

## A SUFFICIENT CONDITION FOR GRAPHS TO BE SUPER $k$ -RESTRICTED EDGE CONNECTED<sup>1</sup>

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### Abstract

For a subset  $S$  of edges in a connected graph  $G$ ,  $S$  is a  $k$ -restricted edge cut if  $G - S$  is disconnected and every component of  $G - S$  has at least  $k$  vertices. The  $k$ -restricted edge connectivity of  $G$ , denoted by  $\lambda_k(G)$ , is defined as the cardinality of a minimum  $k$ -restricted edge cut. Let  $\xi_k(G) = \min\{|[X, \bar{X}]| : |X| = k, G[X] \text{ is connected}\}$ , where  $\bar{X} = V(G) \setminus X$ . A graph  $G$  is super  $k$ -restricted edge connected if every minimum  $k$ -restricted edge cut of  $G$  isolates a component of order exactly  $k$ . Let  $k$  be a positive integer and let  $G$  be a graph of order  $\nu \geq 2k$ . In this paper, we show that if  $|N(u) \cap N(v)| \geq k + 1$  for all pairs  $u, v$  of nonadjacent vertices and  $\xi_k(G) \leq \lfloor \frac{\nu}{2} \rfloor + k$ , then  $G$  is super  $k$ -restricted edge connected.

**Keywords:** graph, neighborhood,  $k$ -restricted edge connectivity, super  $k$ -restricted edge connected graph.

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## 1. TERMINOLOGY AND INTRODUCTION

For graph-theoretical terminology and notation not defined here we follow [1]. We consider finite, undirected and simple graphs. Let  $G$  be a graph with vertex set  $V = V(G)$  and edge set  $E = E(G)$ . The order of  $G$ , denoted by  $\nu = \nu(G)$ , is the number of vertices in  $G$ . The set of neighbors of a vertex  $v$  in a graph  $G$  is denoted by  $N_G(v)$ . If  $G'$  is a subgraph of  $G$  and  $v$  is a vertex of  $G'$ , we define  $N_{G'}(v) = N_G(v) \cap V(G')$ . Unambiguously, we use  $N(v)$  for  $N_G(v)$ . For subsets  $X$  and  $Y$  of  $V(G)$ , we denote by  $[X, Y]$  the set of edges with one end in  $X$  and the other in  $Y$ . An edge cut of  $G$  is a subset of  $E(G)$  of the form  $[X, Y]$ , where  $X$  is a non-empty proper subset of  $V(G)$  and  $Y = V(G) \setminus X$ .

An interconnection network can be conveniently modeled as a graph  $G = (V, E)$ . A classical measurement of the fault tolerance of a network is the edge connectivity  $\lambda(G)$ . The edge connectivity  $\lambda(G)$  of a graph  $G$  is the minimum cardinality of an edge cut of  $G$ . As a more refined index than the edge connectivity, Fàbrega and Fiol [5] proposed the more general concept of  $k$ -restricted edge connectivity. For a subset  $S$  of edges in a connected graph  $G$ ,  $S$  is a  $k$ -restricted edge cut if  $G - S$  is disconnected and every component of  $G - S$  has at least  $k$  vertices. The  $k$ -restricted edge connectivity of  $G$ , denoted by  $\lambda_k(G)$ , is defined as the cardinality of a minimum  $k$ -restricted edge cut. A minimum  $k$ -restricted edge cut is called a  $\lambda_k$ -cut. A connected graph  $G$  is said to be  $\lambda_k$ -connected if  $G$  has a  $k$ -restricted edge cut.

In view of recent studies on  $k$ -restricted edge connectivity, it seems that the larger the  $\lambda_k(G)$ , the more reliable the network [7–8, 10]. So, we expect  $\lambda_k(G)$  to be as large as possible. Clearly, the optimization of  $\lambda_k(G)$  requires an upper bound first and so the optimization of  $k$ -restricted edge connectivity draws a lot of attention. For details, the readers can refer to [2–4, 6, 11, 13, 15]. For any positive integer  $k$ , let  $\xi_k(G) = \min\{|[X, \bar{X}]| : |X| = k, G[X] \text{ is connected}\}$ . A  $\lambda_k$ -connected graph  $G$  is said to be optimally  $k$ -restricted edge connected, for short  $\lambda_k$ -optimal, if  $\lambda_k(G) = \xi_k(G)$ .

