

## DISTANCE INDEPENDENCE IN GRAPHS

J. LOUIS SEWELL

*Department of Mathematical Sciences*  
*University of Alabama in Huntsville*  
*Huntsville, AL 35899 USA*

**e-mail:** louis.sewell@gmail.com

AND

PETER J. SLATER

*Department of Mathematical Sciences*  
*and Computer Sciences Department*  
*University of Alabama in Huntsville*  
*Huntsville, AL 35899 USA*

### Abstract

For a set  $D$  of positive integers, we define a vertex set  $S \subseteq V(G)$  to be  $D$ -independent if  $u, v \in S$  implies the distance  $d(u, v) \notin D$ . The  $D$ -independence number  $\beta_D(G)$  is the maximum cardinality of a  $D$ -independent set. In particular, the independence number  $\beta(G) = \beta_{\{1\}}(G)$ . Along with general results we consider, in particular, the odd-independence number  $\beta_{ODD}(G)$  where  $ODD = \{1, 3, 5, \dots\}$ .

**Keywords:** independence number, distance set.

**2010 Mathematics Subject Classification:** 05C12, 05C38, 05C69, 05C70, 05C76.

### 1. INTRODUCTION

A vertex subset  $S$  of a graph  $G = (V, E)$  is independent if no two vertices in  $S$  are adjacent. Alternatively, one can say that  $S \subseteq V(G)$  is independent if for each edge  $e = \{u, v\}$  in  $E(G)$  we have either (1)  $|S \cap e| \leq 1$  or, equivalently, (2)  $|S \cap e| < |e| = 2$ . The difference in viewpoint between (1)

and (2) for general set systems (hypergraphs) led to different generalized graphical independence, covering, domination, enclaveless, ... parameters as discussed in Sinko and Slater [6, 7].

Likewise, defining independence (and other parameters) in terms of distance leads to the generalizations presented here. In particular, vertex subset  $S \subseteq V(G)$  is independent if for any two vertices  $x$  and  $y$  in  $S$  the distance between  $x$  and  $y$  satisfies  $d(x, y) > 1$ , that is,  $d(x, y) \neq 1$  or, equivalently,  $d(x, y) \notin \{1\}$ . More generally,  $S \subseteq V(G)$  is a  $k$ -packing if for any distinct  $x$  and  $y$  in  $S$  we have distance the  $d(x, y) > k$ , that is,  $d(x, y) \notin [k] = \{1, 2, \dots, k\}$ . In general, for any set  $D \subseteq \mathbb{Z}^+$  of positive integers we say  $S \subseteq V(G)$  is  $D$ -independent if for any two vertices  $x$  and  $y$  in  $S$  we have  $d(x, y) \notin D$ . The  $D$ -independence number  $\beta_D(G)$  is the maximum cardinality of a  $D$ -independent set. Thus, the normal independence number  $\beta(G)$  satisfies  $\beta(G) = \beta_{\{1\}}(G)$ ; the packing number  $\rho(G) = \beta_{\{1,2\}}(G)$ ; and the  $k$ -packing number  $\rho_k(G) = \beta_{[k]}(G)$ .

For a new example, consider  $D = \{1, 4, 5\}$  and the path  $P_n = v_1, v_2, \dots, v_n$ , shown in Figure 1.1. Let vertex set  $S \subseteq V(P_n)$  be a  $\{1, 4, 5\}$ -independent set and  $k = \min\{i | v_i \in S\}$ . Then,  $S^* = \{v_{i-(k-1)} | v_i \in S\}$  is a  $\{1, 4, 5\}$ -independent set with the same cardinality as  $S$ . So, without loss of generality, suppose  $v_1 \in S$ . In this case, the vertices labeled above by  $*_1$  in Figure 1.1(a) (namely,  $v_2, v_5$ , and  $v_6$ ) cannot be in  $S$  since the distance from one of these vertices to  $v_1$  is in  $\{1, 4, 5\}$ . More generally, in Figure 1.1 a  $*_i$  above a vertex indicates that it is at a distance in  $\{1, 4, 5\}$  from  $v_i$ , and  $v_i$  is in  $S$ . If we successively, greedily place the next possible vertex to the right of  $v_1$  in  $S$ , then the result is the pattern shown in Figure 1.1(a). Notice that here  $|S| = \lceil \frac{1}{4}n \rceil$ , showing that  $\beta_{\{1,4,5\}}(P_n) \geq \lceil \frac{1}{4}n \rceil$ .

Now suppose  $v_1 \in S$ , but we do not take a greedy approach to adding vertices to  $S$ . In particular, we can use every third vertex as in Figure 1.1(b). Note that  $|S| = \lceil \frac{1}{3}n \rceil$ . To show that  $\beta(P_n)$  is essentially  $\frac{1}{3}n$ , we can associate with each  $v \in S$  two vertices from  $V(P_n) \setminus S$ . Consider vertex  $v_i \in S$  with  $i \leq n - 5$ . Then we cannot have  $v_{i+1}$ ,  $v_{i+4}$  nor  $v_{i+5}$  in  $S$ . If  $v_{i+2} \notin S$ , then associate  $v_{i+1}$  and  $v_{i+2}$  with  $v_i$ . Otherwise, associate  $v_{i+1}$  and  $v_{i+5}$  with  $v_i$ . Note that here  $v_{i+3}$  and  $v_{i+4}$  are associated with  $v_{i+2}$ . It follows that  $\beta_{\{1,4,5\}}(P_n) = \lceil \frac{1}{3}n \rceil$  for  $n \geq 4$ .

