 11 and 12, M^X is neither graphic nor cographic. Conversely, if no minor of M is isomorphic to $M(K_4)$, then, by Proposition 15, M^X is graphic as well as cographic for any independent set X in M with |X| = 2.

3. Case $|X| \ge 3$

In this section, we prove Theorem 7.

Lemma 16. Let M be a binary matroid containing a minor isomorphic to a 4-circuit. Then there is an independent set X in M with $|X| \ge 3$ such that M^X is not regular.

Proof. Suppose M contains a minor N which is isomorphic to a 4-circuit. Let the ground set of N be $\{x_1, x_2, x_3, x_4\}$. Let $X = \{x_1, x_2, x_3\}$. Then X is independent in N and so in M. The following matrix A represents N over the field GF(2).

	r_1	r_{0}	r_{2}	x_4			x_2						
A =	<i>w</i> ₁		<i>w</i> 3	<i>u</i> 4 1 ∖		(1	0	0	1	1	0	0 \	
	$ \left(\begin{array}{c} 1\\ 0\\ 0 \end{array}\right) $	$ \begin{array}{ccc} 0 & 0 \\ 1 & 0 \\ 0 & 1 \end{array} $			0	1	0	1	0	1	0		
			1		. Therefore $A^{\prime\prime} =$	0	0	1	1	0	0	1	ŀ
		0	T	1 1 /		$\int 0$	0	0	0	1	1	1 /	1

In A^X , by adding the fourth row to the first row and then interchanging the fourth and fifth columns, we get the following matrix which is the standard matrix representation of the matroid F_7^* over GF(2):

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x_1	x_2	x_3	γ_1	x_4	γ_2	γ_3
/ 1	0	0	0	1	1	1
0	1	0	0	1	1	0
0	0	1	0	1	0	1
$ \left(\begin{array}{c} 1\\ 0\\ 0\\ 0 \end{array}\right) $	0	0	1	0	1	1 /

The vector matroid of the matrix A^X is the Γ -extension N^X of N. Hence N^X is isomorphic to F_7^* . Since N is a minor of M, there are disjoint subsets T_1 and T_2 of the ground set of M such that $N = M \setminus T_1/T_2$. Since $X \cap T_1 = \emptyset$ and $X \cap T_2 = \emptyset$, it follows that $N^X = (M \setminus T_1/T_2)^X = M^X \setminus T_1/T_2$. Hence N^X is a minor of M^X . Therefore M^X has a minor isomorphic to F_7^* . By Theorem 12, M^X is not regular.

Proposition 17. Let M be a binary matroid such that no minor of M is isomorphic to a 4-circuit. Then M^X is graphic as well as cographic for any independent set X in M with $|X| \ge 3$.

Proof. Clearly, each of the six matroids $F_7, F_7^*, M(K_5), M(K_{3,3}), M^*(K_5)$ and $M^*(K_{3,3})$ contains a 4-circuit. Hence none of these six matroids can be a minor of M. Therefore, by Theorems 10 and 11, M is graphic as well as cographic. Hence M = M(G) for some graph G without isolated vertices.

Let X be an independent set in M with $|X| \geq 3$. We prove that M^X is graphic as well as cographic. Let D_1, D_2, \ldots, D_m be components of M. Since M is graphic and cographic, each component D_i is also graphic and cographic. Therefore $D_i = M(H_i)$ for some planar graph H_i for $i = 1, 2, \ldots, m$. If the set X does not intersect a component D_i of M, then D_i is a component of M^X , too. Therefore we may assume that X intersects each D_i . Let $X_i = X \cap D_i$ for $i = 1, 2, \ldots, m$. Then $X = X_1 \cup X_2 \cup \cdots \cup X_m$. Since X is independent in M, each X_i is independent in D_i and so it does not contain parallel edges. Since $M(H_i)$ is component of M for all $i = 1, 2, \ldots, m$, we may assume that graphs $H_i(i = 1, 2, \ldots, m)$ are vertex-disjoint.

Suppose the rank of D_i is at least 3. Then H_i contains at least four vertices. Since D_i is connected, H_i is 2-connected. It follows that H_i contains an r-circuit and so M contains an r-circuit for some $r \ge 4$. This implies that M has a 4-circuit as a minor, a contradiction. Hence the rank of each D_i is one or two. If the rank of D_i is one, then H_i has exactly two vertices. Therefore H_i is K_2 or a graph in which any two edges are parallel. Thus X_i contains exactly one edge of H_i . Suppose the rank of D_i is two. Then H_i has exactly three vertices and further, H_i is 2-connected and so it contains a triangle, say T. Any edge of H_i which is not in T is parallel to one of the three edges of T. This implies that any two edges of H_i are adjacent. Since X_i is independent, it contains one edge or two non-parallel edges of H_i . Consequently, $|X_i| = 1$ or 2. Let $X_i = \{e_i\}$ if $|X_i| = 1$

and let $X_i = \{e_i, f_i\}$ if $|X_i| = 2$ for i = 1, 2, ..., m. Let $e_i = u_i v_i$. Then $f_i = u_i w_i$ for some $w_i \neq v_i$.

