

## ALL TIGHT DESCRIPTIONS OF 3-STARS IN 3-POLYTOPES WITH GIRTH 5

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### Abstract

Lebesgue (1940) proved that every 3-polytope  $P_5$  of girth 5 has a path of three vertices of degree 3. Madaras (2004) refined this by showing that every  $P_5$  has a 3-vertex with two 3-neighbors and the third neighbor of degree at most 4. This description of 3-stars in  $P_5$ s is tight in the sense that no its parameter can be strengthened due to the dodecahedron combined with the existence of a  $P_5$  in which every 3-vertex has a 4-neighbor.

We give another tight description of 3-stars in  $P_5$ s: there is a vertex of degree at most 4 having three 3-neighbors. Furthermore, we show that there are only these two tight descriptions of 3-stars in  $P_5$ s.

Also, we give a tight description of stars with at least three rays in  $P_5$ s and pose a problem of describing all such descriptions. Finally, we prove a structural theorem about  $P_5$ s that might be useful in further research.

**Keywords:** 3-polytope, planar graph, structure properties,  $k$ -star.

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## 1. INTRODUCTION

Let  $\delta$  be the minimum vertex degree, and  $g$  be the girth (the length of a shortest cycle) in a given 3-polytope. We recall that the graphs of 3-polytopes are precisely the 3-connected planar graphs due to Steinitz's famous theorem [22].

The degree of a vertex  $v$  or a face  $f$ , which is the number of edges incident with  $v$  or  $f$  in a 3-polytope, is denoted by  $d(v)$  or  $d(f)$ , respectively. A  $k$ -vertex is a vertex  $v$  with  $d(v) = k$ . By  $k^+$  or  $k^-$  we denote any integer not smaller or not greater than  $k$ , respectively. Hence, a  $k^+$ -vertex  $v$  satisfies  $d(v) \geq k$ , etc.

Let  $\mathbf{P}_5$  be the set of (finite) 3-polytopes with  $g = 5$ , and  $\mathbf{P}_5^*$  be the 3-polytopes with  $\delta = 5$ . We note that  $\mathbf{P}_5$  and  $\mathbf{P}_5^*$  are in 1–1 correspondence due to the vertex-face duality, so structural results on  $\mathbf{P}_5$  are easily translated to the language of  $\mathbf{P}_5^*$  and vice versa.

The early interest of researchers to the structure of  $\mathbf{P}_5^*$  was motivated by the Four Color Problem. Already in 1904, Wernicke [23] proved that every graph in  $\mathbf{P}_5^*$  contains a 5-vertex adjacent to a  $6^-$ -vertex, and Franklin [12] in 1922 strengthened this to the existence of at least two  $6^-$ -neighbors. Franklin's result is precise, as shown by putting a vertex inside each face of the dodecahedron and joining it with the five boundary vertices.

In 1940, Lebesgue [17] gave, in particular, an approximate description of the neighborhoods of 5-vertices in  $\mathbf{P}_5^*$  and proved that every 3-polytope in  $\mathbf{P}_5$  has a 5-face incident with four 3-vertices and the fifth  $5^-$ -vertex, which face includes a path of three 3-vertices. In 2004, Madaras [19] refined the last mentioned result by Lebesgue as follows.

**Theorem 1** (Madaras [19]). *Every 3-polytope with girth 5 has a 3-vertex adjacent to two 3-vertices and another vertex of degree at most 4, which is tight.*

In dual terms, Theorem 1 reads equivalently as follows.

**Theorem 2** (Madaras [19]). *Every 3-polytope with minimum degree 5 has a 3-face adjacent to two 3-faces and another face of degree at most 4, which is tight.*

Nowadays, a lot of structural results on  $\mathbf{P}_5$  and  $\mathbf{P}_5^*$  can be found in the literature; for example, see [1–9, 13–16, 18–21].

We need a few definitions. A  $k$ -star  $S_k(v; v_1, \dots, v_k)$  in a 3-polytope consists of the central vertex  $v$  and its neighbor vertices  $v_1, \dots, v_k$ , in no particular order. A  $k^+$ -star has at least  $k$  rays. In this note, we deal with  $3^+$ -stars in  $\mathbf{P}_5$ .

We say that  $S_k(v; v_1, \dots, v_k)$  is an  $(a; b_1, \dots, b_k)$ -star, or a star of type  $(a; b_1, \dots, b_k)$ , where  $b_1 \geq \dots \geq b_k$ , if  $d(v) = a$  and  $d(v_i) = b_i$  whenever  $1 \leq i \leq k$ .