The minimum cardinality of a maximally independent vertex set  $S \subseteq V(G)$  is the lower-independence number  $i(G)$ . More generally, for each  $D \subseteq \mathbb{Z}^+$  a vertex set  $S \subseteq V(G)$  is maximally  $D$ -independent if  $S$  is  $D$ -independent and for each  $v \in V(G) \setminus S$  there is a vertex  $w \in S$  such that  $d(v, w) \in D$ .

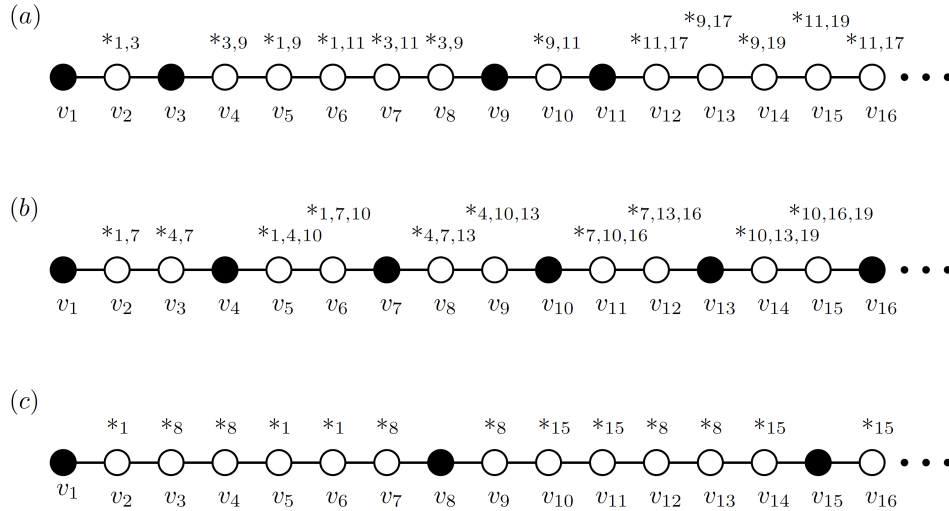


Figure 1.1.  $\beta_{\{1,4,5\}}(P_n)$  and  $i_{\{1,4,5\}}(P_n)$ .

We define the *lower-D-independence number* of  $G$ , denoted  $i_D(G)$ , to be the minimum cardinality of a maximally D-independent set. For example, for the tree  $T_{1,k}$  in Figure 1.2,  $\{v, w, x\}$  is a maximally  $\{3, 5\}$ -independent set. In fact,  $i_{\{3,5\}}(T_{1,k}) = 3$ , while  $\beta_{\{3,5\}}(T_{1,k}) = k + 2$ . Clearly,  $i_D(G) \leq \beta_D(G)$  for all  $G$  and  $D \subseteq \mathbb{Z}^+$ .

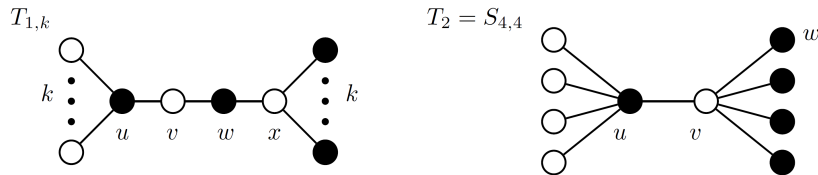


Figure 1.2. Illustrating  $i_D(T)$  and  $\beta_D(T)$ .

For  $T_2$  in Figure 1.2, the set of all endpoints forms a  $\beta(T_2)$ -set, while the set containing an endpoint, say  $w$ , and all vertices at distance two from  $w$  form an  $i(T_2)$ -set. Thus,  $\beta_{\{1\}}(T_2) = \beta(T_2) = 8$  and  $i_{\{1\}}(T_2) = i(T_2) = 5$ . Also, notice that a set formed by any pair of adjacent vertices of  $T_2$  or a set

formed by endpoints at distance three are the only maximal  $\{2\}$ -independent sets of  $T_2$ . Thus,  $\beta_{\{2\}}(T_2) \equiv i_{\{2\}}(T_2) = 2$ . (The symbol  $\equiv$  denotes strong equality as introduced in Haynes and Slater [3]. See also [10, 11]. Here, for a graph  $G$ ,  $\beta_{\{2\}}(G) \equiv i_{\{2\}}(G)$  is equivalent to  $S$  is a  $\beta_{\{2\}}(G)$ -set  $\Leftrightarrow S$  is an  $i_{\{2\}}(G)$ -set.) Finally, note that  $N[u]$  and  $N[v]$  are the only two maximal  $\{3\}$ -independent sets of  $T_2$ . This shows that  $\beta_{\{3\}}(T_2) \equiv i_{\{3\}}(T_2) = 6$ .

