# A NOTE ON VERTEX COLORINGS OF PLANE GRAPHS ${ }^{1}$ 

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#### Abstract

Given an integer valued weighting of all elements of a 2-connected plane graph $G$ with vertex set $V$, let $c(v)$ denote the sum of the weight of $v \in V$ and of the weights of all edges and all faces incident with $v$. This vertex coloring of $G$ is proper provided that $c(u) \neq c(v)$ for any two adjacent vertices $u$ and $v$ of $G$. We show that for every 2 -connected plane graph there is such a proper vertex coloring with weights in $\{1,2,3\}$. In a special case, the value 3 is improved to 2 .


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## 1. Introduction

We consider a simple, finite, and undirected graph $G$ with vertex set $V$ and edge set $E$. If $G$ is plane, then $F$ denotes the set of faces of $G$. The set $V \cup E$ and the set $V \cup E \cup F$ is the set of elements of $G$. For further notation and terminology, we refer to [7] and [10].

Colorings of a graph defined by weightings (labellings) of elements of that graph are popular topics in research. Here we will consider vertex colorings of $G$, this is a mapping $c$ of $V$ into the set of positive integers ([13]).

For each vertex $v \in V$, let $S(v)$ be a nonempty subset of the set of elements of $G$ and $\mathcal{S}=\{S(v) \mid v \in V\}=\{S(v)\}$. For a positive integer $k$ we consider a weighting of $\bigcup_{v \in V} S(v)$, this is a mapping $w$ from $\bigcup_{v \in V} S(v)$ into the set of integers $i$ with $1 \leq i \leq k$.

Furthermore, we define the corresponding vertex coloring $c$ by $c(v)$ and $c(v)=$ $\sum_{x \in S(v)} w(x)$ for $v \in V$. The vertex coloring $c$ is called irregular if $c(u) \neq c(v)$ for any two vertices $u$ and $v$ of $G$, and proper, if $c(u) \neq c(v)$ for any two adjacent vertices $u$ and $v$ of $G$, unless $S(u)=S(v)$.

Moreover, for fixed $\mathcal{S}$, let $k_{i}(\mathcal{S})$ and $k_{p}(\mathcal{S})$ be the minimum $k$ such that there exists a corresponding irregular coloring and a corresponding proper coloring, respectively. If $S=\bigcup_{v \in V} S(v)$ is ordered and the $k$-th member of $S$ gets the weight $2^{k}$, then $k_{p}(\mathcal{S}) \leq k_{i}(\mathcal{S})<2^{|S|}$.

Note that $k_{i}(\{\{v\}\})=|V|$ and $k_{p}(\{\{v\}\})=\chi(G)$, where $\chi(G)$ is the chromatic number of $G([13])$.

Modifying the sets $S(v)$, next we will survey several coloring concepts considered so far. The case $\mathcal{S}=\left\{N_{V}(v)\right\}$, where $N_{V}(v)$ denotes the set of vertices adjacent to $v \in V$, was recently considered in [6] and [9]. The following result of Norin can be found there.

Theorem 1 [6]. Let $G$ be a graph with chromatic number $\chi(G)=r$ and coloring number $\operatorname{col}(G)=k$. Let $n_{1}, \ldots, n_{r}$ be pairwise coprime integers with $n_{i} \geq k$ for $i=1, \ldots, r$. Then $k_{p}\left(\left\{N_{V}(v)\right\}\right) \leq n_{1} n_{2} \cdots n_{r}$.
By taking $n_{1}=7, n_{2}=8, n_{3}=9$, and $n_{4}=11$, it follows from Theorem 1 that $k_{p}\left(\left\{N_{V}(v)\right\}\right) \leq 5544$ for a planar graph $G$. In [6], this bound is improved to 468. Moreover, it is shown there that $k_{p}\left(\left\{N_{V}(v)\right\}\right) \leq 36$ for a 3-colorable planar graph, that $k_{p}\left(\left\{N_{V}(v)\right\}\right) \leq 4$ for a planar graph of girth $\geq 13$, and that $k_{p}\left(\left\{N_{V}(v)\right\}\right) \leq 2$ if $G$ is a tree.