A  $\lambda_k$ -connected graph  $G$  is super  $k$ -restricted edge connected, for short super- $\lambda_k$ , if every minimum  $k$ -restricted edge cut of  $G$  isolates a component of order exactly  $k$ . The sufficient conditions of super- $\lambda_k$  have been studied by several authors, see [9, 12, 14]. Let  $G$  be a  $\lambda_k$ -connected graph with  $\lambda_k(G) \leq \xi_k(G)$ . By definition, if  $G$  is a super- $\lambda_k$  graph, then  $G$  must be a  $\lambda_k$ -optimal graph. However, the converse is not true. For example, a cycle of length at least  $2k + 2$  is a  $\lambda_k$ -optimal graph that is not super- $\lambda_k$ .

**Definition 1.1.** Let  $H_1, H_2$  be two complete graphs with  $V(H_1) = \{x_1, x_2, x_3\}$ ,  $V(H_2) = \{y_1, y_2, y_3, z_1, z_2\}$  and let  $M = \{x_1y_1, x_1z_1, x_2y_2, x_2z_2, x_3z_2, x_3y_3\}$ . Set  $H_8 = (H_1 \cup H_2) + M$  and  $W_8 = \{H_8, H_8 - y_1z_1\}$ . The graph  $H_8$  is shown in

Figure 1. The heavy edge between  $A$  and  $B$  indicates that each vertex in  $A$  and each vertex in  $B$  are adjacent.

**Definition 1.2.** Let  $H_1, H_2$  be two complete graphs with  $V(H_1) = \{x_1, x_2, x_3\}$ ,  $V(H_2) = \{y_1, y_2, y_3, z_1, z_2, z_3\}$  and let  $M = \{x_i y_i, x_i z_i : i = 1, 2, 3\}$ . Set  $H_9^1 = (H_1 \cup H_2) + M$  and  $W_9 = \{H_9^1 - M' : M' \subseteq \{y_1 z_1, y_2 z_2, y_3 z_3\}\}$ . The graph  $H_9^1$  is shown in Figure 2. The heavy edge between  $A_i$  and  $A_j$  ( $i \neq j, i, j = 1, 2, 3$ ) indicates that each vertex in  $A_i$  and each vertex in  $A_j$  are adjacent.

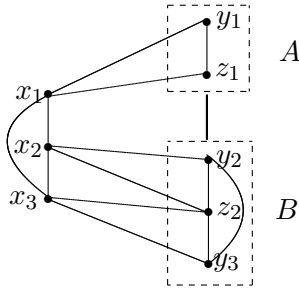


Figure 1. The graph  $H_8$ .

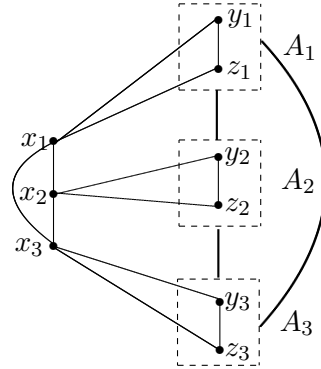


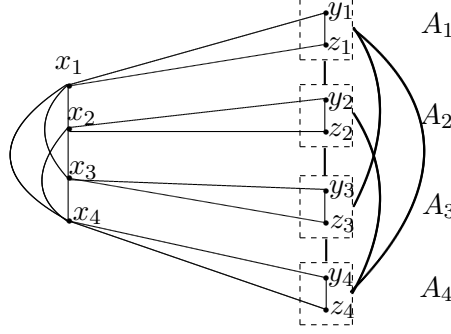
Figure 2. The graph  $H_9^1$ .

