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## LIST COLORING OF COMPLETE MULTIPARTITE GRAPHS

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#### Abstract

The choice number of a graph G is the smallest integer k such that for every assignment of a list L(v) of k colors to each vertex v of G, there is a proper coloring of G that assigns to each vertex v a color from L(v). We present upper and lower bounds on the choice number of complete multipartite graphs with partite classes of equal sizes and complete r-partite graphs with r-1 partite classes of order two.

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### 1. INTRODUCTION

All graphs considered here are finite, undirected, without loops and multiple edges. Let G be a graph with the vertex set V(G) and the edge set E(G). A list assignment to the vertices of a graph G is the assignment of a list L(v) of colors C to every vertex  $v \in V(G)$ . A k-list assignment is a list assignment such that  $|L(v)| \ge k$  for every vertex v. An L-coloring of G is a function  $f: V(G) \to C$  such that  $f(v) \in L(v)$  for all  $v \in V(G)$  and  $f(v) \ne f(w)$  for each edge  $vw \in E(G)$ . If G has an L-coloring, then G is said to be L-colorable. If for any k-list assignment L there exists an L-coloring, then G is k-choosable. The choice number Ch(G)of a graph G is the minimum integer k such that G is k-choosable.

The study of choice numbers of graphs was initiated by Vizing [7] and by Erdös, Rubin and Taylor [3]. For a survey about the list coloring problem we refer to [6] and [8]. In this paper we focus on the choice numbers of complete multipartite graphs.

# 2. Complete Multipartite Graphs with Partite Classes of Different Sizes

Let  $K_{n_1,n_2,\ldots,n_r}$  be the complete *r*-partite graph with the partite classes of order  $n_1, n_2, \ldots, n_r$ . A well-known result of Erdös, Rubin and Taylor [3] says that the choice number of the complete *r*-partite graph  $K_{2,2,\ldots,2}$  is *r*. Gravier and Maffray [4] proved that also  $Ch(K_{3,3,2,\ldots,2}) = r$  for  $r \geq 3$ . Enomoto *et al.* [2] showed that  $Ch(K_{5,2,\ldots,2}) = r+1$  and the choice number of the complete *r*-partite graph  $K_{4,2,\ldots,2}$  is equal to *r* if *r* is odd, and r+1 if *r* is even.

Motivated by these results we study the value  $Ch(K_{n,2,\dots,2})$  for any positive integer n. In the proof of Theorem 1 we write L(S) for the union  $\bigcup_{v \in S} L(v)$ where  $S \subseteq V(G)$ . If C is a set of colors, then  $L \setminus C$  denotes the list assignment obtained from L by removing the colors in C from each L(v) where  $v \in V(G)$ . First, we show that the graph  $K_{(t+2)(t+3)/2,2,\dots,2}$  is (r+t)-choosable.

**Theorem 1.** Let t be a positive integer and let G be a complete r-partite graph with one partite class of order (t+2)(t+3)/2 and r-1 partite classes of order two. Then  $Ch(G) \leq r+t$ .

**Proof.** Let  $V_1$  be the partite class of G of order (t+2)(t+3)/2 and let  $V_i = \{v_i, w_i\}, 2 \leq i \leq r$ , be the partite classes of order two. Let  $L_1$  be any (r+t)-list assignment to the vertices of G. We prove that G is  $L_1$ -colorable. We distinguish three cases:

Case 1.  $t \ge r - 1$ .

We can color the vertices of  $V_2, V_3, \ldots, V_r$  with 2r - 2 different colors. Since  $|L_1(v)| \ge 2r - 1$  for every vertex  $v \in V_1$ , we can color the vertices of  $V_1$  as well.

Case 2. There exists a color  $c \in L_1(v_i) \cap L_1(w_i)$  for some  $i \in \{2, 3, \ldots, r\}$ . It is easy to show by induction on r that G is  $L_1$ -colorable. The step r = 1 is trivial. For the induction step, assign c to both  $v_i$  and  $w_i$ , and remove c from the lists of the remaining vertices. By the induction hypothesis, the remaining vertices can be colored with colors from the revised lists.

