## A NOTE ON TOTAL COLORINGS OF PLANAR GRAPHS WITHOUT 4-CYCLES

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## Abstract

Let G be a 2-connected planar graph with maximum degree  $\Delta$  such that G has no cycle of length from 4 to k, where  $k \geq 4$ . Then the total chromatic number of G is  $\Delta + 1$  if  $(\Delta, k) \in \{(7, 4), (6, 5), (5, 7), (4, 14)\}.$ Keywords: total coloring, planar graph, list coloring, girth.

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We consider finite simple graphs. Any undefined notation follows that of Bondy and Murty [1]. We use  $V(G)$ ,  $E(G)$ ,  $\delta(G)$  and  $\Delta(G)$  to denote the vertex set, the edge set, the minimum degree and the maximum degree of a graph G respectively. Let  $d(v)$  denote the degree of vertex v. A k-vertex is a vertex of degree k.

A total k-coloring of a graph G is a coloring of  $V(G) \cup E(G)$  using k colors such that no two adjacent or incident elements receive the same color. The total chromatic number  $\chi_T(G)$  is the smallest integer k such that G has a total k-coloring. Behzad and Vizing (see page 86 in [8]) conjectured independently that any graph G is totally  $(\Delta(G) + 2)$ -colorable in 1965.

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Various coloring techniques have been introduced in effort to prove this conjecture for some special graph classes (see survey papers [7] and [11]). In 1989, Sanchez-Arroyo [10] proved that for any graph  $G$  it is NP-complete to decide if  $\chi_T(G) = \Delta(G) + 1$ . In 1997, Borodin et al [3] proved that a planar graph G with maximum degree  $\Delta \geq 11$  has  $\chi_T(G) = \Delta(G)+1$ , and they also obtained several related results by adding girth restrictions [4]. Note that the added girth requirement in [4] prohibits the appearance of triangles. The forbidden cycle or the girth restriction plays an important role in considering list-coloring planar graphs. For example, Kratochvíl and Tuza showed that every triangle-free planar graph is 4-choosable and Thomassen observed that a planar graph is 3-choosable if the girth of the graph is at least 5 (both results can be found in Section 2.13 of [8]). Recently, Lam, Xu and Liu [9] proved that every  $C_4$ -free planar graph is 4-choosable. We shall adopt a similar approach and prove the following theorem. Note that triangles are allowed in the graph  $G$  in our theorem.

Let a planar graph G be charged by an initial charge  $w(v) = d(v) - 4$ if  $v \in V(G)$  and  $w(f) = r(f) - 4$  if  $f \in F$ , where  $r(f)$  is the degree of the face f. Euler's formula implies that  $\sum_{x \in V \cup F} w(x) < 0$ . The discharging method distributes the positive charge to neighbors so as to leave as little positive charge remaining as possible. This leads to  $\sum_{x \in V \cup F} w(x) > 0$ . A contradiction follows and this shows the unavoidability of a set of special elements in  $G$  (see Claims 2, 3 and 4).

**Theorem.** Let G be a connected planar graph with maximum degree  $\Delta$  such that G has no cycle of length from 4 to k, where  $k \geq 4$ . If

- (1)  $\Delta \geq 7$  and  $k \geq 4$  or
- (2)  $\Delta > 6$  and  $k > 5$ , or
- (3)  $\Delta \geq 5$  and  $k \geq 7$ , or
- (4)  $\Delta > 4$  and  $k > 14$ ,

then  $\chi_T(G) = \Delta(G) + 1$ .

**Lemma 1** [6]. Every region of a planar imbedding of a graph has a simple cycle for its boundary if and only if G is 2-connected.

This lemma is equivalent to the assertion that no three edges incident with any vertex  $v$  lie on the same face. It implies that each vertex  $v$  is incident with  $d(v)$  faces. We shall use this fact often in the proof of the Theorem.

An edge coloring of a graph G is a coloring of  $E(G)$  such that no two adjacent edges receive the same color. A graph  $G$  is said to be *edge-f-choosable* if, whenever we give lists  $A_e$  of  $f(e)$  colors to each edge  $e \in E(G)$ , there exists an edge coloring of G where each edge is colored with a color from its own list.

