

## EXTENSION OF SEVERAL SUFFICIENT CONDITIONS FOR HAMILTONIAN GRAPHS

AHMED AINOUCHE

*CEREGMIA-GRIMAAG*

*Campus de Schoelcher*

*B.P. 7209*

*97275 Schoelcher Cedex*

*Martinique, France*

**e-mail:** a.ainouche@martinique.univ-ag.fr

### Abstract

Let  $G$  be a 2-connected graph of order  $n$ . Suppose that for all 3-independent sets  $X$  in  $G$ , there exists a vertex  $u$  in  $X$  such that  $|N(X \setminus \{u\})| + d(u) \geq n - 1$ . Using the concept of dual closure, we prove that

1.  $G$  is hamiltonian if and only if its 0-dual closure is either complete or the cycle  $C_7$
2.  $G$  is nonhamiltonian if and only if its 0-dual closure is either the graph  $(K_r \cup K_s \cup K_t) \vee K_2$ ,  $1 \leq r \leq s \leq t$  or the graph  $(\frac{n+1}{2})K_1 \vee K_{\frac{n-1}{2}}$ .

It follows that it takes a polynomial time to check the hamiltonicity or the nonhamiltonicity of a graph satisfying the above condition. From this main result we derive a large number of extensions of previous sufficient conditions for hamiltonian graphs. All these results are sharp.

**Keywords:** hamiltonian graph, dual closure, neighborhood closure.

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### 1. INTRODUCTION

We use Bondy and Murty for terminology and notation not defined here and consider simple graphs only  $G = (V, E)$ . By  $n$ ,  $\alpha$  and  $\kappa$  we denote the order,

the independence and the vertex-connectivity number of  $G$ . If  $A \subset V$ , we denote by  $G[A]$  the subgraph induced by  $A$ .

The *closed neighborhood* and the *degree* of a vertex  $u$  are denoted  $N[u] = \{u\} \cup N(u)$  and  $d(u)$  respectively. For  $S \subset V$  and  $a \in V \setminus S$ , we denote by  $N_S(a)$  ( $d_S(a)$  resp.) the set (the number resp.) of neighbors of  $a$  in  $S$ . For  $1 \leq k \leq \alpha$ , we put  $I_k = \{Y \mid Y \text{ is a } k\text{-independent set}\}$ . As in [1], for each pair  $(a, b)$  of nonadjacent vertices of a graph  $G$  we associate

$$\begin{aligned} \gamma_{ab}(G) &:= |N_G(a) \cup N_G(b)|, \quad \lambda_{ab}(G) := |N_G(a) \cap N_G(b)|, \\ T_{ab}(G) &:= V \setminus (N_G[a] \cup N_G[b]), \quad t_{ab} := |T_{ab}|, \quad \bar{\alpha}_{ab} := 2 + t_{ab}, \\ \delta_{ab} &:= \min \{d(x) \mid x \in T_{ab}\} \text{ if } T_{ab} \neq \emptyset \text{ and } \delta_{ab} := \delta(G) \text{ otherwise.} \end{aligned}$$

For any set  $X = \{x_1, x_2, x_3\} \in I_3(G)$ , we denote by  $\lambda_{\min}(X)$ ,  $\lambda_{\text{med}}(X)$  and  $\lambda_{\max}(X)$  the smallest, the median and the greatest value in  $\{\lambda_{x_1x_2}, \lambda_{x_2x_3}, \lambda_{x_3x_1}\}$  respectively. Moreover we set  $X_i = X \setminus \{x_i\}$ ,  $\sigma_X = \sum_{x \in X} d(x)$ ,  $\lambda_X = \lambda_{x_1x_2} + \lambda_{x_2x_3} + \lambda_{x_3x_1}$  and  $s_3(X) = |N(x_1) \cap N(x_2) \cap N(x_3)|$ . Obviously  $s_3 \leq \lambda_{\min} \leq \lambda_{\text{med}} \leq \lambda_{\max}$ . If no confusion arises, we omit the arguments  $(G)$  and  $(X)$ .

## 2. PRELIMINARY RESULTS

In [7], Bondy and Chvátal introduced the concept of the *k-closure* for several graph properties. For hamiltonian graphs the *n-closure* generalizes six earlier sufficient degree conditions. In [1], Ainouche and Christofides introduced the 0-dual closure  $c_0^*(G)$  as an extension of the *n-closure*. Schiermeyer [15] showed that  $c_0^*(G)$  is complete whenever  $G$  satisfies four more sufficient conditions for hamiltonian graphs. The first author ([3]) improved recently the closure condition given in [1]. In this paper, a relaxation of this strong condition is used. To state it, we need to introduce a binary variable  $\varepsilon_{ab}$ .

**Definition 2.1.** Let  $\varepsilon_{ab} \in \{0, 1\}$  be a binary variable, associated with a pair  $(a, b)$  of nonadjacent vertices. We set  $\varepsilon_{ab} = 0$  if and only if

1.  $\emptyset \neq T_{ab}$  and all vertices of  $T_{ab}$  have the same degree  $1 + t_{ab}$ ,
2. one of the following two local configurations holds
  - (a)  $T_{ab}$  is a clique (possibly with one element),  $\lambda_{ab} \leq 2$  and there exist  $u, v \notin T_{ab}$  such that  $T_{ab} \subset N(u) \cap N(v)$ . Moreover  $\lambda_{ab} \leq 1$  if either  $\{u, v\} \subset N(a) \setminus N(b)$  or  $\{u, v\} \subset N(b) \setminus N(a)$ .

- (b)  $T_{ab}$  is an independent set (with at least two elements),  $\lambda_{ab} \leq 1 + t_{ab}$  and either  $N(T_{ab}) \subseteq N(a) \cap N(b)$  or there exists a vertex  $u \in N(a) \triangle N(b)$  such that  $|T_{ab} \setminus N_{T_{ab}}(u)| \leq \max(\lambda_{ab} - 1, 0)$ . Moreover  $T_{ab}$  is a clique in  $G^2$ , the square of  $G$ .