For path  $P_n$  we have  $\beta(P_n) = \lceil \frac{1}{2}n \rceil$ ,  $i(P_n) = \lceil \frac{1}{3}n \rceil$  and  $\beta_{\{1,4,5\}}(P_n) = \lceil \frac{1}{3}n \rceil$ . We can see that  $i_{\{1,4,5\}}(P_n)$  is approximately  $\frac{1}{7}n$ . Note that if  $S \subseteq V(P_n)$  with  $|S| = t$ , then at most  $6t$  vertices in  $V(P_n) \setminus S$  are at a distance in  $\{1, 4, 5\}$  from  $S$ . Thus  $|S| < \frac{1}{7}n$  implies  $S$  is not maximally  $\{1, 4, 5\}$ -independent, and so  $i_{\{1,4,5\}}(P_n) \geq \frac{1}{7}n$ . As seen in Figure 1.1(c), if  $S$  contains any two vertices  $v_i, v_{i+7} \in V(P_n)$  at distance 7, then the vertices  $v_{i+1}$  through  $v_{i+6}$  cannot be in  $S$ . This shows that  $i_{\{1,4,5\}}(P_n)$  is upper bounded by essentially  $\frac{1}{7}n$ .

For one more example, the Petersen graph  $P$ , we have  $i(P) = 3$ ,  $\beta(P) = 4$  and  $i_{\{2\}}(P) \equiv \beta_{\{2\}}(P) = 2$ .

In Section 2 we focus on the odd-independence case where  $D = \{1, 3, 5, 7, \dots\}$ , and in Section 3 we introduce  $D$ -covering,  $D$ -enclaveless,  $D$ -dominating, and  $D$ -irredundant sets.

## 2. ODD-INDEPENDENCE

Observing that the set  $D$  can be infinite, an intriguing example is to consider the set  $D = \{1, 3, 5, 7, \dots\}$  of odd positive integers. We call a set  $S \subseteq V(G)$  an *odd-independent set* if  $u, v \in S$  implies  $d(u, v)$  is not odd. Also, we define the odd-independence number, denoted  $\beta_{ODD}(G)$ , to be the maximum cardinality of an odd-independent set  $S \subseteq V(G)$  and the lower-odd-independence number, denoted  $i_{ODD}(G)$ , to be the minimum cardinality of a maximal odd-independent set  $S \subseteq V(G)$ .

Consider the path  $P_n = v_1, v_2, \dots, v_n$ , and let  $S \subseteq V(P_n)$  be a maximal odd-independent set. Then for  $v_i, v_j \in S$  the distance  $d(v_i, v_j)$  is even; that is,  $i - j \equiv 0 \pmod{2}$ . This shows that  $v_i \in S$  implies  $S \subseteq \{v_j \in V(P_n) | i - j \equiv 0 \pmod{2}\}$ . Since  $S$  is maximal,  $v_i \in S$  and  $i - j \equiv 0 \pmod{2}$  implies  $v_j \in S$ . Hence, there are exactly two maximal odd-independent subsets of  $V(P_n)$ ,  $S_1 = \{v_i \in V(P_n) | i = 1, 3, 5, \dots\}$  and  $S_2 = \{v_i \in V(P_n) | i = 2, 4, 6, \dots\} = V(P_n) \setminus S_1$ . Since for all  $n$ ,  $|S_1| = \lceil \frac{n}{2} \rceil \geq |S_2| = \lfloor \frac{n}{2} \rfloor$ , we have that  $\beta_{ODD}(P_n) = \lceil \frac{n}{2} \rceil$  and  $i_{ODD}(P_n) = \lfloor \frac{n}{2} \rfloor$ .

More generally, let  $G$  be any connected bipartite graph with partite sets  $S$  and  $V(G) \setminus S$ . As with  $P_n$ , there are exactly two maximal odd-independent subsets of  $G$ . To see that these are precisely the partite sets  $S$  and  $V(G) \setminus S$ , notice that the distance between any pair of vertices in  $S$ , or any pair of vertices in  $V(G) \setminus S$ , is even and the distance from any vertex in  $S$  to any vertex in  $V(G) \setminus S$  is odd. This gives us the following theorem.

**Theorem 2.1.** *For any connected bipartite graph  $G$  with partite sets  $S$  and  $V(G) \setminus S$ , we have  $\beta_{\text{ODD}}(G) = \max\{|S|, |V(G) \setminus S|\}$  and  $i_{\text{ODD}}(G) = \min\{|S|, |V(G) \setminus S|\}$ .*

**Proposition 2.2.**  $\beta_{\text{ODD}}(P_n) = \lceil \frac{n}{2} \rceil$ ,  $i_{\text{ODD}}(P_n) = \lfloor \frac{n}{2} \rfloor$ ,  $\beta_{\text{ODD}}(C_{2k}) \equiv i_{\text{ODD}}(C_{2k}) = k$  and  $\beta_{\text{ODD}}(C_{2k+1}) \equiv i_{\text{ODD}}(C_{2k+1}) = \lceil \frac{k+1}{2} \rceil$ .