**Lemma 2** [5]. A bipartite graph G is edge-f-choosable where  $f(e)$  $\max\{d(u), d(v)\}\$  for  $e = uv \in E(G)$ .

**Proof of Theorem.** Let  $G = (V, E, F)$  be a minimal counterexample to any of  $(1) - (4)$  in the Theorem. Then

- (a) G is 2-connected and
- (b) any vertex is incident with at most  $\frac{d(v)}{2}$  $\frac{(v)}{2}$  3-faces, and
- (c) G contains no even cycle  $v_1v_2\cdots v_{2t}v_1$  such that  $d(v_1) = d(v_3) = \cdots$  $d(v_{2t-1}) = 2$ , and
- (d) G contains no edge uv with  $\min\{d(u), d(v)\} \leq \lfloor \frac{\Delta(G)}{2} \rfloor$  and  $d_G(u)$  +  $d_G(v) \leq \Delta(G) + 1.$
- (a) and (b) are obvious. The proofs of (c) and (d) can be found in [2] and [5], respectively.

Let  $G_2$  be the subgraph induced by the edges incident with the 2-vertices of G. Since  $\Delta(G) \geq 4$  in all four cases in the Theorem, (d) implies that G does not contain two adjacent 2-vertices. Hence,  $G_2$  does not contain any odd cycle. It follows from  $(c)$  that  $G_2$  does not contain any even cycle. Therefore, any component of  $G_2$  is a tree. For any component in  $G_2$  that is a path of even length, one can easily find a set of edges saturating all 2-vertices. For any component that is not a path of even length, we can select a vertex t with  $d_{G_2}(t) \geq 3$  as the root of the tree. We denote edges of distance i from the root to be at level  $i + 1$  where  $i = 0, 1, ..., d$  and d is the depth of the tree. Since G does not contain two adjacent 2-vertices, the distance from any leaf to the root is even. We can select all the edges at even level to form a matching saturating all 2-vertices in this component. Thus, there exists a matching  $M$  such that all 2-vertices in  $G_2$  are saturated. If  $uv \in M$  and  $d(u) = 2$ , v is called the 2-master of u and u is called the dependent of v. Each 2-vertex has a 2-master and each vertex of degree  $\Delta$ can be the 2-master of at most one 2-vertex.

Since  $G$  is a planar graph, by Euler's formula, we have

(E) 
$$
\sum_{v \in V} (d(v) - 4) + \sum_{f \in F} (r(f) - 4) = -4(|V| - |E| + |F|) = -8 < 0,
$$

where  $r(f)$  is the *degree* of the face f, that is, the number of edges around f. A  $k$ -face is a face of degree k. Now we define the initial charge function  $w(x)$  for each  $x \in V \cup F$ . Let  $w(x) = d(x) - 4$  if  $x \in V$  and  $w(x) = r(x) - 4$ if  $x \in F$ . It follows from  $(E)$  that  $\sum_{x \in V \cup F} w(x) < 0$ .

We begin the proof of (1) in the Theorem. First we prove a claim establishing a relation between the set of vertices of degree 3 or less and the set of vertices of degree at least  $\Delta - 1$ . We adopt the classic technique used in proving Hall's Matching Theorem (see page  $72$  in [1]). Let X be the set of vertices of degree at most 3 and  $Y = \bigcup_{x \in X} N(x)$ . By (d), X is an independent set of  $G$ . Let  $K$  be the induced bipartite subgraph of  $G$  with partite sets  $X$  and  $Y$ .

**Claim 1.** If  $X \neq \emptyset$ , then G contains a bipartite subgraph  $B = (X, Y)$  such that  $d_B(x) = 1$  and  $d_B(y) \leq 2$  whenever  $x \in X$  and  $y \in Y$ .