**Lemma 2.2** (a neighborhood closure condition). *Let  $G$  be a 2-connected graph and let  $(a, b)$  be a pair of nonadjacent vertices satisfying the condition*

$$(ncc) \quad \overline{\alpha}_{ab} \leq \delta_{ab} + \varepsilon_{ab} \text{ (or equivalently } \gamma_{ab} + \delta_{ab} \geq n - \varepsilon_{ab}).$$

*Then  $G$  is hamiltonian if and only if  $(G + ab)$  is hamiltonian.*

The 0-dual neighborhood closure  $nc_0^*(G)$  is the graph obtained from  $G$  by successively joining  $(a, b)$  satisfying the condition (ncc) until no such pair remains. It is easy to see that  $nc_0^*(G)$  is well defined. Moreover, it is shown in ([3]) that it takes a polynomial time to construct  $nc_0^*(G)$  and to exhibit a longest cycle in  $G$  whenever a longest cycle is known in  $nc_0^*(G)$ .

For simplicity we sometimes say *neighborhood closure* instead of *0-dual neighborhood closure*. As a direct consequence of Lemma 2.2 we have:

**Corollary 2.3.** *Let  $G$  be a 2-connected graph. Then  $G$  is hamiltonian if and only if  $nc_0^*(G)$  is complete.*

To get an idea of the strength of Corollary 2.3, we describe two infinite families of hamiltonian graphs for which  $nc_0^*(G)$  is complete. To our knowledge there is no known theorem from which we can draw the same conclusion. Let  $p, q$  be nonnegative integers.

**Definition 2.4.** Let  $p \geq 2$  and  $q \geq 2$  be integers,  $A := \{a_0, a_1, \dots, a_{p+1}\}$ ,  $X := \{x_1, \dots, x_p\}$  be two distinct independent sets and  $B := \{b_0, b_1, \dots, b_q\}$  be a clique. A graph  $G$  is in  $\mathcal{A}_1(p, q)$  if it is constructed from  $A, B, X$  as follows:  $N[a_0] = A$ ,  $G[\{a_1, \dots, a_p\}, X] = K_{p,p}$ ,  $N(a_{p+1}) = \{a_0, b_1\} \cup \{x_1, \dots, x_{p-1}\}$  and  $N(x_p) = \{a_1, \dots, a_p\} \cup \{b_q\}$ .

It is easy to check that  $n = 2p + q + 3$ ,  $\alpha(G) = p + 2$  and  $\kappa(G) = 2$ . To construct the closure, we start with  $(a_0, b_q)$ . Indeed  $T_{a_0 b_q} = X \setminus \{x_p\}$  and (ncc) holds for this pair of nonadjacent vertices. Then choose  $(x_i, b_q)$ ,  $i = 1, 2, \dots, p - 1$ , as next pairs. It is now easy to check that  $nc_0^*(G) = K_n$ .

**Definition 2.5.** Let  $p \geq 2$  and  $q \geq 1$  be integers. Let  $A := \{a_0, a_1, \dots, a_p\}$ ,  $X := \{x_1, \dots, x_q\}$ ,  $B := \{b_0, b_1, \dots, b_p\}$  be three distinct sets. A graph  $G$  is in  $\mathcal{A}_2(p, q)$  if it is constructed from  $A, B, X$  as follows:  $\{a_1, \dots, a_p\}, \{b_1, \dots, b_p\}$  are independent sets,  $X \cup \{a_1, b_1\}$  is a clique,  $N[a_0] = A$ ,  $N[b_0] = B$ ,  $G[\{a_2, \dots, a_p\}, \{b_2, \dots, b_p\}] = K_{p-1, p-1}$ .

For this graph  $n = 2(p + 1) + q$ ,  $\alpha(G) = p + 1$  and  $\kappa(G) = 2$ . To construct the closure, it is necessary to start with  $(a_i, b_1)$  or  $(b_i, a_1)$ ,  $i = 2, \dots, p$ .

Ainouche and Schiermeyer [4] proved that for a larger spectra of sufficient conditions for Hamiltonian graphs, the corresponding neighborhood closure  $nc_0^*(G)$  is complete. In particular, the following results are obtained.

**Theorem 2.6.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . Then  $nc_0^*(G)$  is complete if*

$$(2.6) \quad X \in I_3(G) \Rightarrow \sigma_X \geq n + \lambda_{\min}(X).$$

Note that (2.6) is equivalent to the condition: for any  $X \in I_3(G)$  there exists  $u \in X$  such that  $|N(X \setminus \{u\})| + d(u) \geq n$ .

**Theorem 2.7.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . Then  $nc_0^*(G)$  is complete if*

$$(2.7) \quad X = \{x_1, x_2, x_3\} \in I_3(G) \Rightarrow \sum_{i=1}^3 |N(X_i)| > 2(n - 2).$$

**Theorem 2.8.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . Then  $nc_0^*(G)$  is complete if*

$$(2.8) \quad ab \notin E \Rightarrow 3\gamma_{ab} + \max\{2, \lambda_{ab}\} > 2(n - 1).$$

In this paper, we go a step further by relaxing the condition of Theorem 2.6 by one unit. We prove that for a large spectra of conditions satisfied by a graph  $G$ , its 0-dual neighborhood closure is either complete or a maximal nonhamiltonian graph. For graphs satisfying these various sufficient conditions, the hamiltonian problem becomes polynomial.

## 3. MAIN RESULTS

Our first result provides a common generalization of Theorems 2.7 and 2.8.

**Theorem 3.1.** *Let  $X = \{x_1, x_2, x_3\} \in I_3(G)$ . If*

$$(3.1) \quad \sum_{i=1}^3 |N(X_i)| + \max \{2, \lambda_{\max}\} > 2(n-1)$$

*then (2.6) holds unless  $\lambda_{\min} = \lambda_{\max} = 1$ ,  $\sigma_X = n$  and  $G$  is constructed from 3 complete subgraphs  $G_i, i = 1, 2, 3$  and a cycle  $C_6 := x_1, a_3, x_2, a_1, x_3, a_2, x_1$  as follows: each vertex of  $\{x_i, a_{i+1}, a_{i+2}\}$ , where the addition is modulo 3, is joined to each vertex of  $G_i$ . In any case  $nc_0^*(G)$  is complete if (3.1) holds for all  $X \in I_3(G)$ .*

To state our new results, we define the nonhamiltonian graphs  $G(r, s, t)$  and  $G_1$  as respectively the graphs  $(K_r \cup K_s \cup K_t) \vee K_2$ ,  $1 \leq r \leq s \leq t$  and  $\overline{K}_{(\frac{n+1}{2})} \vee K_{(\frac{n-1}{2})}$ , where  $\overline{K}_{(\frac{n+1}{2})} = (\frac{n+1}{2})K_1$ . Moreover the graph  $C_7$  is the cycle on 7 vertices.