Case 3.  $t \leq r-2$  and  $L_1(v_i) \cap L_1(w_i) = \emptyset$  for every  $i \in \{2, 3, \ldots, r\}$ . We prove by contradiction that G is  $L_1$ -colorable. Assume that G is not  $L_1$ -colorable. Let L be an (r+t)-list assignment such that G is not L-colorable. Let  $X_j$ ,  $j = 1, 2, \ldots, t$ , be the largest subset of  $V_1 \setminus (\bigcup_{l=1}^{j-1} X_l)$  with  $\bigcap_{v \in X_j} L(v) \neq \emptyset$ . Set  $|X_j| = x_j$  and choose a color  $c_j \in \bigcap_{v \in X_j} L(v)$ . Define  $L' = L \setminus \{c_1, c_2, \ldots, c_t\}$ and  $G' = G \setminus (\bigcup_{l=1}^t X_l)$ . Note that |L'(v)| = r + t for each  $v \in V(G') \cap V_1$  and  $|L'(v_i)|, |L'(w_i)| \geq r$  for any  $i \in \{2, 3, \ldots, r\}$ . Since G is not L-colorable, G' is not L-colorable. It follows that there exists a set of vertices  $T \subseteq V(G')$  such that |L'(T)| < |T|, i.e., L' does not satisfy Hall's condition. Let S denote a maximal subset of V(G') such that |L'(S)| < |S|. We consider two subcases: *Case* 3a.  $|S \cap V_i| \le 1$  for every  $i \in \{2, 3, ..., r\}$ .

Since  $|L'(v_i)|, |L'(w_i)| \ge r$  and  $|S \setminus V_1| \le r - 1$ ,  $S \setminus V_1$  can be colored from the list L'. Further, |L'(v)| = r + t for  $v \in S \cap V_1$ , therefore we can also color the vertices in  $S \cap V_1$ .

Let  $L'' = L' \setminus L'(S)$ . We show that  $G' \setminus S$  is L''-colorable. If  $G' \setminus S$  is not L''colorable, we have a nonempty subset  $S' \subset V(G') \setminus S$  with |L''(S')| < |S'|. Then  $|L'(S \cup S')| = |L'(S)| + |L''(S')| < |S| + |S'|$ , which contradicts the maximality of S.

Case 3b. Both  $v_i, w_i \in S$  for some  $i \in \{2, 3, ..., r\}$ . Then  $|S| > |L'(S)| \ge |L'(v_i)| + |L'(w_i)| \ge 2(r+t) - t$ . Set  $|S| = 2r + t + 1 + \epsilon$ where  $\epsilon \ge 0$ . Clearly,  $|L'(S)| \le 2r + t + \epsilon$ . Let  $S_1 = S \cap V_1$ . We have  $|S_1| \ge |S| - (2r - 2) = t + 3 + \epsilon$ . By the maximality of  $X_t$ , every color in L'(S) appears in the lists of at most  $x_t$  vertices of  $S_1$ . It means that

(1) 
$$(r+t)|S_1| = \sum_{v \in S_1} |L'(v)| \le x_t |L'(S)|.$$

It is evident that  $\sum_{l=1}^{t} x_l + |S_1| \le |V_1| = (t+2)(t+3)/2$ . Hence,  $tx_t + |S_1| \le (t+2)(t+3)/2$ , or equivalently

(2) 
$$x_t \le [(t+2)(t+3)/2 - |S_1|]/t$$

By (1) and (2), we have  $(r+t)|S_1| \leq [(t+2)(t+3)/2 - |S_1|]|L'(S)|/t$ . Since  $|S_1| \geq t+3+\epsilon$  and  $|L'(S)| \leq 2r+t+\epsilon$ , we have  $(r+t)(t+3+\epsilon) \leq [(t+2)(t+3)/2 - (t+3+\epsilon)](2r+t+\epsilon)/t$  which yields  $\frac{t^3}{2} + (3+\epsilon)\frac{t^2}{2} + (r-\frac{1}{2})\epsilon t + (2r+\epsilon)\epsilon \leq 0$ , a contradiction. This finishes the proof.

If t = 1, then  $Ch(K_{6,2,...,2}) \leq r + 1$ . This bound also comes from the result  $Ch(K_{3,3,2,...,2}) = r$  of Gravier and Maffray [4], because the complete *r*-partite graph  $K_{6,2,...,2}$  is a subgraph of the complete (r + 1)-partite graph  $K_{3,3,2,...,2}$ . Since the choice number of the complete *r*-partite graph  $K_{5,2,...,2}$  is equal to r+1, it is clear that  $Ch(K_{6,2,...,2}) = r+1$  as well.

Now we present a lower bound on the choice number of complete r-partite graphs with r-1 partite classes of order at most two.