**Proof of Claim 1.** Let  $H = (X', Y)$ , where  $X' \subseteq X$ , be a maximum bipartite subgraph such that  $d_H(x) = 1$  and  $d_H(y) \leq 2$  whenever  $x \in X'$ and  $y \in Y$ . Note that there may be some isolated vertices in Y. Clearly,  $H$  is not empty since there is at least one edge from  $X$  to  $Y$ . Suppose that  $X\backslash X' \neq \emptyset$ . Let  $v \in X\backslash X'$ . An alternating path,  $P_v$ , in G is a path whose origin is v and edges are alternating between  $E(K) \setminus E(H)$  and  $E(H)$ . By the maximality of  $H$ , there exists no alternating path that will terminate at a vertex  $v' \in Y$  with  $d_H(v') \leq 1$ . Let Z denote the set of of all vertices connected to v by alternating paths. Set  $X'' = Z \cap X'$  and  $Y'' = Z \cap Y$  (see Figure 1).

Clearly,  $Y'' \subseteq \bigcup_{x \in X''} N(x)$ . Suppose  $\bigcup_{x \in X''} N(x) \nsubseteq Y''$ . It follows that there exists a vertex  $x \in X''$  such that  $xy \in E(G)$  and  $y \notin Y''$ . This implies that an alternating path  $P_v$  terminates at a vertex  $y \in Y$ , a contradiction. Hence,  $Y'' = \bigcup_{x \in X''} N(x)$ .

Now we show that  $d_H(y) \geq 2$  for any  $y \in Y''$ . Suppose, on the contrary, there exists a vertex  $y_i \in Y''$  where  $vy_1x_1...x_{i-1}y_i$  is an alternating path such that  $d_H(y_i) = 1$ . Let  $H' = H - \{y_1x_1, ..., y_{i-1}x_{i-1}\} + \{vy_1, x_1y_2, ..., y_ix_{i-1}\}$ if  $i \geq 2$  and let  $H' = H + \{vy_1\}$  if  $i = 1$ . It follows that  $|E(H')| > |E(H)|$ , a contradiction to  $H$  being maximum.



Figure 1. Subgraph F

Let  $F = (X'', Y'')$ . It follows that  $d_F(y) \ge d_H(y) + 1 \ge 3$  for any  $y \in Y''$ . Note that  $d_G(x) = d_F(x) \leq 3$  for any  $x \in X''$ .

Now  $G - X''$  has a total  $(\Delta + 1)$ -coloring by the minimality of G. By Lemma 2, we can color all edges in  $F$  using the same set of colors by choosing the colors unused on  $y \in Y''$ . Since the maximum degree in  $X''$  is 3, all vertices in  $X''$  can be easily colored by  $(\Delta+1)$  colors. Therefore, G has a total  $(\Delta + 1)$ -coloring, a contradiction with the fact that G is a counterexample. This implies  $X = X'$ , and which in turn, proves Claim 1.

We call y the 3-master of x if  $xy \in B$  and  $x \in X$ . It follows from this claim that each vertex of degree at most 3 has a 3-master. Each vertex of degree at least  $\Delta - 1$  can be a 3-master of at most two vertices.

Claim 2. If  $\Delta \geq 7$ , then G does not contain a 3-face uvw such that  $d(u) = d(v) = 4.$ 

**Proof of Claim 2.** Suppose it does contain such a 3-face. Let  $G' =$  $G - uv$ . By the minimality of G, G' has a total  $(\Delta + 1)$ -coloring  $\varphi$ . Since  $d_{G'}(u) = d_{G'}(v) = 3$  and  $\Delta \geq 7$ , we may assume that  $\varphi(u) \neq \varphi(v)$ . Let C be the set of colors used to color edges adjacent to uv. If  $\varphi(w) \notin C$ , then color uv with  $\varphi(w)$ . Otherwise, without loss of generality, we may assume that an edge e incident with u is colored with  $\varphi(w)$ . Then we erase the color on  $u$ . It follows that at least one color is available for  $uv$ , and then we re-color u. This is possible because  $d(u) = 4$  and both e and w share the same color. Now, G has a total coloring with  $(\Delta + 1)$  colors, a contradiction with the fact that  $G$  is a counterexample.