**Theorem 3.2.** *Let  $G$  be a 2-connected graph. If*

$$(3.2) \quad X \in I_3(G) \Rightarrow \sigma_X \geq n-1 + \lambda_{\min}(X)$$

*then  $nc_0^*(G) \in \{C_7, K_n, G(r, s, t), G_1\}$ .*

An immediate consequence of Theorem 3.2 is:

**Corollary 3.3.** *Let  $G$  be a 1-tough graph satisfying the condition (3.2). Then  $nc_0^*(G) \in \{C_7, K_n\}$ .*

The next corollary can be considered as an equivalent statement of Theorem 3.2.

**Corollary 3.4.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$  satisfying the condition (3.2). Then  $G$  is hamiltonian if and only if  $nc_0^*(G) \in \{C_7, K_n\}$  and  $G$  is nonhamiltonian if and only if  $nc_0^*(G) \in \{G(r, s, t), G_1\}$ .*

## 4. COROLLARIES

It happens that Theorem 3.2 covers a large spectra of new results. In particular, it generalizes all the 16 following sufficient conditions.

**Corollary 4.1.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.1) \quad X \in I_3(G) \Rightarrow \sum_{i=1}^3 |N(X_i)| + \lambda_{\max} > 2(n-2)$$

*then  $nc_0^*(G) \in \{C_7, K_n, G(r, s, t), G_1\}$ .*

Under the condition  $\sum_{i=1}^3 |N(X_i)| + \lambda_{\max} > 2(n-1)$ ,  $G$  is hamiltonian and  $nc_0^*(G)$  is complete. This is a new condition.

**Corollary 4.2.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . Assume  $d(x_1) \leq d(x_2) \leq d(x_3)$  for all  $X = \{x_1, x_2, x_3\} \in I_3(G)$ . If*

$$(4.2) \quad \gamma_{x_1 x_2} + d(x_3) \geq n-1$$

*then  $nc_0^*(G) \in \{K_n, G(r, s, t), G_1\}$ .*

Under the condition  $X \in I_3(G) \Rightarrow \gamma_{x_1 x_2} + d(x_3) \geq n$ ,  $nc_0^*(G)$  is complete. This is a new condition.

**Corollary 4.3.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.3) \quad X \in I_3(G) \Rightarrow \sum_{i=1}^3 |N(X_i)| + \sigma_X > 3(n-2)$$

*then  $nc_0^*(G) \in \{C_7, K_n, G(r, s, t), G_1\}$ .*

Under the condition  $\sum_{i=1}^3 |N(X_i)| + \sigma_X > 3(n-1)$ ,  $G$  is hamiltonian [2] and  $nc_0^*(G)$  is complete [4].

**Corollary 4.4.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.4) \quad X \in I_3(G) \Rightarrow |N(X)| + \lambda_{\max} \geq n-1$$

*then  $nc_0^*(G) \in \{K_n, G(r, s, t), G_1\}$ .*

Under the condition  $|N(X)| + \lambda_{\max} \geq n$ ,  $nc_0^*(G) = K_n$ . This is a new condition.

**Corollary 4.5.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.5) \quad X \in I_3(G) \Rightarrow |N(X)| + \sigma_X > 2(n-2)$$

*then  $nc_0^*(G) \in \{K_n, G(r, s, t), G_1\}$ .*

Under the condition  $|N(X)| + \sigma_X > 2(n-1)$ ,  $G$  is hamiltonian [2] and  $nc_0^*(G)$  is complete [4].

**Corollary 4.6.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.6) \quad X \in I_3(G) \Rightarrow \sum_{i=1}^3 |N(X_i)| \geq 2(n-2)$$

*then  $nc_0^*(G) \in \{C_7, K_n, G(r, s, t)\}$ .*

Under the condition  $\sum_{i=1}^3 |N(X_i)| > 2(n-2)$ ,  $G$  is hamiltonian [2] and  $nc_0^*(G)$  is complete [4]. The condition  $\sum_{i=1}^3 |N(X_i)| > 2(n-1)$  implying hamiltonicity appeared in [14].

**Corollary 4.7.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.7) \quad ab \notin E \Rightarrow 3\gamma_{ab} + \max\{2, \lambda_{ab}\} > 2(n-2)$$

*then  $nc_0^*(G) \in \{K_n, G(r, s, s), G_1\}$  with  $s \in \{r, r+1\}$ .*

Under the condition  $3\gamma_{ab} + \max\{2, \lambda_{ab}\} > 2(n-1)$ ,  $G$  is hamiltonian [12] and  $nc_0^*(G)$  is complete [4].

**Corollary 4.8.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.8) \quad ab \notin E \Rightarrow \gamma_{ab} + \delta_{ab} \geq n-1$$

*then  $nc_0^*(G) \in \{K_n, G(r, s, t), G_1\}$ .*

Under the condition  $\gamma_{ab} + \delta_{ab} \geq n$ ,  $G$  is hamiltonian [1] and  $nc_0^*(G)$  is complete [4].

**Corollary 4.9.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.9) \quad ab \notin E \Rightarrow \gamma_{ab} + \max\{d(a), d(b)\} \geq n - 1$$

*then  $nc_0^*(G) \in \{K_n, G(r, s, s), G_1\}$ .*

Under the condition  $\gamma_{ab} + \max\{d(a), d(b)\} \geq n$ ,  $G$  is hamiltonian [12] and  $nc_0^*(G)$  is complete [4].

**Corollary 4.10.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.10) \quad ab \notin E \Rightarrow 3\gamma_{ab} \geq 2(n - 2)$$

*then  $nc_0^*(G) \in \{K_n, G(r, r, r)\}$ .*

Under the condition  $3\gamma_{ab} > 2(n - 2)$ ,  $G$  is hamiltonian [2] and  $nc_0^*(G)$  is complete [4]. This is an improvement of the condition  $3\gamma_{ab} > 2(n - 1)$  given in [13] and in [11].