**Definition 1.3.** Let  $H_1, H_2$  be two complete graphs with  $V(H_1) = \{x_1, x_2, x_3, x_4\}$ ,  $V(H_2) = \{y_1, y_2, y_3, y_4, z_1, z_2, z_3, z_4\}$ , and let  $M = \{x_i y_i, x_i z_i : i = 1, 2, 3, 4\}$ . Set  $H_{12} = (H_1 \cup H_2) + M$  and  $W_{12} = \{H_{12} - M' : M' \subseteq \{y_1 z_1, y_2 z_2, y_3 z_3, y_4 z_4\}\}$ . Set  $E_1 = \{y_1 z_1, y_2 z_2, y_3 z_3, y_4 z_4\}$ . We define  $W_0 = H_{12}$  and  $W_i$  as the graph obtained from  $H_{12}$  by deleting  $i$  edges of  $E_1$ , where  $i = 1, 2, 3, 4$ . Set  $\mathcal{W} = \{W_0, W_1, W_2, W_3, W_4\}$ . The graph  $H_{12}$  is shown in Figure 3. The heavy edge between  $A_i$  and  $A_j$  ( $i \neq j, i, j = 1, 2, 3, 4$ ) indicates that each vertex in  $A_i$  and each vertex in  $A_j$  are adjacent.

Set  $\mathcal{W}' = W_8 \cup W_9 \cup W_{12}$ . In [12], Wang *et al.* gave the following sufficient condition for a graph to be super- $\lambda_2$ .

**Theorem 1.4** [12]. *Let  $G$  be a graph of order  $\nu \geq 4$ . If  $|N(u) \cap N(v)| \geq 3$  for all pairs  $u, v$  of nonadjacent vertices and  $\xi(G) \leq \lfloor \frac{\nu}{2} \rfloor + 2$ , then  $G$  is super- $\lambda_2$  or in  $\mathcal{W}'$ .*

In this article, we extend the above result to super- $\lambda_k$  with  $k \geq 3$ , and present a neighborhood condition for a graph to be super- $\lambda_k$ .

Figure 3. The graph  $H_{12}$ .

## 2. MAIN RESULTS

Let  $G$  be a  $\lambda_k$ -connected graph, and let  $S$  be a  $\lambda_k$ -cut of  $G$ . It has been shown in [14] that there exists  $X \subset V(G)$  such that  $G[X]$  and  $G[Y]$  are both the connected induced subgraphs of orders at least  $k$  and  $S = [X, Y]$ , where  $Y = \bar{X} = V(G) \setminus X$ . Let  $x$  be a vertex of  $G$ . We define  $S(x)$  as the set of edges of  $S$  incident with  $x$ . Furthermore, we define  $X_k = \{x \in X : |S(x)| \geq k\}$ ,  $Y_k = \{y \in Y : |S(y)| \geq k\}$ ,  $X_i = \{x \in X : |S(x)| = i\}$ ,  $Y_i = \{y \in Y : |S(y)| = i\}$ , where  $i = 0, 1, 2, \dots, k-1$ .

In order to prove our main result, we first give some useful lemmas.

**Lemma 2.1** [14]. *Let  $k$  be a positive integer. If  $G$  is a complete graph of order  $\nu \geq 2k$ , then  $G$  is super- $\lambda_k$ .*

**Lemma 2.2** [11]. *Let  $k \geq 3$  be an integer and let  $G \notin \mathcal{W}$  be a graph of order  $\nu \geq 2k$ . If each pair  $u, v$  of nonadjacent vertices satisfies  $|N(u) \cap N(v)| \geq k$  and  $\xi_k(G) \leq \lfloor \frac{\nu}{2} \rfloor + k$ , then  $G$  is  $\lambda_k$ -optimal.*

**Theorem 2.3.** *Let  $k \geq 3$  be an integer and  $G$  be a graph of order  $\nu \geq 2k$ . If  $|N(u) \cap N(v)| \geq k+1$  for all pairs  $u, v$  of nonadjacent vertices and  $\xi_k(G) \leq \lfloor \frac{\nu}{2} \rfloor + k$ , then  $G$  is super- $\lambda_k$  or  $G \in \mathcal{W}$ .*