Claim 2 and (d) imply that every 3-face is incident with at least two vertices of degree at least 5. To prove (1), we are ready to construct a new charge  $w^*(x)$  on G as follows:

- R11: Each  $r(\geq 5)$ -face gives  $1-\frac{4}{r}$  $\frac{4}{r}$  to its incident vertices.
- R12: Each 2-vertex receives  $\frac{3}{5}$  from its 3-master, and receives  $\frac{16}{15}$  from its 2-master if it is incident with a 3-face and receives 1 from its 2-master otherwise.
- R13: Each 3-vertex receives  $\frac{8}{15}$  from its 3-master. In addition, if v is incident with a 3-face f, then each 3-vertex v receives  $\frac{1}{15}$  from u where u is a neighbor of  $v$  but not incident with  $f$ .
- R14: Each 3-face receives  $\frac{1}{2}$  from its incident vertices of degree at least 5.

By (d),  $d(v) = \Delta \ge 7$  if a vertex v is the 2-master of some vertex,  $d(v) \ge$  $\Delta - 1 \geq 6$  if v is the 3-master of some vertices, and  $d(u) \geq 6$  if a vertex u gives  $\frac{1}{15}$  via R13. Note that a vertex can be the 3-master of most two vertices and, in turn, it may give at most  $2 \times \max\{\frac{3}{5}\}\$  $\frac{3}{5}, \frac{8}{15}$ } =  $\frac{6}{5}$  $rac{6}{5}$ . Let  $f$ be a face of G. Clearly,  $w^*(f) = 0$  if  $r(f) \geq 5$ . By Claim 2, each 3face  $f$  is incident with at least two vertices of degree at least 5. Hence,  $w^*(f) \geq w(f) + 1 = 0$ . Let v be an arbitrary vertex of G. First, we consider the case of  $d(v) = 2$ . It will receive  $\frac{3}{5}$  from its 3-master. By Lemma 1,  $v$  is incident with two faces. If  $v$  is incident with a 3-face, then the other incident face of v must have degree at least 6 since  $G$  is a  $C_4$ -free graph. This implies that v receives at least  $\frac{1}{3}$  from the face of degree  $\geq 6$ . If v is not incident with a 3-face, then v receives at least  $2 \times \frac{1}{5}$  $\frac{1}{5}$  from its incident faces. So  $w^*(v) \geq w(v) + \min\{\frac{3}{5} + \frac{16}{15} + \frac{1}{3}\}$  $\frac{1}{3}, \frac{3}{5} + 1 + \frac{2}{5}$  = 0. Consider  $d(v) = 3$ . If it is incident with a 3-face, then the other two vertices on the same face must be of degree at least 5 and this implies that v receives at least  $\frac{2}{5}$  from its incident faces. If  $v$  is not incident with a 3-face, then it must be incident with three r-faces where  $r \geq 5$ . It follows that it receives at least  $\frac{3}{5}$  from its incident faces. Hence,  $w^*(v) \geq w(v) + \min\{\frac{8}{15} + \frac{1}{15} + \frac{2}{5}\}$  $\frac{2}{5}, \frac{8}{15} + \frac{3}{5}$  $\frac{3}{5}$ } = 0. If  $d(v) = 4$ , then it is incident with at most two 3-faces and its other two incident faces must be of degree  $\geq 5$ . Hence,  $w^*(v) \geq w(v) + \frac{2}{5} > 0$ . If  $d(v) = 5$ , then v is incident with at least three r-faces where  $r \geq 5$  and at most two 3-faces. Hence,  $w^*(v) \ge w(v) + \frac{3}{5} - 2 \times \frac{1}{2} > 0$ . If  $d(v) = 6$ , it can be 3-master of at most two vertices. Consider any two neighbors of  $v$ , say  $u_1$  and  $u_2$ . If they form a 3-face, then v gives  $\frac{1}{2}$  to the 3-face. If each of them is a 3-vertex on some 3-face, then v gives  $2 \times \frac{1}{15}$ . However, these two cases can not happen simultaneously; that is,  $vu_1u_2$  is a 3-face and  $u_1$ ,