**Corollary 4.11.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.11) \quad X \in I_3(G) \Rightarrow 2\sigma_X > 3(n - 2)$$

*then  $nc_0^*(G) \in \{K_n, G(1, s, t), G_1\}$  with  $3 \leq s + t \leq 4$ .*

Under the condition  $2\sigma_X > 3(n - 1)$ ,  $G$  is hamiltonian [6] and  $nc_0^*(G)$  is complete [4].

**Corollary 4.12.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.12) \quad ab \notin E \Rightarrow 2\gamma_{ab} + d(a) + d(b) > 2(n - 2)$$

*then  $nc_0^*(G) \in \{K_n, G(r, s, s), G_1\}$  with  $s \in \{r, r + 1\}$ .*

Under the condition  $2\gamma_{ab} + d(a) + d(b) > 2(n - 1)$ ,  $G$  is hamiltonian [8] and  $nc_0^*(G)$  is complete [4].



**Corollary 4.13.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.13) \quad ab \notin E \Rightarrow d(a) + d(b) \geq n - 1$$

*then  $nc_0^*(G) \in \{K_n, G_1\}$ .*

Under the condition  $d(a) + d(b) \geq n$ ,  $G$  is hamiltonian [16] and  $nc_0^*(G)$  is complete [4].

**Corollary 4.14.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.14) \quad ab \notin E \Rightarrow \gamma_{ab} + \delta(G) \geq n - 1$$

*then  $nc_0^*(G) \in \{K_n, G(r, r, r), G_1\}$ .*

Under the condition  $\gamma_{ab} + \delta(G) \geq n$ ,  $G$  is hamiltonian [1], [10] and  $nc_0^*(G)$  is complete [4].

**Corollary 4.15.** *Let  $G$  be a 2-connected graph of order  $n \geq 3$ . If*

$$(4.15) \quad \delta(G) \geq \frac{n-1}{2}$$

*then  $nc_0^*(G) \in \{K_n, G_1\}$ .*

Under the condition  $\delta(G) \geq \frac{n}{2}$ ,  $G$  is hamiltonian [9] and  $nc_0^*(G)$  is complete.

**Corollary 4.16.** *Let  $G$  be a  $\kappa$ -connected graph,  $\kappa \geq 2$ , of order  $n \geq 3$ . If*

$$(4.16) \quad ab \notin E \Rightarrow \gamma_{ab} + \kappa \geq n - 1$$

*then  $nc_0^*(G) \in \{K_n, G_1\}$ .*

**Remark 4.17.** All the above results are sharp. Each one of the conditions (4.1) to (4.16), once relaxed by one unit, is satisfied either by the Petersen graph or the graph  $(mK_1 \cup K_2) \vee K_m$ ,  $m \geq 3$ .

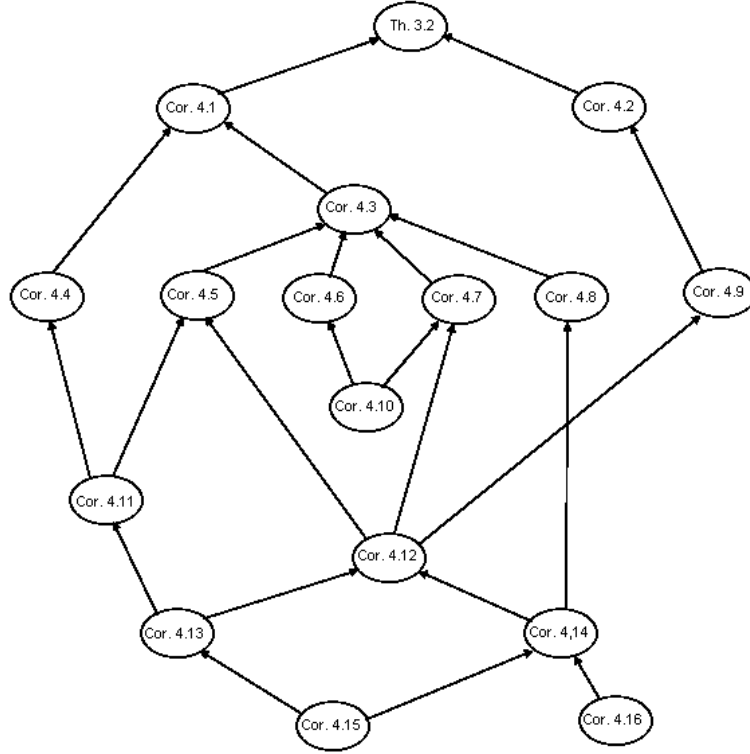


Figure 1. Hierarchy among the sufficient conditions considered in this paper.

## 5. PROOFS

### *Proof of Theorem 3.1.*

*Case 1.*  $\lambda_{\max} \geq 2$ .

If  $\sum_{i=1}^3 |N(X_i)| + \max\{2, \lambda_{\max}(X)\} > 2(n-1)$  then  $2\sigma_X - \lambda_X + \lambda_{\max}(X) > 2(n-1)$  and hence  $\sigma_X \geq n + \lambda_{\min}$  since  $\lambda_{\min} \leq \lambda_{\text{med}}$ .

*Case 2.*  $\lambda_{\max} \leq 1$  and  $\lambda_X \leq 2$ .

Now  $\sum_{i=1}^3 |N(X_i)| > 2(n-2)$  is equivalent to  $2\sigma_X > 2(n-2) + \lambda_X$ . If  $\lambda_X = 0$  then  $\sigma_X = |N(X)| \geq n-1$ , a contradiction since  $|N(X)| \leq n-3$ . If  $\lambda_X = 1$  then  $\sigma_X = |N(X)| + 1 \geq n-1$ , a contradiction since  $|N(X)| + 1 \leq n-2$ . If  $\lambda_X = 2$  then necessarily  $\lambda_{\min} = 0$  and  $\sigma_X \geq n = n + \lambda_{\min}$ .