**Proof.** The result for paths follows from the discussion above. An immediate consequence of Theorem 2.1 is the result for even cycles. Now consider the odd cycle  $C_{2k+1}$  with  $V(C_{2k+1}) = v_1, v_2, \dots, v_{2k+1}$ , and let  $S \subseteq V(C_{2k+1})$  be a maximal odd-independent set. We show that  $S \subset \{v_t, v_{t+1}, \dots, v_{t+k}\}$  for some  $t = 1, 2, \dots, 2k+1$  where subscripts are taken modulo  $2k+1$ . Assume  $v_i, v_j \in S$  with  $i < j$ . Taking  $t = i$  if  $j - i \leq k$  and  $t = j$  otherwise will show the result. Let vertex  $v_h$  also be in  $S$  with  $1 \leq h < i < j \leq 2k+1$ . Since  $2k+1$  is odd, one of  $i - h$ ,  $j - i$ , or  $(2k+1+h) - j$  is odd. Without loss of generality, assume  $i - h$  is odd and let  $t = i$ . Since  $d(v_h, v_i)$  is even, we must have that  $i - h > k+1$ ; otherwise,  $d(v_h, v_i) = i - h$ . This shows that  $(2k+1+h) - i \leq k$  and  $\{v_i, v_{i+1}, \dots, v_{2k+1+h} = v_h\} \subseteq \{v_t, v_{t+1}, \dots, v_{t+k}\}$ . Since  $i < j < (2k+1)+h$ , the result holds. Without loss of generality, assume  $v_t \in S$ . Then vertices in  $\{v_t, v_{t+1}, \dots, v_{t+k}\} \cap \{v_{t+2}, v_{t+4}, v_{t+6}, \dots\}$  are at an even distance from  $v_t$  and each other; and each vertex in  $\{v_t, v_{t+1}, \dots, v_{t+k}\} \cap \{v_{t+1}, v_{t+3}, v_{t+5}, \dots\}$  is at an odd distance from  $v_t$ . Since  $S$  is maximal, this shows that  $S = \{v_t, v_{t+1}, \dots, v_{t+k}\} \cap \{v_t, v_{t+2}, v_{t+4}, \dots\}$ . Since there are exactly  $2k+1$  such maximal odd-independent sets, one for each  $t = 1, 2, \dots, 2k+1$ , and each has the same cardinality, we have that  $\beta_{\text{ODD}}(C_{2k+1}) \equiv i_{\text{ODD}}(C_{2k+1}) = \lceil \frac{k+1}{2} \rceil$ . ■

Extending the discussion of odd-independent sets of paths and cycles, we now look at the Cartesian products, namely, grids  $P_s \square P_t$ , cylinders  $P_s \square C_t$  and tori  $C_s \square C_t$ .

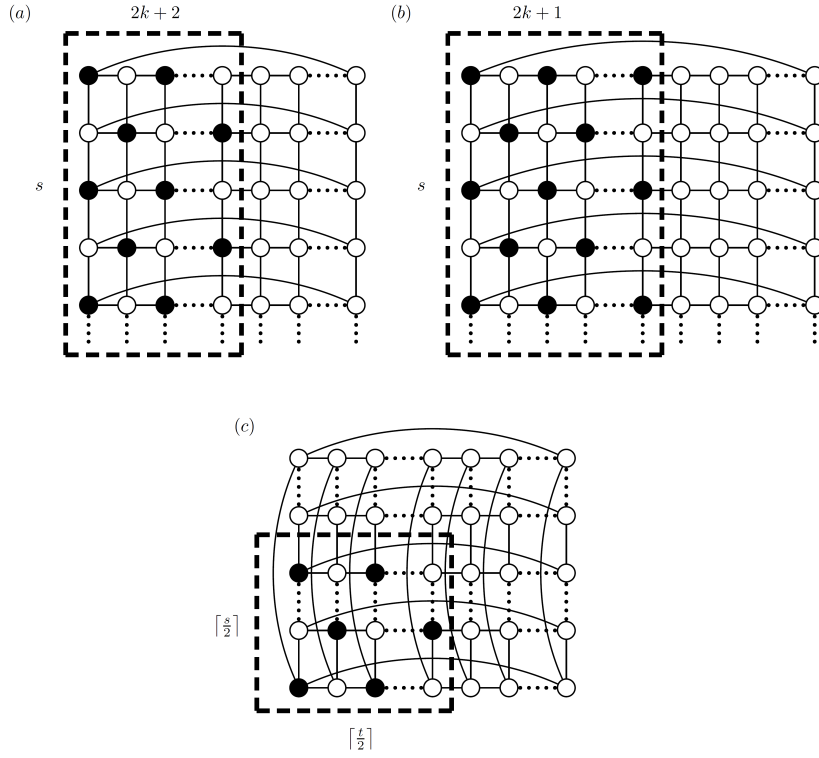


Figure 2.1. (a)  $P_s \square C_{4k+3}$ ; (b)  $P_s \square C_{4k+1}$ ; (c)  $C_s \square C_t$ ,  $s$  and  $t$  odd.

**Theorem 2.3.** (1) For positive integers  $s$  and  $t$ ,

$$\beta_{ODD}(P_s \square P_t) = \left\lceil \frac{st}{2} \right\rceil.$$

(2) (i) For positive integer  $s$  and positive even integer  $t$ ,

$$\beta_{ODD}(P_s \square C_t) = \frac{st}{2}.$$

(ii) For positive integer  $s$  and positive odd integer  $t$ ,

$$\beta_{ODD}(P_s \square C_t) = \begin{cases} \left\lceil \frac{s}{2} \right\rceil \cdot \frac{t+1}{2} & \text{if } t = 4k+1, \\ \frac{s(t+1)}{4} & \text{if } t = 4k+3. \end{cases}$$

(3) (i) For positive even integers  $s$  and  $t$ ,

$$\beta_{ODD}(C_s \square C_t) = \frac{st}{2}.$$

(ii) For positive even integer  $s$  and positive odd integer  $t$ ,

$$\beta_{ODD}(C_s \square C_t) = \frac{s(t+1)}{4}.$$

(iii) For positive odd integers  $s$  and  $t$ ,

$$\beta_{ODD}(C_s \square C_t) \geq \left\lceil \frac{\left\lceil \frac{s}{2} \right\rceil \cdot \left\lceil \frac{t}{2} \right\rceil}{2} \right\rceil.$$

**Proof.** (1) By Theorem 2.1, the  $s$  by  $t$  grid  $P_s \square P_t$  satisfies  $\beta_{ODD}(P_s \square P_t) = \left\lceil \frac{st}{2} \right\rceil$  and  $i_{ODD}(P_s \square P_t) = \left\lfloor \frac{st}{2} \right\rfloor$ .