Figure 2

Let H be the graph obtained from H_1, H_2, \ldots, H_m by identifying the vertices u_2, u_3, \ldots, u_m to u_1 (see Figure 2). Then M(G) is isomorphic to M(H). Therefore $M(G)^X$ is isomorphic to $M(H)^X$. Let H^X be the graph obtained from H by adding an additional vertex u and edges uv_i for $i = 1, 2, \ldots, m$, and the edge uw_i if $f_i \in X$ for each i. By Definition 1, $M(H)^X$ is isomorphic to the matroid $M(H^X)$. Thus $M^X = M(G)^X$ is isomorphic to $M(H^X)$. Hence M^X is graphic.

Now, we prove that $M(H^X)$ is cographic, that is, H^X is planar. Assume that $M(H^X)$ is not cographic. Then, by Theorem 11, it has $M(K_5)$ or $M(K_{3,3})$ as a minor. Each of K_5 and $K_{3,3}$ are simple graphs. Also, addition or deletion of parallel edges to a graph does not change its planarity. Further, X does not contain parallel edges. Therefore we may assume that each graph H_i is simple. Hence each H_i is a K_2 or a triangle. Clearly, the graph H is planar. All vertices of H^X other than u_1 and u have degree two or three. However, K_5 has five vertices with degree four. Contractions and deletions in H^X does not increase degree of any vertex in H^X other than u and u_1 . Hence $M(K_5)$ cannot be a minor of $M(H^X)$.

Thus $M(H^X)$ contains $M(K_{3,3})$ as a minor. If H does not contain a triangle, then it is the star $K_{1,m}$ and hence H^X is $K_{2,m}$. Therefore $M(H^X)$ does not have $M(K_{3,3})$ as a minor, a contradiction. Suppose H contains a triangle. The vertices of a triangle in H are u_1 and v_i, w_i for some $i \ge 1$. Hence u is adjacent to v_i or w_i or both in H^X . The graph $M(K_{3,3})$ does not contain a triangle and also has all vertices of degree three. Suppose u is not adjacent to w_i in H^X . Then the degree of w_i in H^X is two. In order to get $K_{3,3}$ as a minor of H^X , we need to delete or contract one edge incident to w_i and then delete the other edge incident to w_i . This also can be done by just deleting both edges incident to w_i . But then the degree of v_i becomes two. Suppose u is adjacent to both v_i and w_i . Then u, v_i, w_i induces a triangle in H^X . Since $M(K_{3,3})$ does not contain a triangle, we need to delete or contract one of the edges in this triangle. The contraction creates a parallel edge which is to be deleted later on. Thus, at least one edge of the triangle with vertices u, v_i, w_i is deleted. Hence the degree of v_i or w_i or both becomes two. It follows that in order to remove triangles from H^X we are left with a subgraph isomorphic to $K_{2,r}$ for some $r \ge 1$. However $M(K_{2,r})$ does not contain $M(K_{3,3})$ as a minor and hence $M(H^X)$ does not contain $M(K_{3,3})$ as a minor, a contradiction. Thus $M(H^X)$ is cographic.

Proof of Theorem 7. If M contains a minor isomorphic to a 4-circuit, then, by Lemma 16, M^X is not regular and hence, by Theorems 10, 11 and 12, M^X is neither graphic nor cographic for every independent set X in M with $|X| \ge 3$. Conversely, if no minor of M is isomorphic to a 4-circuit, then, by Proposition 17, M^X is graphic as well as cographic for any independent set X with $|X| \ge 3$.

Remark 18. As pointed out by one of the referees, Theorem 5 can be proved using graph-theoretic approach, as a binary matroid without $M(K_4)$ -minor is the cycle matroid of some series-parallel graph. There is no change in the proof of the "only if" part of Theorem 5. The referee outlined the proof of the "if" part as follows.

Suppose M = M(G) for some series-parallel graph G. To show that $M(G)^X$ is graphic and cographic, it suffices to show that $M(G)^X$ is graphic and planar. To show that $M(G)^X$ is graphic, it suffices to show that, for any pair of edges e and f of G, there exists a graph G' that is 2-isomorphic to G in which e and f are adjacent. (Showing e and f are adjacent in G' implies that every matroid splitting operation in M(G) can be realized as a graphic splitting operation in G'.) Showing that such a G' exists is easily done by induction: first reduce to the 2-connected case, which is trivial, and then take a 2-sum $\{G_1, G_2\}$ of G. (Such a 2-sum always exists in series-parallel graphs having at least four edges.) If e and f are in G_1 (say), then just apply induction. If e is in G_1 and f in G_2 , then apply induction to e and q in G_1 , and f and q in G_2 , where q is the edge common to G_1 and G_2 . Now, given that e and f are adjacent in G', and G' is series-parallel, it is easy to verify that the graph splitting operation of e and f in G' produces a planar graph, which proves Theorem 5.

Theorem 7 can be handled in a similar fashion. In particular, binary matroids with no 4-circuit minor are graphic, and can be constructed from 1-sums of "fat" triangles (a triangle plus parallel edges) and "fat" edges (an edge plus parallel edges).

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