*Case 3.*  $\lambda_{\min} = \lambda_{\max} = 1$  (i.e.,  $\lambda_X = 3$ ). In this case, we have  $\sigma_X = n$  for otherwise (2.6) holds. For this particular configuration, we proved in [4] that  $nc_0^*(G)$  is complete. ■

**Proof of Theorem 3.2.** Set  $H := nc_0^*(G)$  and assume  $H \neq K_n$ . We note that if (3.2) holds for  $G$  it also holds for  $H$ . An independent triple  $S$  whose degree sum  $\sigma_S$  is minimum will be called a *suitable set*. Moreover a pair  $(a, b)$  of nonadjacent vertices is *critical* if  $\bar{\alpha}_{ab} = \delta_{ab} + 1$  and  $\varepsilon_{ab} = 0$ . Consider a suitable set  $S = \{a, b, x\}$  and assume without loss of generality,  $\lambda_{ab} = \lambda_{\min}(S)$ . By hypothesis we have  $\sigma_S \geq n + \lambda_{ab} - 1 \Leftrightarrow \gamma_{ab} + d(x) \geq n - 1$ . By the choice of  $S$  we must have  $d(x) = \delta_{ab}$ . Thus  $\gamma_{ab} + \delta_{ab} \geq n - 1$ . On the other hand,  $ab \notin E(H) \Rightarrow \gamma_{ab} + \delta_{ab} \leq n - \varepsilon_{ab} - 1$ . It follows that  $\varepsilon_{ab} = 0$ ,  $\gamma_{ab} + \delta_{ab} = n - 1$  and hence

- (1)  $(a, b)$  is *critical* and  $T_{ab}$  satisfies the conditions of Definition 2.1.

For convenience we set  $A := N(a) \setminus N(b)$ ,  $B := N(b) \setminus N(a)$ ,  $D := N(a) \cap N(b)$  where  $|D| = \lambda_{ab}$ ,  $T := T_{ab}$  and  $t = |T|$ . Since  $(a, b)$  is *critical*,  $T$  is either a clique or an independent set. Throughout the proof,  $x$  denotes an arbitrary vertex of  $T$ . Also and for any critical pair  $(y, z)$  of vertices we denote by respectively  $u(y, z)$  and  $v(y, z)$  the vertices  $u, v$  mentioned in Definition 2.1 (2.a) if  $T_{yz}$  is a clique.

**Claim 5.1.** If  $N(T) \cap (A \cup B) = \emptyset$  then either  $H = (K_r \cup K_s \cup K_t) \vee K_2$  or  $H = \overline{K}_{(\frac{n+1}{2})} \vee K_{(\frac{n-1}{2})}$ .

For all  $x \in T$ ,  $N(x) \cap N(a) \cap N(b) = D$  since  $\lambda_{ab} \leq \min\{\lambda_{xa}, \lambda_{xb}\}$  and  $N(T) \setminus T \subseteq D$ . If  $T$  is a clique then  $D = \{u, v\}$  where  $u := u(a, b)$ ,  $v := v(a, b) \notin T$ . Moreover  $\lambda_{bx} = \lambda_{ax} = \lambda_{ab}$  and replacing  $(a, b)$  by respectively  $(b, x)$  and  $(a, x)$  we obtain that  $A \cup \{a\}$  and  $B \cup \{b\}$  must be cliques and  $\{u, v\} = \{u(b, x), v(b, x)\} = \{u(a, x), v(a, x)\}$ . Moreover  $uv \in E(H)$  since  $T_{uv} = \emptyset$  in which case  $(ncc)$  holds. By setting  $\{r, s\} = \{|A| + 1, |B| + 1\}$ , we clearly have  $H = (K_r \cup K_s \cup K_t) \vee K_2$ .

Suppose next that  $T$  is an independent set with  $t \geq 2$  ( $T$  is a clique if  $t = 1$ ). Now  $N(x) = D$  is true for any  $x \in T$  since  $\lambda_{ab} \leq \min\{\lambda_{ax}, \lambda_{bx}\}$ . Also  $A = B = \emptyset$  since by the choice of  $\{a, b, x\}$  we cannot have for instance  $d(a) > d(x)$  in which case  $\sigma_{\{x_1, x_2, b\}} < \sigma_{\{a, b, x\}}$ . To finish the proof in this case we show that  $|D| = \frac{n-1}{2}$  and  $D$  is a clique in  $H$ . Since  $d(x) = |D| = 1 + t$  and  $n = 3 + 2t$ , it follows that  $|D| = \frac{n-1}{2}$  and  $d(u) \geq \frac{n+1}{2}$  is true for all  $u \in D$ .

It is then easy to see that  $(ncc)$  holds for every pair  $\{d, d'\}$  of nonadjacent vertices of  $D$  in  $H$ . Thus  $H = \overline{K}_{(\frac{n+1}{2})} \vee K_{(\frac{n-1}{2})} = G_1$ .

**Claim 5.2.** If  $N(T) \cap (A \cup B) \neq \emptyset$  then there exists  $u \in A \cup B$  such that  $N_T(u) = T$  and  $(u, b)$  (resp.  $(u, a)$ ) is critical if  $u \in A$  (resp.  $u \in B$ ).

Without loss of generality, assume  $A \neq \emptyset$  and let  $u \in A$  be a vertex satisfying the condition 2.b of Definition 2.1. This vertex exists since  $\varepsilon_{ab} = 0$ . Considering  $(u, b)$  we have:

$$\overline{\alpha}_{ub} \leq |\{b\}| + |A \setminus \{N(u)\}| + |T \setminus N_T(u)| \leq 1 + d(a) - \lambda_{ab} - d_A(u) + |T \setminus N_T(u)|.$$

On the other hand  $\overline{\alpha}_{ub} \geq \delta_{ub} + \varepsilon_{ub} + 1$  by Lemma 2.2 since  $ub \notin E(H)$ . It follows that

$$(2) \quad d_A(u) + \lambda_{ab} + \delta_{ub} + \varepsilon_{ub} \leq d(a) + \max(0, \lambda_{ab} - 1).$$

By the choice of  $S$ ,  $d(a) \leq d(a')$  is true for all  $a' \in A$  for otherwise we set  $S := \{a', b, x\}$  instead of  $\{a, b, x\}$ . Therefore  $d(a) \leq \delta_{ub}$  and (2) leads to a number of obvious observations:

$$(3) \quad \begin{cases} \text{(i)} & \varepsilon_{ub} = 0 \text{ and } (u, b) \text{ is critical,} \\ \text{(ii)} & N_T(u) = T \text{ and } N_A(u) = \emptyset, \\ \text{(iii)} & \lambda_{ab} = \lambda_{\min}(S) = 0 \text{ (ie } D = \emptyset), \\ \text{(iv)} & d(a') = d(a) \forall a' \in T_{ub} = A \setminus \{u\}. \end{cases}$$

Set  $A := \{a_1, a_2, \dots, a_r\}$ ,  $B := \{b_1, \dots, b_s\}$ ,  $T := \{x_1, \dots, x_t\} = T_{ab}$  where  $r = d(a)$ ,  $s = d(b)$ ,  $t \geq 2$ . Also assume throughout  $N_T(a_1) = T$  with  $a_1 = u$  and set  $A_i := A \setminus \{a_i\}$ ,  $B_i := B \setminus \{b_i\}$  and  $X_i := T \setminus \{x_i\}$ .