(2) (i) The  $s$  by  $t$  cylinder  $P_s \square C_t$  is bipartite when  $t$  is even, yielding the same values as for  $P_s \square P_t$ .

(ii) For odd  $t$ , let  $S \subseteq V(P_s \square C_t) = \{v_{i,j} | 1 \leq i \leq s, 1 \leq j \leq t\}$  be a maximal odd-independent set. Notice that for each  $i$  no more than  $\left\lceil \frac{t+1}{4} \right\rceil$  vertices from  $X_i = \{v_{i,j} | 1 \leq j \leq t\}$  can be in  $S$ , per the above result for odd-independent sets of odd cycles. If  $t = 4k + 3$  for some  $k$ , then this bound is achieved with the pattern shown in Figure 2.1(a), or any shift of this pattern, yielding  $|S| = s \cdot \left\lceil \frac{t+1}{4} \right\rceil = \frac{s(t+1)}{4}$ . For  $t = 4k + 1$  we first show that for each  $i$  the intersection of  $S$  with  $X_i \cup X_{i+1}$  can contain no more than  $2k + 1$  vertices. As already noted, no more than  $\left\lceil \frac{t+1}{4} \right\rceil = k + 1$  vertices can be in  $S \cap X_i$  or  $S \cap X_{i+1}$ . Without loss of generality, assume the  $k + 1$  vertices  $v_{i,1}, v_{i,3}, \dots, v_{i,2k+1}$  are in  $S$ . Then the vertices  $v_{i+1,1}, v_{i+1,3}, \dots, v_{i+1,2k+1}$  and  $v_{i+1,2k+2}, v_{i+1,2k+3}, \dots, v_{i+1,4k+1}$  are at an odd distance from at least one vertex in  $S$ . The remaining  $k$  vertices in  $X_{i+1}$  are at an even distance from each other and from the vertices in  $S \cap X_i$ . This gives the upper bound of  $\beta_{ODD}(P_s \square C_t) \leq \left\lceil \frac{s}{2} \right\rceil \cdot \frac{t+1}{2}$ . This bound is achieved with the pattern shown in Figure 2.1(b), or any shift of this pattern. Combining the above results, for positive  $s$  and odd positive  $t$  we have that

$$\beta_{ODD}(P_s \square C_t) = \begin{cases} \left\lceil \frac{s}{2} \right\rceil \cdot \frac{t+1}{2} & \text{if } t = 4k + 1, \\ \frac{s(t+1)}{4} & \text{if } t = 4k + 3. \end{cases}$$

(3) Given the torus  $C_s \square C_t$ , we consider three cases:  $s$  and  $t$  are even;  $s$  is even and  $t$  is odd; and  $s$  and  $t$  are both odd.

- (i) When  $s$  and  $t$  are even,  $C_s \square C_t$  is bipartite and Theorem 2.1 implies  $\beta_{ODD}(C_s \square C_t) \equiv i_{ODD}(C_s \square C_t) = \lceil \frac{st}{2} \rceil = \frac{st}{2}$ .
- (ii) For even  $s$  and odd  $t$ , the same reasoning used to determine  $\beta_{ODD}(P_s \square C_t)$  under this restriction shows that  $\beta_{ODD}(C_s \square C_t) = \beta_{ODD}(P_s \square C_t)$ .
- (iii) Finally, when  $s$  and  $t$  are both odd  $\beta_{ODD}(C_s \square C_t) \geq \left\lceil \frac{\lceil \frac{s}{2} \rceil \cdot \lceil \frac{t}{2} \rceil}{2} \right\rceil$  as evidenced by the pattern in Figure 2.1(c). (We believe, in fact, that for odd  $s$  and  $t$  the value of  $\beta_{ODD}(C_s \square C_t)$  is exactly  $\left\lceil \frac{\lceil \frac{s}{2} \rceil \cdot \lceil \frac{t}{2} \rceil}{2} \right\rceil$ .) ■

The results for  $\beta_{ODD}$  of grids, cylinders and tori are summarized in Table 2.1 above with approximate values for ease of comparison.

Table 2.1.  $\beta_{ODD}$  for grids, cylinders and tori.

	$s$ even $t$ even	$s$ odd $t$ even	$s$ even $t$ odd	$s$ odd $t$ odd
$P_s \square P_t$	$\frac{st}{2}$	$\frac{st}{2}$	$\frac{st}{2}$	$\frac{st}{2}$
$P_s \square C_t$	$\frac{st}{2}$	$\frac{st}{2}$	$\frac{st}{4}$	$\frac{st}{4}$
$C_s \square C_t$	$\frac{st}{2}$	$\frac{st}{4}$	$\frac{st}{4}$	$\geq \frac{st}{8}$

**Theorem 2.4.** For any graph  $G$  and distance sets  $D_1$  and  $D_2$ ,  $D_1 \subseteq D_2$  implies  $\beta_{D_2}(G) \leq \beta_{D_1}(G)$ .