A set  $D = \{T_1, \dots, T_n\}$  of star-types is a *description* for  $\mathbf{P}_5$  if every graph in  $\mathbf{P}_5$  has a star of one of the types from  $D$ . A description  $D$  is *tight* if all descriptions  $D - T_i$  with  $1 \leq i \leq n$  are invalid, which means that for every  $i$  there is a graph in  $\mathbf{P}_5$  that has no stars of types from  $D - T_i$ , but it has a star of type  $T_i$ .

Madaras [19] constructed a polytope in  $\mathbf{P}_5$  in which every 3-vertex has at least one 4<sup>+</sup>-neighbor (see Figure 1). In what follows, this construction is called  $M_{04}$ . The tightness of the description of 3-stars given in Theorem 1 is implied by the dodecahedron (which has no (3; 4, 3, 3)-stars) together with  $M_{04}$ .

One of the purposes of our paper is to augment Theorem 1 by giving another tight description of 3-stars in  $\mathbf{P}_5$ .

**Theorem 3.** *Every 3-polytope with girth 5 has a vertex of degree at most 4 having three 3-neighbors, which is a tight description of 3-stars in  $\mathbf{P}_5$ .*

Here, the tightness follows from the facts that the dodecahedron has no stars of type (4; 3, 3, 3), while  $M_{04}$  avoids the (3; 3, 3, 3)-star.

Our next result is that there are only two tight descriptions of 3-stars in  $\mathbf{P}_5$ .

**Theorem 4.** *In  $\mathbf{P}_5$ , there are precisely two tight descriptions of 3-stars:*

- (a)  $D_{04} = \{(3; 3, 3, 3), (3; 4, 3, 3)\}$ , given by Theorem 1 (Madaras [19]), and
- (b)  $D_{15} = \{(3; 3, 3, 3), (4; 3, 3, 3)\}$ , given by Theorem 3.

A 3-vertex is *weak* if it has two 3-neighbors and a 4-neighbor. For further attempts to find tight descriptions of 3<sup>+</sup>-stars in  $\mathbf{P}_5$ , the following structural result seems useful.

**Theorem 5.** *Every 3-polytope of girth 5 has one of the following configurations (see Figure 2):*

- (a) *a 3-vertex with three 3-neighbors;*
- (b) *a 4-vertex with four 3-neighbors, at least one of which is weak;*
- (c) *a 4-vertex with a 4-neighbor and three 3-neighbors, at least two of which are weak.*

It is easy to see that Theorem 5 implies Theorems 1 and 3, as well as the next fact.

**Corollary 6.** *The following tight descriptions of 3<sup>+</sup>-stars in  $\mathbf{P}_5$  hold:*

- (i)  $D_{04} = \{(3; 3, 3, 3), (3; 4, 3, 3)\}$  (Madaras [19]);
- (ii)  $D_{15} = \{(3; 3, 3, 3), (4; 3, 3, 3)\}$ ;
- (iii)  $D_1 = \{(3; 3, 3, 3), (4; 3, 3, 3, 3), (4; 4, 3, 3, 3)\}$ .

The tightness of  $D_{04}$  and  $D_{15}$  follows from the dodecahedron combined with  $M_{04}$ . In Figure 3, we see a half of a graph  $H_1$  whose every vertex has a 4-neighbor. (Note that in  $M_{04}$  only each 3-vertex has a 4-neighbor.) So the type (4; 4, 3, 3, 3) cannot be dropped from  $D_1$ , while the first and second types cannot be dropped due to the dodecahedron and  $M_{04}$ , respectively.

It looks like the following tempting problem is hard.

**Problem 7.** Find all tight descriptions of  $3^+$ -stars in  $\mathbf{P}_5$ .

In fact, we were not able to solve even the following two much more modest problems.

**Problem 8.** Is it true that  $D_2 = \{(3; 3, 3, 3), (3; 4, 4, 4), (4; 3, 3, 3, 3)\}$  is a tight description of  $3^+$ -stars in  $\mathbf{P}_5$ ?

We note that if  $D_2$  is a description, then it is tight due to the dodecahedron,  $H_1$ , and  $M_{04}$ .

**Problem 9.** Is it true that  $\{(3; 3, 3, 3), (3; 4, 4, 3), (3; 4, 4, 4), (4; 3, 3, 3, 3), (4; 4, 4, 3, 3), (4; 4, 4, 4, 3)\}$  is a description of  $3^+$ -stars in  $\mathbf{P}_5$ ?