For the remainder of the proof we may assume that  $\lambda_{\min}(S) = 0$  is true for any suitable set  $S$ .

**Claim 5.3.** We may assume that  $(a_r, x_t)$  is critical.

Suppose first  $N_T(a_i) = T$  for all  $i \geq 1$ . It is then clear that for all  $i \geq 1$ ,  $(a_i, b)$  is critical and hence by (3),  $d(a_i) = d(a)$  and  $N_A(a_i) = \emptyset$ , that is  $A$  is an independent set. If  $T$  is a clique then necessarily  $a_1 = u(a, b)$ ,  $a_2 = v(a, b)$  and  $r = 2 = d(a) = d(a_1) = d(a_2)$ . This leads to the conclusion that  $t = 1$  and  $H$  is disconnected. If  $T$  is an independent set then  $d(a) \leq d(x)$  for otherwise setting  $S := \{x_1, x_2, b\}$  we have a contradiction. On

the other hand  $d(a_1) = d(a) \geq d(x)$  since  $N(a_1) \supseteq \{a\} \cup T$  and hence  $d(a_1) \geq 1 + t = d(x)$ . Therefore  $d(a) = d(x)$ . It follows that for all  $i \geq 1$ ,  $N(a_i) = \{a\} \cup T$  and  $N(x_i) = A$ . Again  $H$  is disconnected. Assume for the remaining  $a_r x_t \notin E(H)$ . Clearly  $\{a_r, x_t, b\}$  is a suitable set since  $d(a_r) = d(a)$  and  $d(x_t) = d(x)$ . To prove the claim it suffices to show that  $\lambda_{a_r x_t} = 0$ . Otherwise choose any  $y \in N(a_r) \cap N(x_t)$ . If  $y \in B$  then  $\lambda_{\min}(\{a_r, x_t, b\}) \geq 1$ , a contradiction to our assumption. If  $y \in A$  then  $y \in A_1 = T_{a_1 b}$  and  $A_1$  must be a clique. In that case  $a = u(a_1, b)$  and  $x_t = v(a_1, b)$ , implying  $N(x_t) \supset A_1$ , a contradiction since  $a_r x_t \notin E(H)$ . It remains to assume  $y \in T$ , in which case  $T$  must be a clique. In that case,  $a_1 = u(a, b)$  and  $a_r = v(a, b)$ , implying  $N(a_r) \supset T$ , a contradiction since  $a_r x_t \notin E(H)$ . The proof of the claim is now complete.

**Claim 5.4.**  $A_1$  must be a clique.

By contradiction suppose that  $A_1$  is an independent set and  $|A_1| \geq 2$ , that is  $r \geq 3$ . By (3.iv),  $d(a_i) = d(a) \forall a_i \in A_1$ . Also  $d(a_i) = d(a) \geq \max\{d(b), d(x)\}$  for if  $d(a) < d(b)$ , setting  $S' := \{a_2, a_3, x\}$  we get a contradiction since then  $\sigma_{S'} < \sigma_S$ . A similar contradiction is obtained if  $d(a) < d(x)$ . As a first step, assume that  $T$  is an independent set with  $t \geq 2$ . Then  $d(a) = d(x)$  for if  $d(a) > d(x)$  we obtain a contradiction by setting  $S := \{x_1, x_2, b\}$ . Moreover if  $d(b) = d(a) = d(x)$  then  $\{x_1, x_2, a\}$  would be suitable with  $\lambda_{\min\{x_1, x_2, a\}} \geq 1$ , a contradiction. Therefore we are left with  $d(b) < d(a) = d(x)$ . This is a contradiction to the fact that  $x_1, b \in T_{a_r x_t}$  and  $\varepsilon_{a_r x_t} = 0$  by Claim 5.3. As  $(a, b)$  is critical we have to admit that  $T$  is a clique. Then we may assume  $a_1 = u(a_r, x_t)$  and set  $v := v(a_r, x_t)$ . Now,  $N_{A \cup B}(x_t) = \{a_1, v\}$ . If  $N_B(x_t) = \emptyset$  then  $\{a_r, x_t, b\}$  is suitable and  $\lambda_{x_t b} = 0$ . It follows that  $(x_t, b)$  is critical and  $T_{x_t b}$  must be a clique since  $aa_r$  is an edge in  $H[T_{x_t b}]$ . Then we may assume  $a_1 = u(x_t, b)$ , in which case  $aa_1 \in E(H) \Rightarrow a_r a_1 \in E(H)$ , a contradiction to (3.ii). Thus we are left with  $v \in B$ . Set  $v := b_1$ . As  $a_i$ ,  $1 < i < r$  and  $b$  are in  $T_{a_r x_t}$ , we conclude that  $d(a) = d(a_i) = d(b)$  since  $(a_r, x_t)$  is critical. Thus  $|A| = |B|$ ,  $N(a_r) = \{a\} \cup B$  and more precisely  $N_B(a_r) = B \setminus \{b_1\}$  for if  $a_r b_1$  then  $\{a_r, x_t, b\}$  would be a suitable set with  $\lambda_{\min\{a_r, x_t, b\}} \geq 1$ . This conclusion must clearly hold for any vertex  $a_i$ ,  $1 < i < r$ . If  $a_1 b_1 \in E$  then we recognize that  $H \in \mathcal{A}_2(p, q)$  by setting  $p := r = s$  and  $q := t$ . It is easy to check that  $H = K_n$  (for instance  $(ncc)$  applies to each pair  $(a_i, b_1)$ ,  $i > 1$  and  $(b_j, a_1)$ ,  $j > 1$ ). If  $a_1 b_1 \notin E$  then  $N(a_1) = \{a\} \cup T$ . By the choice of  $S$ ,  $d(a) \leq d(a_1) = 1 + t = d(x)$ . Thus  $d(a) = d(b) = d(x)$  and a contradiction arises since  $\{a_1, a_2, a_3\}$  is a suitable set with  $\lambda_{\min\{a_1, a_2, a_3\}} \geq 1$ . With this

last contradiction we assume for the remainder of the proof that  $A_1$  is a clique, possibly with one vertex.