 $u_2$  have another common neighbor  $w \neq v$ , such that either  $d(u_1) = 3$  or  $d(u_2) = 3$  since G is C<sub>4</sub>-free graph. In the evaluation of the lower bound of  $w^*(v)$ , it suffices to consider the case when v gives  $3 \times \frac{1}{15}$  to its incident 3-faces. It follows that  $w^*(v) \geq w(v) + \frac{3}{5} - 2 \times \frac{8}{15} - 3 \times \frac{1}{2} > 0$ . Now consider  $d(v) = 7$ . Suppose v is a 2-master of a vertex u. If u and v are incident with the same 3-face, then v receives at least  $3 \times \frac{1}{5} + (1 - \frac{4}{6})$  $\frac{4}{6}$ ) from its incident faces and gives  $\frac{16}{15}$  to u. Otherwise v receives at least  $4 \times \frac{3}{5}$  $\frac{1}{5}$  from its incident faces and gives  $1$  to  $u$ . Vertex  $v$  may be incident with at most three 3-faces and the remaining neighbor of  $v$  not incident with any three 3-faces may be a 3-vertex and in another 3-face, in turn,  $v$  may give  $\frac{1}{15}$  to the 3-vertex. Vertex  $v$  may also be the 3-master of two other vertices. Hence,  $w^*(v) \geq w(v) + \min\{3 \times \frac{1}{5} + \frac{1}{3} - \frac{16}{15}, \frac{4}{5} - 1\} - (3 \times \frac{1}{2} + \frac{1}{15} + 2 \times \frac{3}{5})$  $(\frac{3}{5}) > 0$ . In general, if  $d(v) \ge 8$ , then  $w^*(v) \ge w(v) + \min\{\lfloor \frac{d(v)}{2} \rfloor \times \frac{1}{5} + \frac{1}{3} - \frac{16}{15}, \lceil \frac{d(v)}{2} \rceil\}$  $\frac{\binom{v}{2}}{2}$   $\times$   $\frac{1}{5}$   $-1$  }  $-$  ( $\lfloor \frac{d(v)}{2} \rfloor$  $\left[\frac{\langle v\rangle}{2}\right]$   $\times$  $\frac{1}{2} + \frac{1}{15} + 2 \times \frac{3}{5}$  $\frac{3}{5}$  > 0. It follows that  $\sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w^*(x) \ge 0$ , a contradiction with (E). This completes the proof of (1).

Note that (1) implies that (2) is true if  $\Delta > 7$ . Hence, it is sufficient to prove (2) by assuming  $\Delta = 6$ . Similarly, we may assume that  $\Delta = 5$  in the proof of (3) and  $\Delta = 4$  in the proof of (4).

Claim 3. If  $\Delta > 5$ , then G does not contain a 3-face uvw such that  $d(u) = d(v) = 3.$ 

The proof of Claim 3, which we omit, is the same as Claim 2. Claim 3 implies that each 3-face is incident with at least two vertices of degree at least 4. To prove (2), we construct the new charge  $w^*(x)$  on G as follows:

- R21: Each  $r(\geq 6)$ -face gives  $1-\frac{4}{r}$  $\frac{4}{r}$  to its incident vertices.
- R22: Each 2-vertex receives  $\frac{11}{7}$  from its 2-master if it is incident with a 3-face and receives  $\frac{4}{3}$  from its 2-master otherwise.
- R23: Each 3-vertex v receives  $1/3$  from u if v is incident with a 3-face f and u is a neighbor of  $v$  but not incident with  $f$ .
- R24: Each 3-face receives  $\frac{1}{2}$  from its incident vertex v if  $d(v) \geq 5$  and receives  $\frac{1}{3}$  if  $d(v) = 4$ .