In Section 2, we illustrate the constructions  $M_{04}$  and  $H_1$  and configurations in Theorem 5. Section 3 contains proofs of Theorems 5 and 4. Note that Theorem 5 implies Theorem 1 and is proved shorter than Theorem 1 in Madaras [19].

## 2. CONSTRUCTIONS $M_{04}$ AND $H_1$ AND CONFIGURATIONS IN THEOREM 5

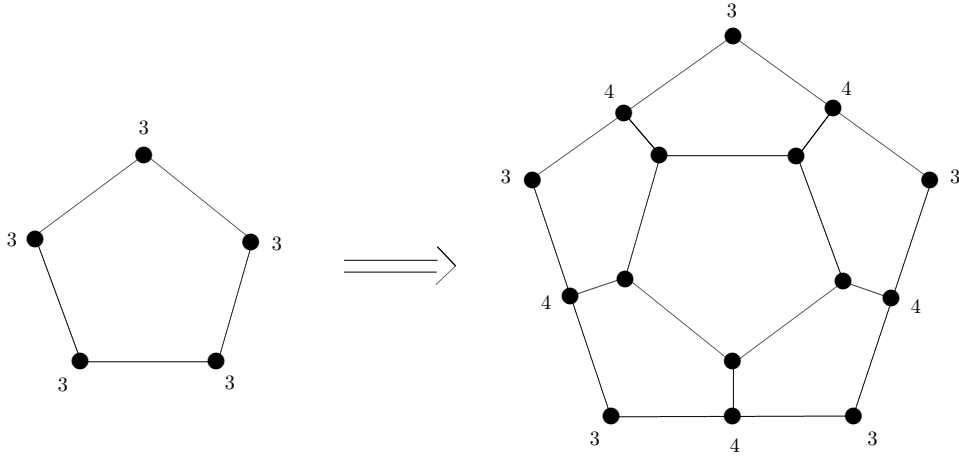


Figure 1. (Madaras [19])  $M_{04}$ : every 3-vertex has a 4-neighbor.

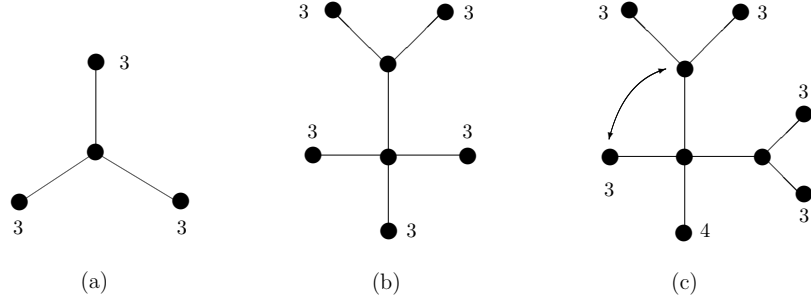


Figure 2. Configurations in Theorem 5.