Recently [4], it was proved that $k_{p}\left(\left\{N_{V}[v]\right\}\right) \leq \Delta^{2}-\Delta+1$ for a graph with maximum degree $\Delta$, where $N_{V}[v]=\{v\} \cup N_{V}(v)$ for $v \in V, k_{p}\left(\left\{N_{V}[v]\right\}\right) \leq \Delta-1$ if $G$ is bipartite, and $k_{p}\left(\left\{N_{V}[v]\right\}\right) \leq 2$ if $G$ is a tree.

Let $N_{E}(v)$ denote the set of edges incident with $v \in V$. Karoński, Łuczak, and Thomason posed the following conjecture for graphs having no component $K_{2}$ 。

Conjecture 2 [16]. $k_{p}\left(\left\{N_{E}(v)\right\}\right) \leq 3$.
We remark that Conjecture 2 is true for 3-colorable graphs [16] and $k_{p}\left(\left\{N_{E}(v)\right\}\right) \leq$ 30 is shown in [1]. This bound is reduced to 16 in [2] and to 13 in [18]. The best known result is $k_{p}\left(\left\{N_{E}(v)\right\}\right) \leq 5$ by Kalkowski, Karoński, and Pfender [15].

Note that $k_{i}\left(\left\{N_{E}(v)\right\}\right)$ is called the irregularity strength of $G[8,11]$. The latest results and a survey about this topic can be found in [9].

The case $\mathcal{S}=\left\{\{v\} \cup N_{E}(v)\right\}$ was firstly introduced by Bača, Jendrol', Miller, and Ryan in [5]. Here, $k_{i}\left(\left\{\{v\} \cup N_{E}(v)\right\}\right)$ is called the total vertex irregularity strength. Motivated by [5] and [15], Przybyło and Woźniak posed the following conjecture.

Conjecture 3 [17]. $k_{p}\left(\left\{\{v\} \cup N_{E}(v)\right\}\right) \leq 2$.
In addition, Przybyło and Woźniak showed
Theorem 4 [17]. $k_{p}\left(\left\{\{v\} \cup N_{E}(v)\right\}\right) \leq \min \{11,1+\lfloor\chi(G) / 2\rfloor\}$.
It follows from Theorem 4 that Conjecture 3 is true for 3 -colorable graphs. The breakthrough is done by Kalkowski [6] showing that $k_{p}\left(\left\{\{v\} \cup N_{E}(v)\right\}\right) \leq 3$ by using the weights for the vertices in $\{1,2\}$ and the weights for the edges in $\{1,2,3\}$.

Motivated by the above mentioned conjectures and results and by the paper of Wang and Zhu [19], Jendrol' and Šugerek [12] introduced a concept for a 2connected plane graph $G$ by considering $k_{p}\left(\left\{\{v\} \cup N_{E}(v) \cup N_{F}(v)\right\}\right)$, where $N_{F}(v)$ denotes the set of faces of $G$ incident with $v$. In [4], $k_{i}\left(\left\{\{v\} \cup N_{E}(v) \cup N_{F}(v)\right\}\right)$ is called the entire vertex irregularity strength.

Jendrol' and Šugerek formulated the following conjecture
Conjecture 5 [12]. If $G$ is a 2-connected plane graph, then $k_{p}\left(\left\{\{v\} \cup N_{E}(v) \cup\right.\right.$ $\left.\left.N_{F}(v)\right\}\right) \leq 2$.

In Section 2, we will show that $k_{p}\left(\left\{\{v\} \cup N_{E}(v) \cup N_{F}(v)\right\}\right) \leq 3$ for each 2-connected plane graph $G$ and that Conjecture 5 is true, if the subgraph of $G$ spanned by the vertices of degree at least 4 is bipartite.

## 2. Results

Jendrol' and Šugerek proved
Theorem 6 [12]. If $G$ is a 2 -connected plane graph, then $k_{p}\left(\left\{\{v\} \cup N_{E}(v) \cup\right.\right.$ $\left.\left.N_{F}(v)\right\}\right) \leq \chi(G)$.

We will show

Theorem 7. If $G$ is a 2 -connected plane graph, then $k_{p}\left(\left\{\{v\} \cup N_{E}(v) \cup N_{F}(v)\right\}\right) \leq$ 3.

Proof. From the Four Color Theorem [3], we know that $\chi(G) \leq 4$. If $\chi(G) \leq 3$, then we are done by Theorem 6 .