**Proof.** Let  $G$  be a graph and  $D_1, D_2$  be distance sets such that  $D_1 \subseteq D_2$ . Let vertex set  $S \subseteq V(G)$  be a  $\beta_{D_2}(G)$ -set. Given  $u, v \in S$  we have  $d(u, v) \notin D_2$ , which implies  $d(u, v) \notin D_1$ . Hence,  $\beta_{D_2}(G) \leq \beta_{D_1}(G)$ . ■

This shows that for all graphs  $G$ ,  $\beta_{ODD}(G) \leq \beta(G)$ . By definition, for every graph  $G$  and distance set  $D$ ,  $i_D(G) \leq \beta_D(G)$ . Together, this gives us  $i_{ODD}(G) \leq \beta_{ODD}(G) \leq \beta(G)$  and  $i(G) \leq \beta(G)$  for every graph  $G$ . Given this, it is perhaps surprising that the lower-independence number is incomparable to both the lower-odd-independence number and the odd-independence number. We first note that using Theorem 2.1 we have Theorem 2.5.

**Theorem 2.5.** For connected bipartite graph  $B$ ,  $i(B) \leq i_{ODD}(B) \leq \frac{n}{2} \leq \beta_{ODD}(B) \leq \beta(B)$ .



As noted,  $i$  is incomparable with  $i_{\text{ODD}}$  and  $\beta_{\text{ODD}}$ . In fact,  $H_1$ ,  $H_2$  and  $H_3$ , with  $i(H_1) < i_{\text{ODD}}(H_1) < \beta_{\text{ODD}}(H_1)$ ,  $i_{\text{ODD}}(H_2) < i(H_2) < \beta_{\text{ODD}}(H_2)$  and  $i_{\text{ODD}}(H_3) < \beta_{\text{ODD}}(H_3) < i(H_3)$  are illustrated in Figure 2.2.

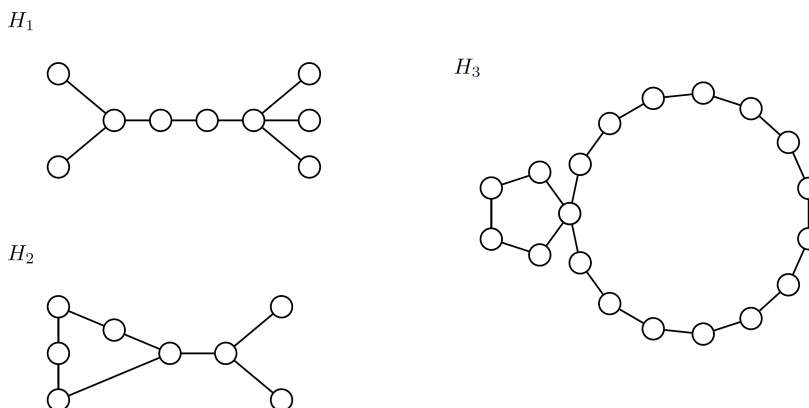


Figure 2.2. Incomparability of  $i$  with  $i_{\text{ODD}}$  and  $\beta_{\text{ODD}}$ . In particular,  $i(H_1) = 2 < i_{\text{ODD}}(H_1) = 4 < \beta_{\text{ODD}}(H_1) = 5$ ,  $i_{\text{ODD}}(H_2) = 2 < i(H_2) = 3 < \beta_{\text{ODD}}(H_2) = 4$  and  $i_{\text{ODD}}(H_3) = 2 < \beta_{\text{ODD}}(H_3) = 5 < i(H_3) = 6$ .

### 3. OTHER DISTANCE PARAMETERS

A set  $R \subseteq V(G)$  is a *cover* if for each edge  $\{u, v\} \in E(G)$  we have  $\{u, v\} \cap R \neq \emptyset$ . The covering number, denoted  $\alpha(G)$ , is the minimum cardinality of a cover. It is easy to see that  $R$  is a cover if and only if  $S = V(G) \setminus R$  is independent, and we have the following result of Gallai.

**Theorem 3.1** (Gallai [2]). *For any graph  $G$  of order  $n = |V(G)|$ , we have  $\alpha(G) + \beta(G) = n$ .*

The upper-covering number, denoted  $\Lambda(G)$ , is the maximum cardinality of a minimal cover. Using complementarity of independent sets and covers, we have the following.

**Theorem 3.2** (McFall and Nowakowski [4]). *For any graph  $G$  of order  $n = |V(G)|$ , we have  $\Lambda(G) + i(G) = n$ .*

The complementation relation between covering and independence can be generalized. As described in [8], we have the following. Let  $\mathcal{F}$  be any family of subsets of some set  $X$ . Define  $M(X, \mathcal{F})$  and  $m(X, \mathcal{F})$  as follows:

$$(3.1) \quad M(X, \mathcal{F}) = \max \{|S| : S \in \mathcal{F}\}, \quad m(X, \mathcal{F}) = \min \{|S| : S \in \mathcal{F}\}.$$