Clearly, we have  $w^*(f) \geq 0$  for any face f. Let v be an arbitrary vertex of G. Consider the case of  $d(v) = 2$ . If it is incident with a 3-face, then its other incident face must have degree at least 7 since G is a  $C_4$ -free and  $C_5$ -free graph. It follows that v receives at least  $1 - \frac{4}{7} = \frac{3}{7}$  $rac{3}{4}$  from the incident face and  $\frac{11}{7}$  from its 2-master; that is,  $w^*(v) \geq w(v) + \frac{3}{7} + \frac{11}{7} = 0$ . Otherwise if

v is not incident with any 3-face, then it receives at least  $2 \times (1 - \frac{4}{6})$  $\frac{4}{6}$ ) =  $\frac{2}{3}$ from its two incident faces of degree at least 6 and  $\frac{4}{3}$  from its 2-master. Hence,  $w^*(v) \geq w(v) + \frac{2}{3} + \frac{4}{3} = 0$ . Suppose  $d(v) = 3$ . If v is incident with a 3-face, then v receives at least  $\frac{2}{3}$  from its two incident faces and  $\frac{1}{3}$  from its 3-master not lying on the same 3-face. Otherwise if  $v$  is not incident with any 3-face, then  $v$  receives at least 1 from its three incident faces. Hence,  $w^*(v) \geq w(v) + 1 = 0$ . Note that v gives either  $\frac{1}{3}$  if  $d(v) = 4$  or  $\frac{1}{2}$  if  $d(v) \geq 5$  to an incident 3-face, say vuw where  $u, w \in N(v)$ , or gives  $\frac{1}{3}$  to u and  $\frac{1}{3}$  to w by R23 but v will then receive at least  $1 - \frac{4}{6} = \frac{1}{3}$  $\frac{1}{3}$  from the face whose partial boundary contains  $u, v, w$  sequentially if  $uw \notin E(G)$ . In the evaluation of the lower bound of  $w^*(v)$ , it suffices to consider the case when v gives  $\frac{1}{3}$  or  $\frac{1}{2}$  to its incident 3-faces. If  $d(v) = 4$ , then it receives at least  $\frac{2}{3}$  from its two incident faces of degree  $\geq 6$  and gives at most  $\frac{2}{3}$  to its incident 3-faces since any 4-vertex is incident with at most two 3-faces. It follows that  $w^*(v) \ge w(v) + \frac{2}{3} - \frac{2}{3} = 0$ . If  $d(v) = 5$ , then there are five faces incident with  $v$  by Lemma 1. It follows that  $v$  is incident with at most two 3-faces and at least three r-faces  $(r \geq 6)$ . If four neighbors of v form two 3-faces and a 3-face is pending on the remaining neighbor of  $v$ , then v discharges at most  $2 \times \frac{1}{2} + \frac{1}{3}$  $\frac{1}{3}$  via R23. This implies that  $w^*(v) \geq$  $w(v) + 3 \times \frac{1}{3} - (2 \times \frac{1}{2} + \frac{1}{3})$  $\frac{1}{3}$  > 0. Suppose  $d(v) = 6$ . It follows that v can be the 2-master of some vertex  $u$ . In this case, either  $u$  is on 3-face  $vuu'$  (and it follows that v gives  $\frac{11}{7} + \frac{1}{2}$  $\frac{1}{2}$ , or v is the 2-master of u and 3-master of u' where v and u are not on the same 3-face, and it follows that v gives  $\frac{4}{3} + \frac{1}{3}$  $rac{1}{3}$ . To find a low bound for  $w^*(v)$ , it suffices to consider the first case when v is the 2-master of u and  $vuu'$  forms a 3-face. If v is the 3-master of some 3-vertex  $u_1$ , then v gives at most  $2 \times \frac{1}{3}$  $\frac{1}{3}$  to its dependents and  $\frac{1}{2}$  to another 3-face. In this case, v receives  $\frac{3}{7} + 3 \times \frac{1}{3}$  $\frac{1}{3}$  from its incident faces. If v is not a 3-master of any 3-vertex, then v gives at most  $2 \times \frac{1}{2}$  $\frac{1}{2}$  to its two incident 3-faces. In this case, v receives  $\frac{3}{7} + 2 \times \frac{1}{3}$  $\frac{1}{3}$  from its incident faces. Hence,  $w^*(v) \geq w(v) + \min\left\{\frac{3}{7}+1-(\frac{11}{7}+\frac{1}{2}+\frac{2}{3}+\frac{1}{2})\right\}$  $w^*(v) \geq w(v) + \min\{\frac{3}{7} + 1 - (\frac{11}{7} + \frac{1}{2} + \frac{2}{3} + \frac{1}{2}), \frac{3}{7} + \frac{2}{3} - \frac{11}{7} - \frac{1}{2} - 1\} = 2 - \frac{83}{42} = \frac{1}{42} > 0.$ <br>It follows that  $\sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w^*(x) > 0$ , a contradiction. This completes the proof of (2).