**Claim 5.5.**  $H = G = C_7$ .

Since  $T_{a_1b} = A_1$ , we set  $a := u(a_1, b)$  and  $v := v(a_1, b)$ . Clearly  $v \neq x_t$  since  $a_r x_t \notin E(H)$  by Claim 5.3. If  $v = x_j$  for some  $j < t$  then necessarily  $t \geq 2$  and  $T$  must be an independent set. This is true for otherwise  $T$  would be a clique since  $(a, b)$  is critical and  $x_j a_r \in E(H) \Rightarrow x_t a_r \in E(H)$ . Therefore  $v \in B$  and we may set  $v = b_s$ . Suppose first that  $T$  is an independent set with  $t \geq 2$ . By Claim 5.3,  $(a_r, x_t)$  is critical. Thus  $d(x) = d(b)$  since  $x_1, b \in T_{a_r x_t}$ . But now we have a contradiction since  $\{x_1, x_2, a\}$  is a suitable set with  $\lambda_{\min\{x_1, x_2, a\}} \geq 1$ . To finish the proof, we suppose that  $T$  is a clique. Thus we may assume  $a_1 := u(a, b)$  and  $b_1 := v(a, b)$ . Clearly  $v(a, b) \neq b_s$  for otherwise  $\{x_1, a_r, b\}$  is a suitable set with  $\lambda_{\min\{x_1, a_r, b\}} \geq 1$ . Since  $N_T(b_1) = T$  then by symmetry with  $a_1$  we obtain that  $(a, b_1)$  is critical,  $N_{B_1}(b_1) = \emptyset$  and  $B_1$  must be a clique. But now  $T_{b_s x_t} = \{a\}$  and hence  $d(a) = 2$  by (ncc). By symmetry we have  $d(b) = 2$ . Furthermore  $T_{b x_t} = \{b_1\}$  and hence  $d(b_1) = 2$  by (ncc). This means that  $N(b_1) = \{b, x\}$  with  $T = \{x\}$ . We have just proved that  $aa_2b_2bb_1xa_1a = C_7$ . The proof of Theorem 3.2 is now complete.  $\blacksquare$

**Proof of the Corollaries 4.1 to 4.16.** Set  $H := nc_0^*(G)$ . We first note that for the graph  $C_7$  only the conditions (3.2), (4.1), (4.3), and (4.6) are satisfied. Moreover  $H \neq G_1$  if  $G$  satisfies condition (4.6) or (4.10) unless  $H = 3K_1 \vee K_2 = G(1, 1, 1)$ . The restrictions on  $r, s, t$  in  $G(r, s, t)$  appearing in some Corollaries are easily obtained by a simple counting argument. The remainder of the proof consists on establishing a number of implications among the conditions (3.2) and (4.1) to (4.16).

**Claim 5.6.**  $(4.9) \Rightarrow (4.2) \Rightarrow (3.2); (4.16) \Rightarrow (4.14) \Rightarrow (4.8) \wedge (4.12), (4.10) \Rightarrow (4.7) \wedge (4.6), (4.13) \Rightarrow (4.11) \wedge (4.12), (4.12) \Rightarrow (4.5) \wedge (4.7) \wedge (4.9)$  and  $(4.15) \Rightarrow (4.13) \wedge (4.14)$ .

The proofs are straightforward. For the remaining we set  $X = \{x_1, x_2, x_3\}$  if  $X \in I_3(G)$  and  $X = \{a, b\}$  if  $X \in I_2(G)$ .

**Claim 5.7.**  $(4.3) \vee (4.4) \Rightarrow (4.1) \Rightarrow (3.2)$ .

(i)  $\sum_{i=1}^3 |N(X_i)| + \lambda_{\max} > 2(n-2) \Leftrightarrow 2\sigma_X - \lambda_X + \lambda_{\max} > 2(n-2)$ . This leads to  $\sigma_X \geq n-1 + \lambda_{\min}$  since  $\lambda_X - \lambda_{\max} = \lambda_{\min} + \lambda_{\text{med}} \geq 2\lambda_{\min}$ . Therefore (4.1)  $\Rightarrow$  (3.2).

(ii) By contradiction suppose that (4.3)  $\nRightarrow$  (4.1). Thus  $\sum_{i=1}^3 |N(X_i)| + \sigma_X > 3(n-2) \Leftrightarrow 3\sigma_X - \lambda_X > 3(n-2)$  while  $\sum_{i=1}^3 |N(X_i)| + \lambda_{\max} \leq 2(n-2)$  or equivalently  $2\sigma_X - \lambda_X + \lambda_{\max} \leq 2(n-2)$ . We obtain  $2\lambda_{\max} < \lambda_{\min} + \lambda_{\text{med}}$ , a contradiction.

(iii) To prove (4.4)  $\Rightarrow$  (4.1), suppose by contradiction  $|N(X)| + \lambda_{\max} > n-2$  while  $\sum_{i=1}^3 |N(X_i)| + \lambda_{\max} \leq 2(n-2)$ . Then  $\sum_{i=1}^3 |N(X_i)| - |N(X)| < n-2$ . Expressing  $\sum_{i=1}^3 |N(X_i)|$  and  $|N(X)|$  in terms of  $s_i := |\{u \notin X \mid |N_X(u)| = i\}|$  (see [4]) we obtain  $s_1 + 2s_2 + 2s_3 = \sigma_X - s_3 < n-2$ . Thus  $\sigma_X < n-2 + \lambda_{\min}$  since obviously  $s_3 \leq \lambda_{\min}$ . This is a contradiction since  $|N(X)| + \lambda_{\max} > n-2 \Leftrightarrow \sigma_X > n-2 + \lambda_{\min} + \lambda_{\text{med}} > n-2 + \lambda_{\min}$ .