Families  $\mathcal{F}_1$  and  $\mathcal{F}_2$  of subsets  $X$  will be called *complement-related* if  $S \in \mathcal{F}_1$  if and only if  $X - S \in \mathcal{F}_2$ . Suppose  $\mathcal{F}_1$  and  $\mathcal{F}_2$  are complement-related. Since the complement of any set in  $\mathcal{F}_1$  is in  $\mathcal{F}_2$ ,  $m(X, \mathcal{F}_2) \leq |X| - M(X, \mathcal{F}_1)$ ; since the complement of any set in  $\mathcal{F}_2$  is in  $\mathcal{F}_1$ ,  $M(X, \mathcal{F}_1) \geq |X| - m(X, \mathcal{F}_2)$ . Thus  $M(X, \mathcal{F}_1) + m(X, \mathcal{F}_2) = |X|$ . Note that one could let  $\mathcal{F}_1$  and  $\mathcal{F}_2$  be the complement-related families of independent sets and covering sets, respectively. Then  $M(V(G), \mathcal{F}_1) = \beta(G)$  and  $m(V(G), \mathcal{F}_2) = \alpha(G)$  implies  $\beta(G) + \alpha(G) = n$ . Recall that  $i(G)$ , the lower-independence number (or the independent domination number), is the minimum cardinality of a maximal independent set. In general, let  $\mathcal{F}^+$  denote the family of those members of  $\mathcal{F}$  which are set-theoretically maximal with respect to membership, and  $\mathcal{F}^-$  those which are minimal. It is easily seen that if  $\mathcal{F}_1$  and  $\mathcal{F}_2$  are complement-related, then so are  $\mathcal{F}_1^+$  and  $\mathcal{F}_2^-$ . Hence  $m(X, \mathcal{F}_1^+) + M(X, \mathcal{F}_2^-) = |X|$ .

**Theorem 3.3** (Set Complementation [8]). *If families  $\mathcal{F}_1$  and  $\mathcal{F}_2$  of subsets of  $X$  are complement-related, then  $M(X, \mathcal{F}_1) + m(X, \mathcal{F}_2) = |X| = m(X, \mathcal{F}_1^+) + M(X, \mathcal{F}_2^-)$ .*

Also, see Slater [9] for a general  $Y$ -valued Matrix Complementation Theorem for any (complementable) set of reals  $Y \subseteq \mathbb{R}$ , and Slater [12] discusses complementarity and duality.

If we replace considering edges by considering closed neighborhoods and mimic the definitions of independence and cover, we have the concepts of enclaveless and dominating. A set  $S \subseteq V(G)$  is *enclaveless* if it does not entirely contain any closed neighborhood  $N[v]$ , that is,  $|S \cap N[v]| < |N[v]|$  for each  $v \in V(G)$ ; the maximum cardinality of an enclaveless set is the *enclaveless number*  $\Psi(G)$ , and the *lower-enclaveless number*  $\psi(G)$  is the minimum cardinality of a maximally enclaveless set; a set  $R \subseteq V(G)$  is dominating if  $|R \cap N[v]| \geq 1$  for each  $v \in V(G)$ ; and the minimum cardinality of a dominating set is the domination number  $\gamma(G)$ , and the upper-domination number  $\Gamma(G)$  is the maximum cardinality of a minimal dominating set. Clearly the families of enclaveless sets and dominating sets are complement-related, and the Set Complementation Theorem implies the next result.

**Theorem 3.4** (Slater [8]). *For any graph  $G$  of order  $n$ ,  $\Psi(G) + \gamma(G) = n = \psi(G) + \Gamma(G)$ .*

As we did for independence, we can define distance generalizations of these (and other) parameters. For  $D \subseteq \mathbb{Z}^+$ , vertex set  $S \subseteq V(G)$  is  $D$ -independent if the distance  $d(x, y) \in D$  implies  $|S \cap \{x, y\}| \leq 1$ . We define  $R \subseteq V(G)$  to be a  $D$ -cover if  $d(x, y) \in D$  implies  $|R \cap \{x, y\}| \geq 1$ , and  $\alpha_D(G)$  and  $\Lambda_D(G)$  denote the minimum and maximum cardinalities of minimal  $D$ -covers and are called the  $D$ -covering number and upper- $D$ -covering number, respectively.

Call vertex set  $R$  a  $D$ -dominating set if, for each  $v \in V(G) \setminus R$ , there is a vertex  $w \in R$  such that  $d(v, w) \in D$ . The  $D$ -domination number and upper- $D$ -domination number,  $\gamma_D(G)$  and  $\Gamma_D(G)$ , respectively, are the minimum and maximum cardinalities of minimally  $D$ -dominating sets. Vertex  $v$  will be called a  $D$ -enclave of  $S \subseteq V(G)$  if  $v \in S$  and  $\{w \in V(G) | d(v, w) \in D\} \subseteq S$ , and  $S$  is  $D$ -enclaveless if it has no  $D$ -enclaves. That is,  $S$  is  $D$ -enclaveless if for each  $v \in S$  there is a vertex  $w \in R = V(G) \setminus S$  with  $d(v, w) \in D$ . The  $D$ -enclaveless number and lower- $D$ -enclaveless number,  $\Psi_D(G)$  and  $\psi_D(G)$ , respectively, are the maximum and minimum cardinalities of maximal  $D$ -enclaveless sets.

In particular, vertex set  $S \subseteq V(G)$  is  $D$ -independent if and only if  $R = V(G) \setminus S$  is a  $D$ -cover, and  $S$  is  $D$ -enclaveless if and only if  $R = V(G) \setminus S$  is  $D$ -dominating. Hence, generalizing Theorems 3.1, 3.2, and 3.4, by the Set Complementation Theorem, we have the next result.