To prove (3), we construct a new charge  $w^*(x)$  on G as follows:

- R31: Each  $r(\geq 8)$ -face gives  $1-\frac{4}{r}$  $\frac{4}{r}$  to its incident vertices.
- R32: Each 2-vertex receives  $\frac{13}{9}$  from its 2-master if it is incident with a 3-face and receives 1 from its 2-master otherwise.
- R33: Each 3-face receives  $\frac{1}{2}$  from its incident vertices of degree at least 4.

We also have  $w^*(f) \geq 0$  for any face f. Let v be an arbitrary vertex of G. If  $d(v) = 2$ , then  $w^*(v) \geq w(v) + \min\{\frac{13}{9} + \frac{5}{9}\}$  $\frac{5}{9}$ ,  $2 \times \frac{1}{2} + 1$ } = 0. If  $d(v) = 3$ , then  $v$  is incident with at most one 3-face and at least two faces of degree  $\geq$  8. It follows that v receives at least  $2 \times \frac{1}{2} = 1$  from its incident faces, and in turn,  $w^*(v) \geq w(v) + 1 = 0$ . If  $d(v) = 4$ , then it receives at least  $2 \times \frac{1}{2} = 1$  from its incident faces and gives at most  $2 \times \frac{1}{2} = 1$  to its incident 3-faces, that is,  $w^*(v) \geq w(v) + 1 - 1 = 0$ . Suppose  $\overline{d}(v) = 5$ . If v is the 2-master of a 2-vertex  $u$ , and  $u$  is incident with a 3-face, then  $v$  receives at least  $3 \times \frac{1}{2}$  $\frac{1}{2}$  from its incident faces and gives at most  $\frac{13}{9} + 2 \times \frac{1}{2}$  $\frac{1}{2}$  to its dependent and 3-faces. Otherwise v receives at least  $3 \times \frac{1}{2}$  $\frac{1}{2}$  from its incident faces and gives at most  $1+2 \times \frac{1}{2}$  $\frac{1}{2}$  to its dependent and 3-faces. It follows that  $w^*(v) \geq w(v) + \min\{\frac{3}{2} - \frac{13}{9} - 1, \frac{3}{2} - 2\} = \frac{1}{18} > 0$ . This implies that  $x \in V \cup F$  w( $x$ ) =  $\sum_{x \in V \cup F} w^*(x)$  > 0, a contradiction. This completes the proof of (3).

We will prove the following claim before we prove (4).

Claim 4. If  $\Delta = 4$ , then G contains no 4-vertex z where z is incident with two 3-faces  $zux, zvy$  and  $d(x) = d(y) = 2$ .

**Proof of Claim 4.** Suppose, on the contrary, such vertex  $z$  does exist. We can totally color the edges and vertices of  $G - \{xz, yz\}$  with a set of five colors, say  $C$ , by the minimality of  $G$ . First, we erase the colors assigned on x and y. Let  $c_1, c_2, c_3, c_4, c_5$  be colors used on  $xu, zu, zv, yv, z$ , respectively.