**Theorem 2.** Let s, r, t be integers such that  $0 \le s < r$  and t > 0. Let G be a complete r-partite graph consisting of one partite class of order  $\binom{2t+s}{t}^2$ , r-s-1 partite classes of order two, and s partite classes of order one. Then  $Ch(G) > \lfloor \frac{r+t-1}{2t+s} \rfloor (2t+s).$ 

**Proof.** Let  $n = \binom{2t+s}{t}^2$  and  $m = \frac{r+t-1}{2t+s}$ . Let G be a complete r-partite graph with the partite classes  $V_1$ ,  $V_i = \{v_i, w_i\}, V_j = \{v_j\}$ , where  $|V_1| = n$ ;  $i = 2, 3, \ldots, r-s$  and  $j = r-s+1, r-s+2, \ldots, r$ . Let  $A_1, A_2, \ldots, A_{2t+s}, B_1, B_2, \ldots, B_{2t+s}$  be

disjoint color sets of order  $\lfloor m \rfloor$  such that  $\bigcup_{i=1}^{2t+s} A_i = A$ ,  $\bigcup_{i=1}^{2t+s} B_i = B$ . We define a list assignment L to V(G) by the following way:

$$L(v_j) = A, \ j = 2, 3, \dots, r,$$
  
 $L(w_i) = B, \ i = 2, 3, \dots, r - s$ 

The lists of colors given to the vertices of  $V_1$  consist of 2t + s different sets  $A_{x_1}, A_{x_2}, \ldots, A_{x_{t+s}}, B_{y_1}, B_{y_2}, \ldots, B_{y_t}$ , where  $x_1, x_2, \ldots, x_{t+s}, y_1, y_2, \ldots, y_t \in \{1, 2, \ldots, 2t + s\}$ . Since the number of vertices in  $V_1$  is  $n = \binom{2t+s}{2t+s} \binom{2t+s}{2t+s}$ , we are able to assign to any two vertices in  $V_1$  different lists.

Note that we get the bound  $Ch(K_{\binom{2t}{t}^2,2,\ldots,2}) \ge r+t$  if s = 0 and r = pt+1 for some odd integer p.

# 3. Complete Multipartite Graphs with Partite Classes of Equal Sizes

Let  $K_{n*r}$  denote the complete multipartite graph with r partite classes of order n. The problem is to determine the value of the choice number  $Ch(K_{n*r})$ . If n = 1, then  $K_{n*r}$  is a clique on r vertices and hence, obviously,  $Ch(K_{1*r}) = r$ . In the previous section we mentioned that  $Ch(K_{2*r}) = r$  as well. Alon [1] established the general bounds  $c_1r \log n \leq Ch(K_{n*r}) \leq c_2r \log n$  for every  $r, n \geq 2$ , where  $c_1, c_2$  are two positive constants. Later, Kierstead [5] solved the problem in the case n = 3. He showed that  $Ch(K_{3*r}) = \lceil \frac{4r-1}{3} \rceil$ . Yang [9] studied the value of  $Ch(K_{4*r})$  and obtained the bounds  $\lfloor \frac{3}{2}r \rfloor \leq Ch(K_{4*r}) \leq \lceil \frac{7}{4}r \rceil$ . We present results giving exact bounds on  $Ch(K_{n*r})$  for large n. In the proof of Theorem 3 we use the following lemma proved in [5].

**Lemma 1.** A graph G is k-choosable if G is L-colorable for every k-list assignment L such that  $|\bigcup_{v \in V(G)} L(v)| < |V(G)|$ .

Let us derive an upper bound on the choice number of complete multipartite graphs with partite classes of equal sizes.

**Theorem 3.** Let 
$$0 < \alpha < n$$
 and let  $x_j = \lfloor (\alpha - \frac{\alpha}{n} \sum_{l=1}^{j-1} x_l) \rfloor + 1$ ,  $j = 1, 2, \ldots, \lfloor \alpha \rfloor$ .  
If  $n \leq \sum_{l=1}^{\lfloor \alpha \rfloor} x_l$ , then  $Ch(K_{n*r}) \leq \lceil \alpha r \rceil$ .

**Proof.** Let  $V_i$ , i = 1, 2, ..., r, be the *i*-th partite class of  $K_{n*r}$ . We prove the result by induction on r. The case r = 1 is trivial. For the induction step consider an  $\lceil \alpha r \rceil$ -list assignment L to the vertices of  $K_{n*r}$ . We prove that if  $n \leq \sum_{l=1}^{\lfloor \alpha \rfloor} x_l$ , then any partite class  $V_i$  can be colored with at most  $\lfloor \alpha \rfloor$  colors.