**Theorem 3.5.** *For any graph  $G$  of order  $n$ , we have  $\alpha_D(G) + \beta_D(G) = n = \Lambda_D(G) + i_D(G)$  and  $\Psi_D(G) + \gamma_D(G) = n = \psi_D(G) + \Gamma_D(G)$ .*

If  $S \subseteq V(G)$ ,  $v \notin S$ , and  $d(v, w) \in D$ , then  $S$  is a  $D$ -cover implies that  $w \in S$ , and so  $S$   $D$ -dominates  $v$ . Hence, any  $D$ -cover  $S$  will  $D$ -dominate any vertex  $v \notin S$  if there is some vertex  $y$  such that  $d(v, y) \in D$  or, equivalently, if the eccentricity  $\text{ecc}(v) \geq \min\{d | d \in D\}$ .

**Theorem 3.6.** *If  $\text{ecc}(v) \geq \min\{d | d \in D\}$  for all  $v \in V(G)$ , then every  $D$ -cover of  $G$  is  $D$ -dominating, so  $\gamma_D(G) \leq \alpha_D(G)$  and  $\beta_D(G) \leq \Psi_D(G)$ .*

Call  $S \subseteq V(G)$  a  $D$ -irredundant set if for each  $v \in S$  there is a vertex  $w \in V(G) \setminus (S \setminus \{v\}) = (V(G) \setminus S) \cup \{v\}$  such that  $d(w, x) \notin D$  for each  $x \in S \setminus \{v\}$  and if  $w \neq v$  then  $d(w, v) \in D$ . The  $D$ -irredundance number and lower- $D$ -irredundance number,  $IR_D(G)$  and  $ir_D(G)$ , respectively, are

the maximum and minimum cardinalities of maximally  $D$ -irredundant sets for  $G$ .

**Observation 3.7.** *A  $D$ -independent set  $S$  is maximally  $D$ -independent if and only if  $S$  is minimally  $D$ -dominating. A  $D$ -dominating set  $R$  is minimally  $D$ -dominating if and only if  $R$  is maximally  $D$ -irredundant.*

Hence we have the following generalization from  $D = \{1\}$  in [1] for a parametric chain.

**Theorem 3.8.** *For any graph  $G$ ,  $ir_D(G) \leq \gamma_D(G) \leq i_D(G) \leq \beta_D(G) \leq \Gamma_D(G) \leq IR_D(G)$ .*

#### 4. RELATED WORK

Many questions concerning the general distance-set parameters introduced are under study (bounds, extremal values, Nordhaus-Gaddum results, etc.), along with other  $D$ -parameters.

We note that such generalizations also apply to edge sets, such as  $D$ -cycles,  $D$ -paths and  $D$ -geodesics. For example, several different interesting definitions of a  $D$ -matching are possible. Letting the  $D$ -power of  $G$  be the graph  $G^D$  with  $V(G^D) = V(G)$  and  $uv \in E(G^D)$  if and only if  $d_G(u, v) \in D$ , one can observe that Theorems 3.5, 3.6 and 3.8 can be proven by considering  $G^D$ . In defining a  $D$ -matching, one can consider matchings in  $G^D$ . Another way to consider  $D$ -independence for edges is to consider  $D$ -independent (vertex) sets in the line graph  $L(G)$ .

Many of these results will appear in Sewell [5].

#### REFERENCES

- [1] E.J. Cockayne, S.T. Hedetniemi, and D.J. Miller, *Properties of hereditary hypergraphs and middle graphs*, Canad. Math. Bull. **21** (1978) 461–468.
- [2] T. Gallai, *Über extreme Punkt-und Kantenmengen*, Ann. Univ. Sci. Budapest, Eotvos Sect. Math. **2** (1959) 133–138.
- [3] T.W. Haynes and P.J. Slater, *Paired domination in graphs*, Networks **32** (1998) 199–206.
- [4] J.D. McFall and R. Nowakowski, *Strong independence in graphs*, Congr. Numer. **29** (1980) 639–656.

- [5] J.L. Sewell, *Distance Generalizations of Graphical Parameters*, (Univ. Alabama in Huntsville, 2011).
- [6] A. Sinko and P.J. Slater, *Generalized graph parametric chains*, submitted for publication.
- [7] A. Sinko and P.J. Slater,  *$\mathcal{R}$ -parametric and  $\mathcal{R}$ -chromatic problems*, submitted for publication.
- [8] P.J. Slater, *Enclaveless sets and MK-systems*, J. Res. Nat. Bur. Stan. **82** (1977) 197–202.
- [9] P.J. Slater, *Generalized graph parametric chains*, in: Combinatorics, Graph Theory and Algorithms (New Issues Press, Western Michigan University 1999) 787–797.
- [10] T.W. Haynes, M.A. Henning and P.J. Slater, *Strong equality of upper domination and independence in trees*, Util. Math. **59** (2001) 111–124.
- [11] T.W. Haynes, M.A. Henning and P.J. Slater, *Strong equality of domination parameters in trees*, Discrete Math. **260** (2003) 77–87.
- [12] T.W. Haynes, S.T. Hedetniemi and P.J. Slater, *LP-duality, complementarity and generality of graphical subset problems*, in: Domination in Graphs Advanced Topics, T.W. Haynes *et al.* (eds) (Marcel-Dekker, Inc. 1998) 1–30.

Received 4 January 2010

Revised 6 January 2011

Accepted 10 January 2011