**Claim 5.8.** (4.5)  $\vee$  (4.8)  $\vee$  (4.7)  $\vee$  (4.6)  $\Rightarrow$  (4.3).

(i) (4.5)  $\Rightarrow$  (4.3). Otherwise suppose  $|N(X)| + \sigma_X > 2(n-2)$  while  $\sum_{i=1}^3 |N(X_i)| + \sigma_X \leq 3(n-2) \Leftrightarrow 3\sigma_X \leq 3(n-2) + \lambda_X$ . As  $|N(X)| = \sigma_X - \lambda_X + s_3(X)$  we obtain  $3s_3(X) > \lambda_X$ , a contradiction.

(ii) (4.6)  $\Rightarrow$  (4.3). By contradiction suppose  $\sum_{i=1}^3 |N(X_i)| \geq 2(n-2)$  but  $\sum_{i=1}^3 |N(X_i)| + \sigma_X \leq 3(n-2)$ . Then equivalently  $(2s_1 + 3s_2 + 3s_3) \geq 2(n-2)$  but  $(2s_1 + 3s_2 + 3s_3) + (s_1 + 2s_2 + 3s_3) \leq 3(n-2)$ . From these inequalities we obtain  $s_2 = s_3 = 0$  and hence  $\sum_{i=1}^3 |N(X_i)| = 2|N(X)| \geq 2(n-2) \Rightarrow |N(X)| \geq n-2$ , a contradiction.

(iii) (4.7)  $\Rightarrow$  (4.3). Suppose first  $\lambda_{\min} \geq 1$ . Then using (4.7) as in (ii), we get the required implication. Next suppose  $0 = \lambda_{x_1x_2} \leq 1 \leq \lambda_{x_2x_3} \leq \lambda_{x_3x_1}$ . Then  $2\gamma_{x_1x_2} + 2\sigma_{x_1x_2} \geq 2(n-2)$ ,  $2\gamma_{x_2x_3} + 2\sigma_{x_2x_3} > 2(n-2)$  and  $2\gamma_{x_3x_1} + 2\sigma_{x_3x_1} > 2(n-2)$ . Adding these inequalities we get  $2\sum_{i=1}^3 |N(X_i)| + 2\sigma_X \geq 6n-10$ , that is  $\sum_{i=1}^3 |N(X_i)| + \sigma_X \geq 3n-5$ . Again we have (4.7)  $\Rightarrow$  (4.3). For the next case, suppose  $0 = \lambda_{x_1x_2} = \lambda_{x_2x_3} \leq 1 \leq \lambda_{x_3x_1}$ . Now  $2\sum_{i=1}^3 |N(X_i)| + 2\sigma_X \geq 6n-11$ , that is  $\sum_{i=1}^3 |N(X_i)| + \sigma_X \geq 3n-5$  and (4.7)  $\Rightarrow$  (4.3). As a last case suppose  $0 = \lambda_{x_1x_2} = \lambda_{x_2x_3} = \lambda_{x_3x_1}$ . Now  $\sum_{i=1}^3 |N(X_i)| + \sigma_X = 3|N(X)| \geq 3n-6$ . Therefore  $|N(X)| \geq n-2$ , a contradiction since obviously  $|N(X)| \leq n-3$ .

(iv) Using (4.8) for successively  $(x_1, x_2)$ ,  $(x_2, x_3)$ ,  $(x_3, x_1)$  and adding we directly prove the implication (4.8)  $\Rightarrow$  (4.3).

**Claim 5.9.** (4.11)  $\Rightarrow$  (4.4)  $\wedge$  (4.5).

(i) (4.11)  $\Rightarrow$  (4.4). Otherwise  $2\sigma_X = 2(s_1 + 2s_2 + 3s_3) > 3(n - 2)$  but  $|N(X)| + \lambda_{\max} = s_1 + s_2 + s_3 + \lambda_{\max} \leq n - 2$ . Moreover  $\lambda_{\max} \geq \lambda_X/3 \geq s_2/3 + s_3$ . We reach a contradiction by getting on one hand  $\frac{2}{3}s_1 + \frac{4}{3}s_2 + 2s_3 > n - 2$  and on the other hand  $s_1 + \frac{4}{3}s_2 + 2s_3 \leq n - 2$ .

(ii) (4.11)  $\Rightarrow$  (4.5). Otherwise  $\frac{2}{3}s_1 + \frac{4}{3}s_2 + 2s_3 > n - 2$  but  $|N(X)| + \sigma_X = 2s_1 + 3s_2 + 4s_3 \leq 2(n - 2)$ , that is  $s_1 + \frac{3}{2}s_2 + 2s_3 \leq n - 2$ . Again we have a contradiction.  $\blacksquare$

## 6. OPEN PROBLEMS

These open problems are motivated by the two following results.

**Theorem 6.1** [12]. *A 2-connected graph  $G$  of order  $n \geq 3$  and satisfying the condition*

$$(6.1) \quad X \in I_3(G) \Rightarrow \sigma_X \geq n + s_3(X)$$

*is hamiltonian.*

Obviously (2.7)  $\Rightarrow$  (6.1) since  $s_3(X) \leq \lambda_{\min}(X)$ .

**Theorem 6.2** [5]. *Let  $G$  be a 2-connected non hamiltonian graph of order  $n$ . If*

$$(6.2) \quad X \in I_3(G) \Rightarrow \sigma_X \geq n - 1 + s_3(X)$$

*then  $nc_0^*(G) \in \{G_1, G(r, s, t)\}$ .*

Note that (3.2)  $\Rightarrow$  (6.2).

**Problem 6.3.** Let  $G$  be a 2-connected graph satisfying (6.1). Then  $nc_0^*(G)$  is complete.

Problem 6.3 is suggested by Lemma 2.2 and Theorem 6.1.

**Problem 6.4.** Let  $G$  be a 2-connected graph satisfying (6.2). Then  $nc_0^*(G) \in \{C_7, K_n, G_1, G(r, s, t)\}$ ,  $1 \leq r \leq s \leq t$ .

Problem 6.4 is suggested by Theorems 3.2 and 6.2.



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