Suppose $\chi(G)=4$ and let $f(v) \in\{1,2,3,4\}$ for $v \in V$ be a proper vertex coloring of $G$. Now we associate the following weights to the members of $S=$ $V \cup E \cup F$ : put $w(v)=f(v)$ for $v \in V(G), w(e)=2$ for $e \in E$, and $w(\alpha)=2$ for $\alpha \in F$. Clearly, $c(v) \equiv f(v)(\bmod 4)$ for $v \in V$, hence, $c(u) \neq c(v)$ if $u$ and $v$ are adjacent vertices of $G$.

Next we gradually relabel vertices weighted with weight 4 . Therefore, let $u$ and $v$ be two adjacent vertices of $G$ connected by the edge $e$ with $w(u)=4$, $w(v) \leq 3$ and $w(e)=2$. We relabel $u, v$, and $e$ as follows.

If $w(v)=2$ or 3 , then the new labels are $w^{*}(u)=3, w^{*}(v)=w(v)-1$, and $w^{*}(e)=3$. If $w(v)=1$, then $w^{*}(u)=1, w^{*}(v)=2$ and $w^{*}(e)=1$.

Note that $c(v) \equiv f(v)(\bmod 4)$ for each $v \in V$ after this relabeling and that each edge incident with a remaining vertex of weight 4 still has weight 2 (i.e. the relabelling can proceed).

Conjecture 5 is true for every 2-connected bipartite plane graph, see Theorem 6. We prove the next theorem supporting Conjecture 5, too.

Theorem 8. Let $G$ be a 2-connected plane graph and $H$ be the subgraph of $G$ induced by all vertices of degree at least 4. If $H$ is empty or bipartite, then $k_{p}\left(\left\{\{v\} \cup N_{E}(v) \cup N_{F}(v)\right\}\right) \leq 2$ and there is a corresponding vertex coloring $c$ such that the weights of all faces of $G$ equal 2 .

Proof. Case 1: $H$ is the empty graph. If $G$ is isomorphic to $K_{4}$, then the assertion is easily checked.

Hence, we may assume that $\chi(G) \leq 3$. Using Theorem 4, we may assume that there is a coloring $c^{\prime}$ realizing $k_{p}\left(\left\{\{v\} \cup N_{E}(v)\right\}\right) \leq 2$. We extend $c^{\prime}$ to a coloring $c$ realizing $k_{p}\left(\left\{\{v\} \cup N_{E}(v) \cup N_{F}(v)\right\}\right) \leq 2$ by the additional weights $w(\alpha)=2$ for every face $\alpha \in F$. Note that all vertices of $G$ have degree 2 or 3 and that $c(v)=c^{\prime}(v)+2 d$ for a vertex $v \in V$ of degree $d$. Hence, $c(u) \neq c(v)$ for any two adjacent vertices $u, v \in V$ of the same degree.

It remains to consider adjacent vertices $u, v \in V$ of degree 2 and 3 , respectively. Let $e$ be the edge connecting $u$ and $v$. Since $w(\alpha)=2$ for every face $\alpha \in F, c(u) \leq w(e)+8$ and $c(v) \geq w(e)+9$ and we are done in Case 1.

Case 2: $H$ is a non-empty graph. Let $V(H)$ and $E(H)$ denote the vertex set and the edge set of $H$, respectively. Let the graph $G^{\prime}$ be obtained from $G$ by simultaneously replacing each vertex $v \in V(H)$ of degree $d$ as follows. Since $G$ is embedded into the plane, let $e_{1}, \ldots, e_{d} \in E$ be the edges of $E$ incident
with $v$ in clockwise order. Delete $v$, add the cycle on $\left\{v_{1}, \ldots, v_{d}\right\}$ with edge set $\left\{v_{1} v_{2}, v_{2} v_{3}, \ldots, v_{d-1} v_{d}, v_{d} v_{1}\right\}$, and let $e_{i}$ be incident with $v_{i}$ for $i=1, \ldots, d$. Although $v$ is replaced by $v_{i}$, the edge $e_{i}$ is considered to be an edge of $G$ and an edge of $G^{\prime}$ as well $(i=1, \ldots, d)$, thus, $E \subset E\left(G^{\prime}\right)$. A vertex in $V \backslash V(H)$ is also considered to be a vertex of $G^{\prime}$, hence, $V \backslash V(H) \subset V\left(G^{\prime}\right)$. Obviously, $G^{\prime}=\left(V\left(G^{\prime}\right), E\left(G^{\prime}\right), F\left(G^{\prime}\right)\right)$ is a plane 2-connected graph of maximum degree 3 .