**Proof.** If  $G$  contains no nonadjacent vertices, then, by Lemma 2.1, we are done. Therefore, we only consider the case that there exist nonadjacent vertices in  $G$  below. By Lemma 2.2,  $G$  is  $\lambda_k$ -optimal. That is,  $\lambda_k(G) = \xi_k(G)$ . Suppose that  $G$  is neither super- $\lambda_k$  nor in  $\mathcal{W}$ . Then there exists a  $\lambda_k$ -cut  $S = [X, Y]$  such that  $|X| \geq k+1$  and  $|Y| \geq k+1$ .

**Claim 1.** *There exists a vertex  $x \in X$  such that  $|S(x)| \leq k$ , and there exists a vertex  $y \in Y$  such that  $|S(y)| \leq k$ .*

**Proof.** Suppose, on the contrary, that for each  $x \in X$ , we have  $|S(x)| \geq k + 1$ . Let  $H$  be a connected subgraph with order  $k$  of  $G[X]$ . Then

$$\begin{aligned}
 \xi_k(G) &\leq \sum_{u \in V(H)} |S(u)| + \sum_{u \in X \setminus V(H)} |N(u) \cap V(H)| \\
 (1) \quad &\leq \sum_{u \in V(H)} |S(u)| + k|X \setminus V(H)| < \sum_{u \in V(H)} |S(u)| + (k+1)|X \setminus V(H)| \\
 &\leq \sum_{u \in V(H)} |S(u)| + \sum_{v \in X \setminus V(H)} |S(v)| = |S| = \lambda_k(G),
 \end{aligned}$$

contradicting the fact that  $\lambda_k(G) = \xi_k(G)$ .  $\square$

**Claim 2.**  $X_0 = Y_0 = \emptyset$ .

**Proof.** We assume that  $Y_0 \neq \emptyset$ , say  $y_0 \in Y_0$ . By Claim 1, there exists a vertex  $x \in X$  such that  $|S(x)| \leq k$ . It is easy to see that  $x, y_0$  are nonadjacent vertices in  $G$ , and  $|N(x) \cap N(y_0)| \leq k$ , a contradiction to the hypothesis.

So,  $Y_0 = \emptyset$ . By the symmetry, we have  $X_0 = \emptyset$ .  $\square$

Without loss of generality, assume that  $|X| \geq |Y| \geq k + 1$ . Then we can deduce that

$$(2) \quad \left\lceil \frac{\nu}{2} \right\rceil \leq |X| \leq |[X, Y]| = \lambda_k(G) = \xi_k(G) \leq \left\lfloor \frac{\nu}{2} \right\rfloor + k$$

and

$$(3) \quad \left\lceil \frac{\nu}{2} \right\rceil - k \leq |Y| = \nu - |X| \leq \left\lfloor \frac{\nu}{2} \right\rfloor.$$

**Claim 3.**  $|X_1| \geq 3$  when  $\nu$  is odd, and  $|X_1| \geq 1$  when  $\nu$  is even.

**Proof.** Recall that  $|X| \geq |Y| \geq k + 1$ . We have  $\nu \geq 2k + 3$  when  $\nu$  is odd, and  $\nu \geq 2k + 2$  when  $\nu$  is even. Combining this with the fact

$$2 \left\lceil \frac{\nu}{2} \right\rceil - |X_1| \leq 2|X| - |X_1| \leq |[X, Y]| \leq \left\lfloor \frac{\nu}{2} \right\rfloor + k,$$

we have  $|X_1| \geq 3$  when  $\nu$  is odd, and  $|X_1| \geq 1$  when  $\nu$  is even.  $\square$