Assume that  $n = \sum_{l=1}^{\lfloor \alpha \rfloor} x_l$ . In this paragraph we show by induction on j  $(j = 1, 2, \ldots, \lfloor \alpha \rfloor)$ , that there exists a color  $c_j$  which can be used for coloring  $x_j$  vertices of  $V_i$  that have not been colored by  $c_1, c_2, \ldots, c_{j-1}$  yet. Note that  $c_l, c'_l$ , where  $l, l' \in \{1, 2, \ldots, \lfloor \alpha \rfloor\}, l \neq l'$ , do not have to be different.

If j = 1, we have  $x_1 = \lfloor \alpha \rfloor + 1$ . Since  $\sum_{v \in V_i} |L(v)| = \lceil \alpha r \rceil n$  and by Lemma 1,  $|\bigcup_{v \in V(K_{n*r})} L(v)| < rn$ , there exists a color  $c_1$  which appears in the lists of at least  $\lfloor \alpha \rfloor + 1$  vertices of  $V_i$ . Color these vertices with  $c_1$ . Suppose  $j \ge 2$ . We can color  $\sum_{l=1}^{j-1} x_l$  vertices with  $c_1, c_2, \ldots, c_{j-1}$ . The sum of the numbers of colors in the lists of the remaining  $n - \sum_{l=1}^{j-1} x_l$  vertices of  $V_i$  is  $(n - \sum_{l=1}^{j-1} x_l) \lceil \alpha r \rceil$ . Since  $|\bigcup_{v \in V_i} L(v)| < rn$ , there is a color  $c_j$  that appears in the lists of other  $\lfloor (n - \sum_{l=1}^{j-1} x_l) \frac{\alpha}{n} \rfloor + 1 = x_j$  vertices. Hence, we can color these vertices with  $c_j$ . It follows that it is possible to color  $n = \sum_{l=1}^{\lfloor \alpha \rfloor} x_l$  vertices of  $V_i$  with at most  $\lfloor \alpha \rfloor$  different colors.

Clearly, if  $n < \sum_{l=1}^{\lfloor \alpha \rfloor} x_l$ , all the vertices of  $V_i$  can be colored with at most  $\lfloor \alpha \rfloor$  colors too. Let us remove the colors that were employed in coloring  $V_i$  from the lists given to the vertices in  $V(K_{n*r}) \setminus V_i$ . We have at least  $\lceil \alpha r \rceil - \lfloor \alpha \rfloor$  colors. Since  $\lceil \alpha r \rceil - \lfloor \alpha \rfloor \ge \lceil \alpha (r-1) \rceil$ , by applying the induction hypothesis, r-1 partite classes can be colored with  $\lceil \alpha (r-1) \rceil$  colors, i.e., there exists a proper coloring of the vertices in  $V(K_{n*r}) \setminus V_i$  with colors from the revised lists.

Unfortunately, the result presented in Theorem 3 cannot be bounded from above by crlog n, where c is a constant. Theorem 3, for example, yields the upper bounds  $Ch(K_{5*r}) \leq \lceil \frac{5}{2}r \rceil$ ,  $Ch(K_{15*r}) \leq 5r$ ,  $Ch(K_{40*r}) \leq 10r$ ,  $Ch(K_{75*r}) \leq 15r$ and  $Ch(K_{121*r}) \leq 20r$ . One can check that  $10r \approx 6.24r \log 40$ ,  $15r \approx 8r \log 75$ and  $20r \approx 9.6r \log 121$ .

The following result gives a lower bound on  $Ch(K_{n*r})$ .

**Theorem 4.** Let x, t, r, n be integers such that  $x, t, r \ge 2$ ,  $x \ge t$  and  $n = \binom{x}{x-t+1}$ . Then  $Ch(K_{n*r}) > (x-t+1)\lfloor \frac{tr-1}{x} \rfloor$ .

**Proof.** Let  $x, t, r \ge 2, x \ge t, n = \binom{x}{x-t+1}$  and let  $k = (x-t+1)\lfloor \frac{tr-1}{x} \rfloor$ . We show that there exists a k-list assignment L of  $K_{n*r}$  such that  $K_{n*r}$  is not L-colorable.

Let  $V_i$ , i = 1, 2, ..., r, be the *i*-th partite class of  $K_{n*r}$ . Let  $A_1, A_2, ..., A_x$  be a family of disjoint color sets such that  $|A_j| = |A_1|$  or  $|A_j| = |A_1|+1$ , j = 2, 3, ..., x, and  $|\bigcup_{i=1}^x A_j| = tr - 1$ . Obviously,  $|A_j| \ge \lfloor \frac{tr-1}{x} \rfloor$  for any  $j \in \{1, 2, ..., x\}$ .