By Case $1, G^{\prime}$ admits a weighting $w^{\prime}$ with $S^{\prime}(v)=\{v\} \cup N_{E\left(G^{\prime}\right)}(v) \cup N_{F\left(G^{\prime}\right)}(v)$ for $v \in V\left(G^{\prime}\right)$ and $k_{p}\left(\left\{\{v\} \cup N_{E\left(G^{\prime}\right)}(v) \cup N_{F\left(G^{\prime}\right)}(v) \mid v \in V\left(G^{\prime}\right)\right\}\right) \leq 2$ for the corresponding vertex coloring $c^{\prime}$ and $w^{\prime}(\alpha)=2$ for every face $\alpha \in F\left(G^{\prime}\right)$. We will define step by step a weight $w(x) \in\{1,2\}$ for all $x \in S=V \cup E \cup F$ as follows.

For each face $\alpha \in F$ we put $w(\alpha)=2$. If $v \in V \backslash V(H)$ and $e \in E \backslash E(H)$, then let $w(v)=w^{\prime}(v)$ and $w(e)=w^{\prime}(e)$, respectively. Note that the weight $w(x)$ is already defined for all $x \in S(v)=\{v\} \cup N_{E}(v) \cup N_{F}(v)$, if $v \in V \backslash V(H)$, hence, $c(u) \neq c(v)$ for two adjacent vertices of $V \backslash V(H)$.

Furthermore, let $w(e)=2$ for all $e \in E(H)$. It remains to define $w(v)$ for $v \in V(H)$ and, finally, to show that $c(u) \neq c(v)$ for two adjacent vertices $u \in V \backslash V(H)$ and $v \in V(H)$. Therefore, consider an arbitrary component (a bipartite graph) $K$ of $H$ and let $v_{0}$ be a fixed vertex of $K$. If $v \in V(K)$, then let $\operatorname{dist}(v)$ be the distance of $v$ to $v_{0}$ in $K$. Note that $\operatorname{dist}\left(v_{0}\right)=0$ and that $\operatorname{dist}(u) \neq \operatorname{dist}(v)$ for any two adjacent vertices $u, v \in V(K)$, otherwise we have an odd cycle in $K$.

We put $w\left(v_{0}\right)=2$ and determine $c\left(v_{0}\right)$. Consider $u \in V(K)$ with $\operatorname{dist}(u)>0$ and let $w(v)$ and, hence, also $c(v)$ be already defined for all $v \in V(K)$ with $\operatorname{dist}(v)<\operatorname{dist}(u)$.

Since $w(x)$ is defined for $x \in S(u) \backslash\{u\}$, let $t \in\{1,2\}$ be chosen such that $t+\sum_{x \in S(u) \backslash\{u\}} w(x) \not \equiv\left(c\left(v_{0}\right)+\operatorname{dist}(u)\right)(\bmod 2)$ and put $w(u)=t$. Note that the colors $c(x)$ of all vertices $x$ of $K$ having the same value of dist $(x)$ are of the same parity. Thus, we may assume now that $w(v)$ is defined for all $v \in V(H)$ and that $c(u) \neq c(v)$ for any two adjacent vertices $u, v \in V(H)$.

Eventually, let $u \in V \backslash V(H)$ and $v \in V(H)$ be connected by the edge $e$ and it remains to show that $c(u) \neq c(v)$. Since the degree of $u$ is at most 3, $c(u)=\sum_{x \in S(u)} w(x) \leq w(e)+12$. Let $v$ have degree $d \geq 4$ in $G$. If $v=v_{0}$ then $w\left(v_{0}\right)=2$. If $v \neq v_{0}$, then at least one edge of $H$ is incident with $v$ and such an edge has weight 2. In both cases, it follows $c(v) \geq 2 d+(d-1)+2+w(e)=$ $3 d+1+w(e) \geq w(e)+13$, since $w(\alpha)=2$ for each face $\alpha \in F$.

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