**Claim 4.**  $Y_1 = \emptyset$ .

**Proof.** Suppose that  $Y_1 \neq \emptyset$ . Let  $y_1 \in Y_1$  and  $N(y_1) \cap X = \{x_1\}$ . Then, for any  $x \in X \setminus \{x_1\}$ , we have

$$\begin{aligned}
 k + 1 &\leq |N(x) \cap N(y_1)| = |N(x) \cap N(y_1) \cap X| + |N(x) \cap N(y_1) \cap Y| \\
 &\leq |N(x) \cap Y| + |N(y_1) \cap X| = |N(x) \cap Y| + 1,
 \end{aligned}$$

which implies that  $|N(x) \cap Y| \geq k$ . Hence,

$$k \left( \left\lceil \frac{\nu}{2} \right\rceil - 1 \right) + 1 \leq \sum_{x \in X \setminus \{x\}} |N(x) \cap Y| + 1 \leq |[X, Y]| \leq \left\lfloor \frac{\nu}{2} \right\rfloor + k.$$

Combining this with  $k \geq 3$ , we can deduce that

$$4 \leq k + 1 \leq \left\lfloor \frac{\nu}{2} \right\rfloor \leq \frac{2k-1}{k-1} = 2 + \frac{1}{k-1} < 3,$$

a contradiction. □

**Claim 5.**  $Y_2 = \emptyset$ .

**Proof.** By contradiction, suppose that  $Y_2 \neq \emptyset$ . By Claim 3, we have  $|X_1| \geq 1$ , say  $x_1 \in X_1$  and  $N(x_1) \cap Y = \{y'\}$ . Then, for any  $y \in Y \setminus \{y'\}$ , we have

$$k + 1 \leq |N(x_1) \cap N(y)| \leq |N(x_1) \cap Y| + |N(y) \cap X| = 1 + |N(y) \cap X|,$$

and so  $|N(y) \cap X| \geq k \geq 3$ . It implies that  $|Y_2| = 1$ , and so  $Y_2 = \{y'\}$ . Let  $N(y') \cap X = \{x_1, x_2\}$ . For any  $x \in X \setminus \{x_1, x_2\}$ , we can deduce that

$$k + 1 \leq |N(x) \cap N(y')| \leq |N(x) \cap Y| + |N(y') \cap X| = |N(x) \cap Y| + 2,$$

which implies that  $|N(x) \cap Y| \geq k - 1$ . Therefore,

$$(4) \quad (k-1) \left( \left\lceil \frac{\nu}{2} \right\rceil - 2 \right) + 2 \leq (k-1)(|X| - 2) + 2 \leq |[X, Y]| \leq \left\lfloor \frac{\nu}{2} \right\rfloor + k.$$

Consider the case that  $\nu$  is odd. By (4), we have

$$(k-2) \left\lfloor \frac{\nu}{2} \right\rfloor < 2k-3,$$

and so

$$4 \leq k + 1 \leq \left\lfloor \frac{\nu}{2} \right\rfloor < 2 + \frac{1}{k-2} \leq 3,$$

a contradiction. So,  $|X| = 5, |Y| = 4$  and  $k = 3$ . It follows that  $8 = 2|Y| < |[X, Y]| \leq \left\lfloor \frac{\nu}{2} \right\rfloor + k = 7$ , a contradiction.

Consider the case that  $\nu$  is even. By (4), we have

$$(k-2) \left\lfloor \frac{\nu}{2} \right\rfloor \leq 3k-4,$$

and so

$$4 \leq k + 1 \leq \left\lfloor \frac{\nu}{2} \right\rfloor \leq 3 + \frac{2}{k-2},$$

which implies that  $\nu = 8$  or  $\nu = 10$ . Since  $|Y| \geq k + 1$  and  $Y_0 = Y_1 = \emptyset$  and  $|Y_2| = 1$ , we obtain that

$$2(k + 1) \leq 2|Y| < |[X, Y]| \leq \left\lfloor \frac{\nu}{2} \right\rfloor + k = 5 + k.$$