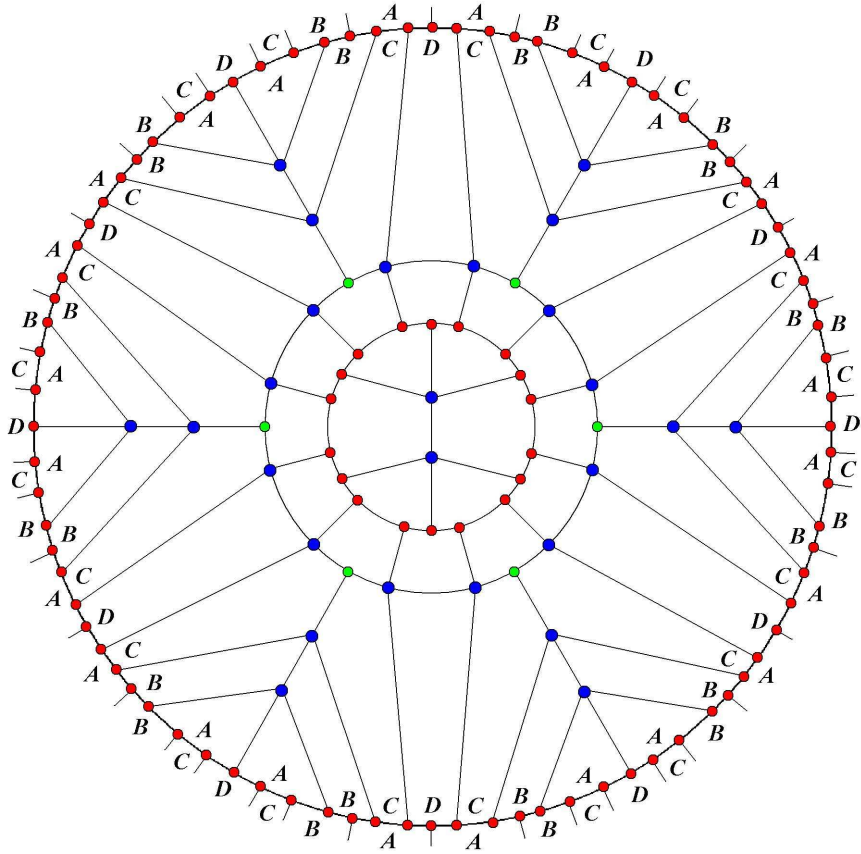


Figure 3. (A half of)  $H_1$ : every vertex has a 4-neighbor.

## 3. PROOFS

## 3.1. Proving Theorem 5

Suppose that  $P$  is a counterexample to Theorem 5. Euler's formula  $|V| - |E| + |F| = 2$  for  $P$  may be written as

$$(1) \quad \sum_{v \in V} \left( \frac{3d(v)}{2} - 5 \right) \leq \sum_{v \in V} \left( \frac{3d(v)}{2} - 5 \right) + \sum_{f \in F} (d(f) - 5) = -10,$$

where  $V$ ,  $E$ , and  $F$  are the sets of vertices, edges and faces of  $P$ , respectively.

Let us assign a *charge*  $\mu(v) = \frac{3d(v)}{2} - 5$  to every vertex  $v$  in  $V$ , so that the charge of vertices, depending on their degree, is  $-\frac{1}{2}$ ,  $1$ ,  $\frac{5}{2}$ , and so on. Using the properties of  $P$  as a counterexample, we define a local redistribution of  $\mu$ 's, preserving their sum, such that the *new charge*  $\mu'(v)$  is non-negative for all  $v \in V$ . This will contradict the fact that the sum of the new charges is at most  $-10$ , according to (1). Our rules of discharging are:

**R1.** Every 3-vertex  $v$  receives from every adjacent  $4^+$ -vertex either  $\frac{1}{2}$  if  $v$  is weak or  $\frac{1}{4}$  otherwise.

**R2.** Every 4-vertex receives  $\frac{1}{2}$  from every adjacent  $5^+$ -vertex.

To complete the proof of Theorem 5, we first observe that every 3-vertex  $v$  receives from its  $4^+$ -neighbors either  $\frac{1}{2}$  if  $v$  is weak, or at least  $2 \times \frac{1}{4}$  otherwise, so  $\mu'(v) \geq -\frac{1}{2} + \frac{1}{2} = 0$ .

Now if  $d(v) = 4$ , then  $\mu(v) = 1$ , and we are easily done unless  $v$  has at least three 3-neighbors but no  $5^+$ -neighbors. If so, then  $v$  either gives  $4 \times \frac{1}{4}$  to its four 3-neighbors, or at most  $\frac{1}{2} + 2 \times \frac{1}{4}$  to its three 3-neighbors, which yields  $\mu'(v) \geq 1 - 1 = 0$ .

Finally, for  $d(v) \geq 5$  we have  $\mu'(v) \geq \frac{3d(v)}{2} - 5 - d(v) \times \frac{1}{2} = d(v) - 5 \geq 0$ , as desired.

## 3.2. Proving Theorem 4

Suppose that  $D$  is a tight description of 3-stars for  $\mathbf{P}_5$ . Since the dodecahedron has 3-stars only of the type  $(3; 3, 3, 3)$ , it follows that  $(3; 3, 3, 3) \in D$ . Now we look at the graph  $M_{04}$ ; it has 3-stars only of the types  $(3; 4, 3, 3)$  and  $(4; 3, 3, 3)$ . As  $M_{04}$  obeys  $D$ , at least one of these types should appear in  $D$ .

*Case 1.*  $(3; 4, 3, 3) \in D$ . Since  $D' = \{(3; 3, 3, 3), (3; 4, 3, 3)\}$  is a tight description by Theorem 1, we have  $D = \{(3; 3, 3, 3), (3; 4, 3, 3)\}$  due to the minimality of  $D$ .

*Case 2.*  $(4; 3, 3, 3) \in D$ . Since  $D' = \{(3; 3, 3, 3), (4; 3, 3, 3)\}$  is a tight description by Theorem 3, we have  $D = \{(3; 3, 3, 3), (4; 3, 3, 3)\}$ , as desired.

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