Define a list assignment L as follows: Let the lists given to the n vertices of every partite class  $V_i$  consist of x - t + 1 different sets  $A_{y_1}, A_{y_2}, \ldots, A_{y_{x-t+1}}, y_1, y_2, \ldots, y_{x-t+1} \in \{1, 2, \ldots, x\}$ , where any two vertices in the same part have different lists. Note that  $|L(v)| \ge (x - t + 1) \lfloor \frac{tr-1}{x} \rfloor$  for each vertex  $v \in V(K_{n*r})$ . Then for any partite class  $V_i$  and any t - 1 colors  $a_j \in A_{y'_j}, j = 1, 2, \ldots, t - 1;$  $y'_j \in \{1, 2, \ldots, x\}$  there is a vertex  $v \in V_i$  having none of the sets  $A_{y'_j}$  in its list. Therefore, in any coloring from these lists, we must use at least t colors on each partite class. Since the number of colors in  $\bigcup_{j=1}^x A_j$  is less than  $tr, K_{n*r}$  is not L-colorable.

Theorem 4 says that if, for instance t = 2, then n = x and  $Ch(K_{n*r}) > (n - 1)\lfloor \frac{2r-1}{n} \rfloor$ . In particular, for n = 5 we have  $Ch(K_{5*r}) > 4\lfloor \frac{2r-1}{5} \rfloor$ . If t = 3, then  $Ch(K_{n*r}) > (x-2)\lfloor \frac{3r-1}{x} \rfloor$ . For example, in the case x = 6 we get  $Ch(K_{15*r}) > 4\lfloor \frac{3r-1}{6} \rfloor = 4\lfloor \frac{r-1}{2} \rfloor$ .

Finally, we present a corollary of Theorem 4 which yields a lower bound in the form  $cr \log n$ .

**Corollary 1.** Let  $r \ge 2$  and  $n = \binom{x}{\lceil x/2 \rceil}$  where  $x \ge 5$ . Then  $Ch(K_{n*r}) > \lfloor \frac{r}{2} \rfloor \lceil \frac{\log_{2,1} n}{2} \rceil$ .

**Proof.** For  $x, t, r \geq 2, x \geq t$  and  $n = \binom{x}{x-t+1}$ , we have  $Ch(K_{n*r}) > (x - t+1)\lfloor \frac{tr-1}{x} \rfloor$ . Let  $t = \lfloor \frac{x}{2} \rfloor + 1$ . Then  $Ch(K_{n*r}) > \lceil \frac{x}{2} \rceil \lfloor \frac{\lfloor x/2 \rfloor r+r-1}{x} \rfloor \geq \lceil \frac{x}{2} \rceil \lfloor \frac{r}{2} \rfloor$ . It is well-known that  $\frac{x^x}{e^{x-1}} \leq x! \leq \frac{(x+1)^{x+1}}{e^x}$  for any x. For  $x \geq 5$ , the following inequalities also hold:  $\frac{2x^x}{e^{x-1}} < x! < \frac{6x^{x+1}}{5e^x}$ . Then  $n = \frac{x!}{\lfloor x/2 \rfloor \lfloor x/2 \rfloor \lfloor x/2 \rfloor \rfloor} < \frac{6x^{x+1}/(5e^x)}{4\lfloor x/2 \rfloor \lfloor x/2 \rfloor \lfloor x/2 \rfloor \lfloor e^{x-2}} \leq \frac{3x^{x+1}}{10\lfloor x/2 \rfloor xe^2} \leq \frac{3x^x x2^x}{10(x-1)^x e^2}$ . Since  $x2^x < 7.6(2.1)^x$  for any x (note that  $7.5(2.1)^x < x2^x$  for  $19 \leq x \leq 22$ ) and  $(\frac{x}{x-1})^x < 3.1$  for any  $x \geq 5$ , we have  $n < \frac{7.068(2.1)^x}{e^2} < (2.1)^x$ . Consequently,  $\log_{2.1}n < x$ , hence  $Ch(K_{n*r}) > \lfloor \frac{r}{2} \rfloor \lceil \frac{\log_{2.1}n}{2} \rceil$  for any  $n = \binom{x}{\lfloor x/2 \rfloor}$  where  $x \geq 5$ .

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