Hence,  $k < 3$ , a contradiction.  $\square$

Let  $m$  be the minimum integer such that  $Y_m \neq \emptyset$ . By Claims 2, 4 and 5, we obtain that  $m \geq 3$ . By Claim 3, we can choose a vertex  $x_1 \in X_1$ . Let  $N(x_1) \cap Y = \{y'\}$ . Then, for any  $y \in Y \setminus \{y'\}$ , we have

$$|N(y) \cap X| \geq k.$$

By (3), we can deduce that

$$(5) \quad k \left( \left\lceil \frac{\nu}{2} \right\rceil - k - 1 \right) + m \leq k(|Y| - 1) + |N(y') \cap X| \leq |[X, Y]| \leq \left\lfloor \frac{\nu}{2} \right\rfloor + k.$$

By (5) and the fact  $m \geq 3$ , we have

$$2k + 2 \leq \nu \leq 2k + 3 + \frac{4k - 2m + 2}{k - 1} \leq 2k + 7.$$

It follows that

$$3(k + 1) \leq 3|Y| \leq |[X, Y]| \leq \left\lfloor \frac{\nu}{2} \right\rfloor + k \leq 2k + 3,$$

a contradiction.  $\blacksquare$

The graphs defined in the following example show that the bound in Theorem 2.3 is tight.

**Example 2.4.** Suppose  $k \geq 3$  is a positive integer. Let  $G_1$  and  $G_2$  be two complete graphs with  $V(G_1) = \{u_1, u_2, \dots, u_{k+1}\}$  and  $V(G_2) = \{v_1, v_2, \dots, v_{2k^2}\}$ . We define  $\mathcal{F}_k = \{G' : V(G') = V(G_1) \cup V(G_2) \text{ and } |N(u) \cap V(G_2)| = k \text{ for any } u \in V(G_1)\}$ . Set  $\mathcal{W}^* = \{G_1 \cup G_2 \cup G_3 : G_3 \in \mathcal{F}_k\}$ . Let  $G \in \mathcal{W}^*$ . Clearly,  $V(G) = V(G_1) \cup V(G_2)$  and  $|N(u) \cap V(G_2)| = k$  for any  $u \in V(G_1)$ . Since  $2k^2 = \nu(G_2) > |[V(G_1), V(G_2)]| = (k+1)k$ , there exists  $v \in V(G_2)$  such that  $|N(v) \cap V(G_1)| = 0$ . This implies that  $u$  and  $v$  are nonadjacent for any  $u \in V(G_1)$ . If  $u$  is not adjacent to  $v$ , then by the definition of  $G$ ,  $|N(u) \cap N(v)| = k$ .

Let  $H$  be a connected subgraph of  $G$  with order  $k$  such that  $\xi_k(G) = |[V(H), \overline{V(H)}]|$ . Assume that  $|V(H) \cap V(G_1)| = s$  and  $|V(H) \cap V(G_2)| = t$ . If  $s = k$ , then  $|[V(H), \overline{V(H)}]| = (k+k)k - (k-1)k = k^2 + k$ . If  $0 < s < k$ , then  $|[V(H), \overline{V(H)}]| \geq (k+1-s)s + (2k^2-t)t > s + 2k^2t - (k-1)t = k^2t + k + k^2t - kt >$

$k^2 + k$ . If  $s = 0$ , then  $t = k$ , and so  $||[V(H), \overline{V(H)}]| \geq (k+1-s)s + (2k^2-t)t > k^2 + 2k$ . Hence,  $\xi_k(G) = k^2 + k$ . Combining this with  $\frac{\nu(G)}{2} + k = \frac{k+1+2k^2}{2} + k$ , we have that  $\xi_k(G) \leq \left\lfloor \frac{\nu(G)}{2} \right\rfloor + k$ . By Lemma 2.2,  $G$  is  $\lambda_k$ -optimal. It implies that  $\lambda_k(G) = \xi_k(G) = k^2 + k$ . Since  $||[V(G_1), V(G_2)]| = (k+1)k$ ,  $||[V(G_1), V(G_2)]|$  is a  $\lambda_k$ -cut of  $G$ . Note that  $|V(G_1)| > k$  and  $|V(G_2)| > k$ . Hence,  $G$  is not super- $\lambda_k$ .

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