We will show that  $c_1 \neq c_4$ . Otherwise if  $c_1 = c_4$ , we claim that  $c_1 \neq c_5$ . If  $c_1 = c_5$ , then we can color  $xz$  by  $\alpha \in C \setminus \{c_1, c_2, c_3\}$  and  $yz$  by a color in  $C\setminus\{c_1, c_2, c_3, \alpha\}$ . It easy to see that x and y can be colored because they are only adjacent to two vertices and incident with two edges. This implies that G can be totally colored by five colors, a contradiction. Now we show it is impossible that  $c_1 = c_4$  and  $c_1 \neq c_5$ . If  $c_1 = c_4$ , then we can interchange colors  $c_3$  and  $c_1$  at v and color  $zx$  by  $c_3$ . It follows that we can also color zy by a color in  $C \setminus \{c_1, c_2, c_3, c_5\}$ . Similarly we can color vertices x and y since they are both vertices of degree 2. This implies that  $G$  can be totally colored by five colors, a contradiction.

Similarly, we can show that  $c_1 \neq c_3$  and  $c_1 \neq c_5$ . Since  $c_1 \notin \{c_2, c_3, c_4, c_5\}$ ,  $c_1$  can be assigned to zy and there is a color available for  $zx$ , x and y. This implies that G can be totally colored by five colors, a contradiction.

To prove (4), construct a new charge  $w^*(x)$  on G as follows:

R41: Each  $r(\geq 15)$ -face gives  $1-\frac{4}{r}$  $\frac{4}{r}$  to its incident vertices.

- R42: Each 2-vertex receives  $\frac{19}{24}$  from its neighbors if it is incident with a 3-face and receives  $\frac{8}{15}$  from its 2-master otherwise.
- R43: Each 3-face receives  $\frac{1}{3}$  from its incident vertices.

It is obvious that  $w^*(f) = 0$  for any face f. Let v be an arbitrary vertex of G. First consider the case of  $d(v) = 2$ . If it is incident with a 3-face, then its other incident face f must have degree at least 16. From (d), any neighbor of v should be of degree at least  $(\Delta + 2) - 2 = 4$ . Hence, they can not be 2-vertices. It follows that v receives at least  $1 - \frac{4}{16} = \frac{3}{4}$  $rac{3}{4}$  from f and  $2 \times \frac{19}{24} = \frac{19}{12}$  from its neighbors, and gives  $\frac{1}{3}$  to its incident 3-face. Otherwise v receives at least  $2 \times \frac{11}{15} = \frac{22}{15}$  from its incident faces and  $\frac{8}{15}$  from its 2-master. Hence,  $w^*(v) \geq w(v) + \min\{\frac{3}{4} + \frac{19}{12} - \frac{1}{3}, \frac{22}{15} + \frac{8}{15}\} = 0$ .  $\frac{1}{3}, \frac{22}{15} + \frac{8}{15}$  = 0. Now consider the case of  $d(v) = 3$ . v receives at least  $2 \times \frac{11}{15} = \frac{22}{15}$  from its incident faces. Hence,  $w^*(v) = w(v) + \frac{22}{15} - \frac{1}{3} = \frac{2}{15} > 0$ . If  $d(v) = 4$  and it is incident with two 3-faces, then  $v$  is adjacent to at most one 2-vertex by Claim 4. It follows that  $w^*(v) \geq w(v) + \frac{22}{15} - (\frac{2}{3} + \frac{19}{24}) = \frac{1}{120} > 0$ . Otherwise it receives at least  $3 \times \frac{11}{15}$  from its incident faces, and gives at most  $\frac{1}{3}$  to its incident 3-face and  $\frac{19}{24} + \frac{8}{15}$  to its adjacent 2-vertices. It follows that  $w^*(v) \geq w(v) + \frac{33}{15} - (\frac{1}{3} + \frac{19}{24} + \frac{8}{15}) = \frac{13}{24} > 0$ . This implies that  $\sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w^*(x) > 0$ , a contradiction. This completes the proof of (4).

In the proof of the Theorem, we showed that  $\sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w^*(x)$ > 0. It implies the following corollary.

Corollary 1. Let G be a graph with maximum degree  $\Delta$  embedded in a surface of nonnegative characteristic, and G has no cycle of length from 4 to k, where  $k \geq 4$ . Then  $\chi_T(G) = \Delta + 1$  if  $(\Delta, k) \in \{(7, 4), (6, 5), (5, 7), (4, 14)\}.$ 

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